

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Craig, Carla E.	2. Court or Organization U.S. Bankruptcy Court, E.D.N.Y.	3. Date of Report 02/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 271 Cadman Plaza E. Suite 1595 Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Kidspirit Magazine
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

Craig, Carla E.

Date of Report

02/06/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Schulte Roth and Zabel LLP-partnership distribution

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting

Craig, Carla E.

Date of Report

02/06/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Mt. Kellett Capital Partners II LP	Commitment to make capital contributions to investment limited partnership	PI
2.	RS Global Energy and Power Private Investors VI	Commitment to make capital contributions to investment LLC	N
3.	Yeshiva University	Commitment to make tuition payments	K
4.	Mautner-Glick Corp.	Obligation to make rent payment	K
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

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02/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Money Market Accounts	B	Interest	P1	T					
2. J.P. Morgan Money Market Fund	A	Dividend	L	T					
3. JPM US Real Estate Income and Growth Direct LP (474995958)		None	O	T					
4. JPM Floating Rate Income Fund (JPHSX)	F	Dividend	O	T					
5. JP Morgan Strategic Income Opportunities Fund 3844 (JSOSX)	D	Dividend	M	T					
6. American Century Equity Income Fund 123 (TWEIX)	D	Dividend			Sold	10/27/16	N	F	
7. iShares MSCI All Country Asia Ex Japan Index Fund (AAXJ)	B	Dividend	L	T					
8. JP Morgan Intl. Value Fund (JIESX)	G	Dividend	P1	T	Sold (part)	10/27/16	O	F	
9. CSFR Private Investors LLC (290809177)		None	K	T					
10. Colony Starwood Homes		None	N	T	Spinoff (from line 9)	10/06/16	N		
11. JP Morgan Tax Aware R/R Fund (TXRSX)	C	Dividend	M	T					
12. iShares MSCI EAFE Index Fund	C	Dividend	N	T	Buy	10/31/16	N		
13. JP Morgan Equity Income Fund-Select Fund	D	Dividend	N	T	Buy	10/27/16	N		
14. RS Global Energy Energy and Power Private Investors VI		None	L	T	Buy	09/19/16	L		
15. Fidelity Cash Reserves (y)									
16. Fidelity Spartan NY Muni Income (y)									
17. Artisan High Income Investor (ARTFX)	D	Dividend	J	T	Buy	01/19/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 17

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18.					Buy (add'l)	02/23/16	J		
19. Artisan Partners High Income Adv (APDFX)	E	Dividend	N	T	Spinoff (from line 17)	03/23/16	N		
20. Fidelity Money Market Account (FCASH)		None	J	T					
21. Fidelity Environment & Alternative Energy (FSLEX)	B	Dividend	L	T	Buy	10/07/16	L		
22.					Buy (add'l)	12/02/16	J		
23.					Buy (add'l)	12/30/16	J		
24. Fidelity Intermediate Bond ((FTHRX)	B	Dividend			Buy (add'l)	01/29/16	J		
25.					Buy (add'l)	02/29/16	J		
26.					Buy (add'l)	03/31/16	J		
27.					Sold (part)	03/31/16	J	A	
28.					Buy (add'l)	04/29/16	J		
29.					Buy (add'l)	05/31/16	J		
30.					Buy (add'l)	06/02/16	J		
31.					Sold	06/02/16	L	C	
32. Fidelity Int'l Discovery (FIGRX)		None	M	T	Buy	12/09/16	M		
33. Fidelity Int'l Growth (FIGFX)	C	Dividend	M	T	Buy (add'l)	02/04/16	L		
34.					Buy (add'l)	02/19/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 17

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35.					Buy (add'l)	03/08/16	J		
36.					Sold (part)	12/08/16	M		
37. Fidelity Japan Small Companies (FJSCX)	B	Dividend	K	T	Buy (add'l)	12/09/16	J		
38.					Buy (add'l)	12/30/16	J		
39. Fidelity Low Priced Stock (FLPSX)	E	Dividend	M	T	Buy (add'l)	01/19/16	L		
40.					Buy (add'l)	02/19/16	L		
41.					Buy (add'l)	03/08/16	J		
42.					Buy (add'l)	03/10/16	M		
43. Fidelity Mega Cap Stock (FGRTX)	D	Dividend	M	T	Buy (add'l)	02/04/16	J		
44.					Sold (part)	02/19/16	L	C	
45.					Sold (part)	03/08/16	J	C	
46.					Sold (part)	03/10/16	M	F	
47.					Sold (part)	07/05/16	J	B	
48. Fidelity MSCI Utilities Index (FUTY)	A	Dividend			Buy (add'l)	03/24/16	J		
49.					Sold (part)	05/02/16	L	D	
50.					Sold (part)	05/03/16	J	A	
51.					Buy (add'l)	07/06/16	L		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 17

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52.					Sold	09/06/16	L		
53. Fidelity Natural Gas (FSNGX)	A	Dividend	J	T	Buy	05/02/16	L		
54.					Sold (part)	07/05/16	L	A	
55.					Buy (add'l)	10/07/16	L		
56.					Buy (add'l)	12/16/16	J		
57.					Sold (part)	12/30/16	J	A	
58. Fidelity NY Muni Money Market nka Government Cash Reserves((FDRXX)	A	Dividend	O	T					
59. Fidelity OTC (FOCPX)		None			Sold	01/22/16	L		
60. Fidelity Real Estate Inv't (FRESX)	C	Dividend			Buy (add'l)	03/04/16	J		
61.					Buy (add'l)	06/03/16	J		
62.					Buy (add'l)	09/09/16	J		
63.					Sold	10/07/16	L	C	
64. Fidelity Select Banking (FSRBX)	A	Dividend	K	T	Buy	10/07/16	L		
65.					Buy (add'l)	12/16/16	J		
66.					Sold (part)	12/30/16	K	C	
67. Fidelity Select Biotechnology (FBIOX)		None			Buy	06/02/16	L		
68.					Sold	07/05/16	L		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 17

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Craig, Carla E.

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69. Fidelity Select Construction and Housing (FSHOX)	A	Dividend			Sold	10/07/16	L		
70. Fidelity Select Medical Equipment & Systems (FSMEX)		None			Buy	07/05/16	L		
71.					Sold	11/07/16	L		
72. Fidelity Value (FDVLX)		None			Sold	01/19/16	L		
73. Fidelity Select Retailing (FSRPX)	A	Dividend			Buy (add'l)	04/15/16	J		
74.					Sold	11/07/16	L		
75. Fidelity Select Semiconductors (FSELX)	C	Dividend	K	T	Buy	03/31/16	L		
76.					Buy (add'l)	04/15/16	J		
77.					Buy (add'l)	12/02/16	J		
78.					Sold (part)	12/30/16	K	C	
79. Fidelity Short Term Bond Fund (FSHBX)	A	Dividend	M	T	Buy	11/07/16	M		
80.					Buy (add'l)	11/30/16	J		
81.					Buy (add'l)	12/30/16	K		
82. Fidelity Wireless (FWRLX)		None			Buy	09/06/16	L		
83.					Sold	10/07/16	L		
84. Hartford Healthcare Fund (HGHAX)	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
85. Hartford Healthcare Fund Y (HGHYX)	E	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 17

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86. iShares S&P National Municipal Bond (MUB)	A	Dividend	O	T	Buy	12/20/16	O		
87. Primecap Odyssey Aggressive Growth (POAGX)	F	Dividend	O	T	Buy (add'l)	01/22/16	L		
88.					Buy (add'l)	02/04/16	J		
89.					Buy (add'l)	02/19/16	J		
90.					Buy (add'l)	03/02/16	J		
91.					Buy (add'l)	03/08/16	J		
92.					Buy (add'l)	03/10/16	N		
93.					Buy (add'l)	05/20/16	J		
94.					Buy (add'l)	12/16/16	J		
95. Primecap Odyssey Growth (POGRX)		None			Sold	02/01/16	M		
96. Primecap Odyssey Stock (POSKX)	D	Dividend	N	T	Buy (add'l)	02/01/16	M		
97. Vanguard Dividend Growth (VDIGX)	E	Dividend	O	T	Sold (part)	02/19/16	K	D	
98.					Sold (part)	02/23/16	J		
99.					Sold (part)	03/10/16	M	F	
100. Vanguard Intermediate Term Tax Exempt (VWIUX)	E	Dividend			Sold (part)	03/10/16	J	A	
101.					Buy (add'l)	03/10/16	K		
102.					Sold	12/19/16	O		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 17

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103. Vanguard Intermediate Term Investment Grade Admiral (VFIDX)	A	Dividend	K	T	Buy (add'l)	02/23/16	J		
104.					Buy (add'l)	05/20/16	J		
105. Vanguard Limited Term Tax Exempt (VMLUX)	D	Dividend			Buy (add'l)	02/01/16	N		
106.					Sold (part)	03/10/16	K		
107.					Sold (part)	05/13/16	K		
108.					Sold	12/19/16	O		
109. Vanguard Short Term Investment Grade (VFSUX)	A	Dividend	K	T					
110. Vanguard Short Term Tax Exempt Admiral (VWSUX)	A	Dividend			Sold	02/01/16	N	A	
111. iShares iBoxx\$ High Yield Corporate Bond (HYG)	B	Dividend			Sold	01/19/16	N		
112. WisdomTree Japan Hedged Equity (DJX)	D	Dividend	N	T	Sold (part)	03/10/16	L		
113. Schulte Roth & Zabel Capital Account (investment in law firm) (y)									
114. NY College Savings Program		None	K	T					
115. -Moderate Age Based Income Option Portfolio									
116. Vanguard Educational IRA		None	K	T					
117. -Vanguard Small Cap Index Fund Investor Shares									
118. Vanguard Educational IRA		None	K	T					
119. -Vanguard Small Cap Index Fund Investor Shares									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 17

Name of Person Reporting

Craig, Carla E.

Date of Report

02/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. BlackRock CollegeAdvantage									
121. -BR Growth Portfolio Option A		None	K	T					
122. BlackRock CollegeAdvantage									
123. -BR Growth Portfolio Option A		None	K	T	Redeemed	07/13/16	K	E	
124. B & McG Holdings									
125. -Shelter Venture Fund	E	Distribution	K	T					
126. Special Situations Technology Fund, L.P.	G	Dividend	P1	U					
127. SRZ Savings Plan		None	P1	T	Buy (add'l)	08/18/16	J		
128. -Wells Fargo Money Mkt Fund	A	Dividend	J	T					
129. -Merck common stock	A	Dividend	L	T					
130. -Pfizer common stock	A	Dividend	K	T					
131. SRZ Cash Balance Plan		None	O	T	Buy (add'l)	08/18/16	L		
132. SRZ Thrift Plan		None	O	T	Buy (add'l)	08/18/16	J		
133.					Buy (add'l)	12/29/16	K		
134. -T. Rowe Price U.S. Treasury Money Market (X)	A	Dividend	L	T					
135. SRZ Partners Pension Plan		None	O	T	Buy (add'l)	08/18/16	L		
136. Schulte Roth & Zabel Supplemental Retirement Fund		None	P1	T	Buy (add'l)	11/23/16	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

Craig, Carla E.

Date of Report

02/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -DWS Small Cap Index VIP-Class A Shares		None	N	T	Buy (add'l)	11/23/16	J		
138. -American Funds Ins Ser International - Class 2 Shares		None	M	T	Buy (add'l)	11/23/16	J		
139. -Fidelity VIP Index 500-Initial Class		None	M	T	Buy (add'l)	11/23/16	J		
140. -Fidelity VIP Money Market Portfolio- Initial Class (y)									
141. -Fidelity VIP Real Estate-Initial Class		None	N	T	Buy (add'l)	11/23/16	J		
142. -Invesco V.I. International Growth Fund- Series I Shares		None	M	T	Buy (add'l)	11/23/16	J		
143. -MainStay VP Eagle Small Cap Growth Portfolio Initial Class		None	N	T	Buy (add'l)	11/23/16	J		
144. -MFS Global Tactical Allocation-Initial Class		None	M	T	Buy (add'l)	11/23/16	J		
145. -MFS VIT Value Series-Initial Class		None	N	T	Buy (add'l)	11/23/16	K		
146. -T Rowe Price Blue Chip Growth Portfolio		None	O	T	Buy (add'l)	11/23/16	K		
147. -Mainstay Cash Management		None	J	T					
148. -Tremont Opportunity Fund		None	J	T					
149. Special Situation Fund III QP, LLP	E	Dividend	O	U					
150. Merrill Lynch Money Market (y)									
151. SRZ Group Trust		None	P1	T					
152. -Blackrock Asia Pacific Partners (Offshore) SPV Ltd.		None			Redeemed	09/01/16	J		
153. -Candlewood Structured Credit Fund nka Structured Credit Harvest Fund		None	M	T	Redeemed (part)	07/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

Craig, Carla E.

Date of Report

02/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.		None			Redeemed (part)	08/31/16	J		
155. -Cevian Capital Fund II Ltd.		None	M	T					
156. -Citadel Kensington Global Strategies		None	N	T	Buy (add'l)	07/01/16	L		
157. -Cognis I Fund LP		None	J	T					
158. -D.E. Shaw Composite International Fund		None	L	T	Buy	11/02/16	L		
159. -D.E. Shaw Oculus International Fund		None	M	T	Buy	11/02/16	M		
160. -Discovery Global Macro Fund, Ltd.		None	J	T	Redeemed (part)	04/22/16	L		
161. -Echo Street Capital Partners Ltd (QP)		None	M	T					
162. -Golden Tree Offshore Fund Ltd		None	M	T	Redeemed (part)	04/19/16	J		
163. -Highfields Capital IV LP		None	M	T					
164. -Hutchin Hill Capital Offshore Fund, Ltd.		None	M	T					
165. -Jennison Global Healthcare Offshore Fund, Ltd.		None	M	T	Redeemed (part)	12/01/16	K		
166. -Kylin Offshore Fund, Ltd.		None	M	T	Buy (add'l)	01/29/16	K		
167. -Lakeview Opportunity Fund Ltd.		None			Redeemed (part)	04/05/16	J		
168.					Redeemed (part)	07/14/16	J		
169.					Redeemed (part)	10/11/16	J		
170.					Redeemed	12/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

Craig, Carla E.

Date of Report

02/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Lone Pinion Ltd.		None	K	T					
172. -Lone Slavin, Ltd.		None	K	T					
173. -Lone Spruce LP		None	L	T					
174. -Millenium International Ltd		None	N	T					
175. -Pennant Onshore Partners LP		None	J	T	Redeemed (part)	04/12/16	K		
176. -Pennant Windward Ltd		None	J	T	Redeemed (part)	04/12/16	M		
177. -Rosemawr International Ltd.		None			Redeemed	04/21/16	J		
178. -Scopus Fund Ltd.		None	M	T	Buy	01/29/16	M		
179. -Seer Capital Partners Offshore Fund Ltd.		None	M	T					
180. -Spruce Hedge Fund Program SPC LTD- Portfolio II SP		None	N	T					
181. -Spruce Alpha Fund (Offshore) Ltd.		None			Redeemed	02/25/16	J		
182. -Spruce Hedge Fund Program SPC LTD- Portfolio I SP		None	M	T	Redeemed (part)	11/14/16	N		
183. -Steelhead Pathfinder Fund Ltd.		None	M	T					
184. -The Childrens' Investment Fund		None	M	T					
185. -Two Sigma Absolute Return Macro Cayman Fund, Ltd.		None	M	T					
186. -JP Morgan Trust Co. of Delaware deposit account		None	O	T					
187. AutoNation common stock		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

Craig, Carla E.

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02/06/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Akami Technology common stock		None	K	T					
189. CollegeBound Fund (Alliance-RI)									
190. -CBF Appreciation Portfolio Alt-AX		None	K	T					
191. Northwestern Mutual Whole Life Ins. Policy		None	N	T					
192. Guardian Life Insurance Company-Life Insurance Policy (y)									
193. AXA Life Insurance Policy-whole life (x)		None	J	T					
194. Mt. Kellett Capital Partners II LP	G	Distribution	N	T					
195. Merrill Lynch Retirement Account-Blackrock Global (MDLOX)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

Craig, Carla E.

Date of Report

02/06/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 85-Hartford Healthcare Fund I was exchanged for Hartford Healthcare Fund Y on March 18, 2016. It was a one for one exchange and there was no gain or loss.

Part VII, lines 151-186- the SRZ Group Trust is a Revenue Ruling 81-100 Trust that pools the employee pension plan sponsored by the law firm of which [REDACTED] is a member and IRAs of partners and former partners of the firm. [REDACTED] does not have an interest in any of the assets of the Group Trust; however, because [REDACTED] a member of the committee which oversees Group Trust's investments, I have reported the assets in the Group Trust. The SRZ Savings Plan (line 127), the SRZ Cash Balance Plan (lines 132-134), the SRZ Partners Pension Plan (line 135) and the SRZ Thrift Plan (line 152) are invested in the SRZ Group Trust. The value of the investment in the Group Trust Shown in lines 151-186 includes the value of his investments in the Group Trust through the SRZ Savings Plan, Cash Balance Plan, Partners Pension Plan and Thrift Plan. I have reported "None" in Column B throughout as I am unable to provide a breakdown of the amount of income earned because the statements provided do not disclose the income earned for each of the individual assets held in the Group Trust.

Part VII, line 181-Spruce Hedge Fund Program SPC LTD-Portfolio II SP was identified as Spruce Hedge Fund Program SPC LTD-Portfolio B SP on my 2015 report (name change).

Part VII, line 183-Spruce Hedge Fund Program SPC LTD-Portfolio I SP was identified as Spruce Hedge Fund Program SPC LTC-Portfolio A SP on my 2015 report (name change).

Part VII, Lines 136-150-the SRZ Supplemental Retirement Fund is a retirement fund in which [REDACTED] has an interest. Because [REDACTED] directs the investments for [REDACTED] share of the fund, I have reported the assets in which [REDACTED] interest is invested. I have reported "none" in column B because the statements provided to not disclose the income earned for each of the individual assets held in this retirement fund.

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

Craig, Carla E.

Date of Report

02/06/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carla E. Craig

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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