

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BREYER, STEPHEN G.	2. Court or Organization U.S. SUPREME COURT	3. Date of Report 05/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOC. JUSTICE SUPREME COURT	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address SUPREME COURT OF UNITED STATES 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE (HONORARY)	DANA-FARBER CANCER INSTITUTE
2.	JUROR	THE PRITZKER ARCHITECTURE PRIZE
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	PENGUIN RANDOM HOUSE LLC; ROYALTY INCOME	\$116,774.61
2. 2015	THE AUTHORS REGISTRY, INC; ROYALTY INCOME	\$384.93
3. 2015	PENGUIN RANDOM HOUSE LLC; NONEMPLOYEE COMPENSATION	\$5,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	PENGUIN RANDOM HOUSE LLC; ROYALTY INCOME
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. PRITZKER FOUNDATION	JANUARY 16-17, 2015	NEW YORK, NY	PRITZKER PRIZE JURY MEETINGS	ROUND-TRIP TRAIN FARE, MEALS & LODGING
2. BLOOMBERG VIEW	MARCH 12, 2015	NEW YORK, NY	BLOOMBERG VIEW INTERVIEW	ONE-WAY AIRFARE, RETURN TRAIN FARE
3. UNIVERSITY CLUB	MARCH 12, 2015	NEW YORK, NY	LUNCHEON SPEAKER	LODGING
4. MARSHALL AID COMMEMORATION COMMISSION	APRIL 3-8, 2015	LONDON, UNITED KINGDOM	60TH ANNIVERSARY MARSHALL ALUMNI LECTURE	ONE-WAY AIRFARE
5. CONSEIL D'ETAT	APRIL 9-16, 2015	PARIS, FRANCE	PARTICIPANT IN A CONFERENCE	ONE-WAY (RETURN) AIRFARE

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6.	PRITZKER FOUNDATION	MAY 14-16, 2015	MIAMI, FL	PRITZKER CEREMONY	ROUND-TRIP AIRFARE, LODGING
7.	MASSACHUSETTS HISTORICAL SOCIETY	MAY 28, 2015	BOSTON, MA	LECTURE	ROUND-TRIP AIRFARE
8.	DANA-FARBER CANCER INSTITUTE	JUNE 4, 2015	BOSTON, MA	ANNUAL DINNER	ROUND-TRIP AIRFARE
9.	MAGNA CARTA TRUST	JULY 28-31, 2015	LONDON, UNITED KINGDOM	MAGNA CARTA MOCK TRIAL	ROUND-TRIP AIRFARE, LODGING
10.	AMERICAN COLLEGE FOR TRIAL LAWYERS LEGAL EXCHANGE	SEPTEMBER 7-10, 2015	LONDON, UNITED KINGDOM	ACTL EVENT	ROUND-TRIP AIRFARE (SELF & SPOUSE)
11.	KNOFF	SEPTEMBER 16, 2015	NEW YORK, NY	BOOK EVENT	ONE-WAY AIRFARE
12.	YALE LAW SCHOOL	SEPTEMBER 18-19, 2015	NEW HAVEN, CT	YALE GLOBAL SYMPOSIUM	LODGING, MEALS
13.	HUNTINGTON LIBRARY, LA	SEPTEMBER 21-25, 2015	LOS ANGELES & SAN MARINO, CA	SPEAKING AT WALLIS THEATER (LA) AND HUNTINGTON LIBRARY BOOK EVENT	ROUND-TRIP AIRFARE; LODGING
14.	SF ARTS & LEISURE	SEPTEMBER 25, 2015	SAN FRANCISCO, CA	BOOK EVENT	ROUND-TRIP AIRFARE FOR SPOUSE
15.	ODILE JACOB FRENCH PUBLISHER	OCTOBER 16-24, 2015	PARIS, FRANCE	BOOK EVENT - VARIOUS SPEAKING EVENTS	ROUND-TRIP AIRFARE
16.	KENNEDY FOUNDATION	NOVEMBER 19, 2015	WASHINGTON, DC	BOOK EVENT	ONE-WAY AIRFARE
17.	PILGRIMS CLUB, NYC	NOVEMBER 20, 2015	NEW YORK, NY	BOOK EVENT	ONE-WAY TRAIN FARE
18.	FOREIGN POLICY ASSOCIATION	DECEMBER 16, 2015	NEW YORK, NY	BOOK EVENT	ONE-WAY TRAIN FARE
19.	COUNCIL ON FOREIGN RELATIONS	DECEMBER 17, 2015	NEW YORK, NY	BOOK EVENT	ONE-WAY TRAIN FARE

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA ACCOUNT:									
2. DEAWM (FKA DWS) CORE PLUS INCOME FUND	A	Dividend	K	T					
3. DEAWM (FKA DWS) LARGE CAPITAL VALUE FUND	D	Dividend	L	T					
4. OTHER HOLDINGS:									
5. DEAWM (FKA DWS) CORE PLUS INCOME FUND - IRA	A	Dividend	K	T					
6. BANK OF AMERICA - CHECKING	A	Interest	M	T					
7. TIAA/CREF:									
8. TIAA/CREF - TIAA TRADITIONAL	E	Int./Div.	O	T					
9. TIAA/CREF - TIAA REAL ESTATE	A	Int./Div.	M	T					
10. TIAA/CREF - CREF BOND MARKET R3	A	Int./Div.	M	T					
11. TIAA/CREF - CREF STOCK R3	A	Int./Div.	P1	T					
12. TIAA/CREF - CREF INF-LINKED BOND R3	A	Int./Div.	N	T					
13. TIAA/CREF - CREF STOCK R1	A	Int./Div.	L	T					
14. TRUST:									
15. TAI SHAN FUND	D	Int./Div.	M	T					
16. VANGUARD WINDSOR FUND (FKA WINDSOR FUND)	C	Dividend	L	T					
17. AUTOMATIC DATA PROCESSING INC COMMON STOCK	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SIGMA ALDRICH CORP. COMMON STOCK	A	Dividend			Sold	03/19/15	M	G	
19. CINTAS CORP. COMMON STOCK	B	Dividend	L	T	Sold (part)	03/06/15	K	E	
20. SYSCO CORP. COMMON STOCK	C	Dividend	M	T					
21. VANGUARD 500 INDEX FUND	D	Dividend	N	T					
22. APPLIED ANALYSIS INC - COMMON STOCK		None	J	T					
23. APPLIED ANALYSIS INC - PREFERRED STOCK		None	J	T					
24. ISHARES MSCI EMERGING MARKETS IDX FD	A	Dividend			Sold	10/26/15	K	A	
25. ISHARES MSCI EAFE INDEX FD	A	Dividend			Sold	10/26/15	K	A	
26. VANGUARD DIVIDEND GROWTH FUND -IV	D	Dividend	M	T					
27. VANGUARD MID-CAP INDEX FUND -A	B	Dividend	L	T					
28. DODGE & COX INTERNATIONAL STOCK FUND	B	Dividend	L	T					
29. ROYCE LOW-PRICED STOCK SERVICE FUND	C	Dividend	K	T					
30. OAKMARK INTERNATIONAL FUND - I	C	Dividend	L	T					
31. FEDERATED US TREASURY CASH RSV FD-I	A	Dividend	K	T					
32. INTERSENSE INC. CLASS C PREFERRED SERIES C-1		None			Distributed	03/11/15	J		
33. INTERSENSE INC. CLASS A PREFERRED SERIES A-2		None			Distributed	03/11/15	J		
34. INTERSENSE INC. CLASS B PREFERRED SERIES B-1		None			Distributed	03/11/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. VANGUARD SMALL CAP INDEX-INV	A	Dividend	K	T					
36. FIDELITY MA MUNICIPAL INCOME	B	Dividend	K	T	Buy (add'l)	03/09/15	K		
37.					Sold (part)	10/23/15	J	A	
38.					Sold (part)	10/26/15	J	A	
39. CDK GLOBAL HOLDINGS LLC	A	Dividend			Sold	03/06/15	K	E	
40. US TREASURY NOTES 1.875% 8/31/17	A	Interest	M	T	Buy	03/11/15	K		
41.					Buy (add'l)	04/13/15	L		
42. US TREASURY NOTES 1.750% 5/31/16	A	Interest	M	T	Buy	03/11/15	L		
43.					Buy (add'l)	04/13/15	L		
44. FASTENAL CO.	A	Dividend	K	T	Buy	03/24/15	K		
45. US TREASURY NOTES 1.375% 6/30/18	A	Interest	L	T	Buy	10/29/15	L		
46. OTHER ASSETS:									
47. TIAA/CREF RETIREMENT ACCOUNTS:									
48. TIAA/CREF - TIAA TRADITIONAL	C	Int./Div.	L	T					
49. TIAA/CREF - CREF STOCK R2	A	Int./Div.	L	T					
50. SK-N-A NATIONAL BANK SAVINGS ACCT	A	Interest	J	T					
51. PEARSON PLC ORD. STOCK	E	Dividend	O	T	Distributed (part)	05/18/15	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. RENTAL PROPERTY - NEVIS WEST INDIES	A	Rent	N	W					
53. TOTAL SA SPONSORED ADR	B	Dividend	K	T					
54. LAND IN CONCORD, MA		None	L	W					
55. LAND IN PLAINFIELD, NH		None	N	W					
56. IBM - COMMON STOCK	B	Dividend	K	T	Sold (part)	01/06/15	K	D	
57. LOWES COMPANIES INC - COMMON STOCK	B	Dividend	L	T					
58. PACCAR INC - COMMON STOCK	C	Dividend	L	T	Sold (part)	01/06/15	K	E	
59. UNITED TECHNOLOGIES CORP - COMMON STOCK	C	Dividend	M	T					
60. CISCO SYSTEMS INC - COMMON STOCK	B	Dividend	L	T					
61. AIR PRODUCTS & CHEMICAL INC - COMMON STOCK	B	Dividend	K	T	Sold (part)	01/06/15	K	E	
62.					Sold (part)	04/06/15	K	E	
63.					Sold (part)	06/03/15	K	E	
64. SSGA MONEY MARKET FUND	A	Dividend	K	T					
65. SUNCOR ENERGY INC - COMMON STOCK	A	Dividend			Sold (part)	07/20/15	J	A	
66.					Sold	11/17/15	J	B	
67. BHP BILTON LTD	C	Dividend			Sold	09/01/15	K	C	
68. LEXINGTON MA GO 4% 2/15/21	C	Interest	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. EMC CORP MASS - COMMON STOCK	B	Dividend	L	T					
70. JOHNSON CONTROLS INC - COMMON STOCK	A	Dividend			Sold	10/15/15	K	D	
71. STANCROFT TRUST LIMITED	E	Dividend	O	W					
72. FIRST CARIBBEAN INTERNATIONAL BANK - CHECKING		None	K	T					
73. EATON VANCE MULTI-CAP GROWTH FUND -A		None			Merged (with line 74)	03/18/15	L		
74. EATON VANCE LARGE CAP GROWTH FUND - I	E	Dividend	N	T					
75. EATON VANCE LARGE CAP VALUE FUND - I	E	Dividend	N	T					
76. EATON VANCE TAX M S/C	C	Dividend	L	T					
77. PARAMETRIC EMERGING MKTS (FKA EATON VANCE STRUCTURED EMERGING MKTS-I)	A	Dividend			Sold	11/17/15	J	A	
78. EATON VANCE ATLANTA SM CP-I	C	Dividend	L	T					
79. SOUTH32-ADR		None			Spinoff (from line 67)	05/29/15	J		
80.					Sold	07/20/15	J	A	
81. DFCI RETIREMENT PLAN (FIDELITY INVESTMENTS) - NO CONTROL	A	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE REPORT WAS PREPARED BY:

CITRIN COOPERMAN & COMPANY, LLP
529 FIFTH AVENUE
NEW YORK, NEW YORK 10017

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHEN G. BREYER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544