

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arguello, Christine M.	2. Court or Organization District of Colorado	3. Date of Report 08/03/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th St., A638 Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Center for Legal Inclusiveness - Volunteer
2.	Chair	Arguello Dream Team - Volunteer
3.	Founder and Advisory Council Member	Law School...Si Se Puede - Volunteer
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Matthew Bender & Co., Inc. - Royalty Income from book - Evidence: The Objection Method	\$2,195.46
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Public Employees' Retirement Association
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Rhodes Scholarship Foundation	11/21/2014 - 11/22/2014	Colorado Springs, CO	Chaired Selection Committee	Lodging, mileage
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	University of Colorado	Reduced price for two Club Seat Football Tickets	\$2,900.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	AES Student Loan	Co-signor on student loans	L
2.	SWBC Mortgage	Rental Property #2	M
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Property #2, Arvada, CO	E	Rent	N	R					
2. Chase Bank Accounts	A	Interest	J	T					
3. Wells Fargo Bank Accounts	A	Interest	J	T					
4. First Bank	A	Interest	J	T					
5. Bank of the West		None	J	T		10/07/14			
6. MetLife Trust Interests (MET)	A	Dividend	J	T					
7. ALARX		None	J	T	Buy	11/04/14	J		
8. ALARX		None	J	T	Buy (add'l)	11/18/14	J		
9. ALARX		None	J	T	Buy (add'l)	12/19/14	J		
10. DBLTX		None	J	T	Buy (add'l)	11/18/14	J		
11. DGAGX		None	J	T					
12. EIHMx		None	J	T	Sold (part)	02/03/14	J	A	
13. GHYYX		None	J	T	Buy (add'l)	11/18/14	J		
14. HGOIX		None	J	T	Buy (add'l)	12/15/14	J		
15. HGOIX		None	J	T	Buy (add'l)	12/22/14	J		
16. JCVIX		None	J	T					
17. LMNOX		None	J	T	Buy (add'l)	2/3/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. LSIIX		None	J	T	Sold (part)	6/12/14	J	A	
19. MERFX		None	J	T	Buy	06/14/14	J		
20. MHYIX		None	J	T					
21. NGIPX		None			Sold	11/4/14	J	B	
22. OSTIX		None	J	T					
23. PARSX		None	J	T					
24. YACKX		None	J	T					
25. OIFIX		None	J	T	Buy (add'l)	01/23/14	J		
26. OIFIX		None	J	T	Sold (part)	3/11/14	J	A	
27. OIFIX		None	J	T	Sold (part)	04/21/14	J	A	
28. OIEX		None	J	T	Buy (add'l)	01/23/14	J		
29. OIEX		None	J	T	Sold (part)	03/11/14	J	A	
30. OIEX		None	J	T	Sold (part)	04/21/14	J	A	
31. OILGX		None	J	T	Buy (add'l)	01/23/14	J		
32. OILGX		None	J	T	Sold (part)	03/11/14	J	B	
33. OILGX		None	J	T	Sold (part)	04/21/14	J	B	
34. OILVX		None	J	T	Buy (add'l)	01/23/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,001,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. OILVX		None	J	T	Sold (part)	03/11/14	J	B	
36. OILVX		None	J	T	Sold (part)	04/21/14	J	B	
37. OISGX		None	J	T	Buy (add'l)	01/23/14	J		
38. OISGX		None	J	T	Sold (part)	03/11/14	J	A	
39. OISVX		None	J	T	Buy (add'l)	01/23/14	J		
40. OISVX		None	J	T	Sold (part)	03/11/14	J	A	
41. Jackson Natl Life Ins. Co Perspective II 05/05		None	J	T					
42. Metlife Invs Ins Prods L Share Variable Annuity		None	J	T					
43. Metlife Inves Ins Prods Variable Annuity Class AA		None			Sold	04/21/14	K	A	
44. Nationwide Life Ins Co Destination B		None	M	T					
45. Jackson Natl Life Ins. Co Perspective II 05/05		None			Sold	04/16/14	K	D	
46. ECL	A	Dividend	J	T					
47. JP Morgan US GOV MMF*		None	J	T	Buy (add'l)	01/22/14	K		
48. JP Morgan US GOV MMF*	D	Distribution	J	T	Sold (part)	03/12/14	J	A	
49. JP Morgan US GOV MMF*	D	Distribution	J	T	Sold (part)	04/22/14	J	A	
50. PIP		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1: Property purchased on 4/8/2011 for \$260,000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christine M. Arguello**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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