

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GLASSER, ISRAEL L.	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address UNITED STATES COURTHOUSE 225 CADMAN PLAZA EAST BROOKLYN, NEW YORK 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Council	Hebrew Free Loan Society
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	NYS Employee's Retirement System	\$8357.16
2. 2013	TIAA Retirement Annuity	\$36000.
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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GLASSER, ISRAEL L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Tel. & Tel.	A	Dividend	M	T	Buy	09/12/13	K		
2. Alcatel Lucent	A	Dividend	J	T					
3. P.P. & L.	A	Dividend	J	T					
4. Chevron Texaco Corp.	C	Dividend	L	T					
5. Nuveen Growth & Inc. Fd. (Large Cap. Value)	A	Dividend	K	T					
6. Exxon Mobil	D	Dividend	N	T					
7. General Electric	A	Dividend	K	T	Buy	01/18/13	K		
8. Conoco Phillips	A	Dividend	K	T					
9. Norfolk Southern	B	Dividend	K	T					
10. Pepsico, Inc.	B	Dividend	M	T					
11. Scana Corp.	B	Dividend	K	T					
12. Washington Gas & Light	B	Dividend	L	T					
13. Nuveen Tax Ex. U. Tr. Ser. 202	B	Interest	K	T					
14. Ferris Baker Res. Fd	D	Interest	M	T					
15. U.S. Treas. Bills		None			Redeemed	12/09/10	M		
16. HSBC	A	Interest	L	T					
17. TIAA/CREF Mutual Fund	A	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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GLASSER, ISRAEL L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. State of Israel	A	Interest	J	T					
19. CMA NY Mun	A	Interest	J	T					
20. Quest	A	Dividend	J	T					
21. Axa	A	Dividend	J	T					
22. Nuveen NY Div MCPL	A	Dividend			Sold	11/12/13	J		
23. Merrill Lynch Bkg Adv	A	Dividend	K	T					
24. AVAYA	A	Dividend	J	T					
25. IBM	A	Dividend	J	T	Buy	09/12/13	K		
26. Vodafone	A	Dividend	J	T					
27. Agere	A	Dividend	J	T					
28. Comcast	A	Dividend	J	T					
29. GE Capital Corp. SRNOTE 5.815	A	Interest	L	T					
30. American Capital	A	Dividend	J	T					
31. Franklin Mutual Global (formerly Mutual Discovery)	A	Dividend	J	T					
32. Verizon	A	Dividend	K	T					
33. Southern Co.	A	Dividend	K	T	Buy	09/12/13	K		
34. Sysco Corp.	A	Dividend			Sold	06/12/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Kraft Food 6.125%	A	Interest	L	T					
36. Verizon 6.1%	A	Interest	L	T					
37. Burlington Northern 4.7%	A	Interest	L	T					
38. Goldman Sachs 5.375%	A	Interest	K	T					
39. General Electric 5.25%	A	Interest	L	T					
40. Proctor & Gamble	A	Dividend	K	T					
41. Nucor	A	Dividend			Sold	01/18/13	K	D	
42. Abbott Labs	A	Dividend	K	T					
43. Abbvie	A	Dividend	K	T	Spinoff (from line 42)	01/01/13	J		
44. Suffolk Co. NY Pub. Impt.	A	Interest	K	T					
45. NYS Twy. Auth. St. Pers.	A	Interest	K	T					
46. First Eagle Global CIC	A	Dividend	K	T					
47. First Eagle Fund	A	Dividend	J	T					
48. Blackrock Equity	A	Dividend	K	T					
49. NRG Energy (formerly Genon Energy)	A	Dividend	J	T					
50. Centerpoint Energy	A	Dividend	J	T					
51. Cisco Systems	A	Dividend			Sold	06/12/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Berkshire Hathaway B	A	Dividend	K	T					
53. Verizon	A	Dividend	K	T					
54. NYS Dorm Auth	A	Dividend	K	T					
55. NYS Ser C RF	A	Dividend	K	T					
56. Wells Fargo Growth Fund	A	Dividend	J	T					
57. First Eagle Fund of America	A	Dividend	J	T					
58. Black Rock Equity Dividend	A	Dividend	J	T					
59. Black Rock Global	A	Dividend	J	T					
60. Invesco Charter (formerly AIM Charter Fund)	A	Dividend	J	T					
61. Bank of China NY	A	Dividend	J	T	Buy	11/14/13	J		
62. TriBoro B & T Auth.	A	Dividend	K	T					
63. Exelon	A	Dividend	K	T					
64. Johnson & Johnson	A	Dividend	K	T					
65. Emerson Electric	A	Dividend			Sold	09/12/13	L	D	
66. CD Bank of India NY New York	A	Dividend			Redeemed	11/06/13	J		
67. Metro. Trans. Auth. NY Rev Trans.	A	Dividend	K	T	Buy	11/12/13	K		
68. Metro. Trans. AT NY Ded Tax Fd	A	Dividend	J	T					

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69. CD Banco Popular De Hato Rey	A	Dividend			Redeemed	05/01/13	J		
70. Phillips 66	A	Dividend	J	T					
71. CD Bank of India NY New York	A	Dividend	J	T	Buy	05/08/13	J		
72. New. York NY Ser 1	A	Dividend	J	T	Buy	05/03/13	J		
73. Intel	A	Dividend	L	T	Buy	03/15/13	L		
74. Caterpillar	A	Dividend	L	T	Buy	06/12/13	L		
75. HCP	A	Dividend	K	T	Buy	12/12/13	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, Line 15 - Inadvertently left off previous report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ISRAEL L. GLASSER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544