

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Michael J.	2. Court or Organization United States District Court, Southern District of Ohio	3. Date of Report 05/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address 200 West Second Street, Room 505 Dayton, Ohio 45402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Cincinnati Public Radio, Inc.
2. Board of Directors	Federal Bar Association, National
3. Board of Directors	Federal Bar Association, Dayton, Ohio Chapter
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1991	United States Government Thrift Savings Plan; pension upon retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Valleyview Local School District - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Association	January 27 - 29, 2012	Tucson, AZ	Board of Directors Meeting	Transportation, meals, hotel
2. Federal Bar Association	March 29 - 31, 2012	Arlington, VA	Board of Directors Meeting	Transportation, meals, hotel
3. Federal Bar Association	June 20 - 24, 2012	Providence, RI	Board of Directors Meeting	Transportation, meals, hotel
4. Federal Bar Association	September 18 - 23, 2012	San Diego, CA	Board of Directors Meeting	Transportation, meals, hotel
5. American University - Washington College of Law	October 18 - 21, 2012	Washington, DC	Moot Court Competition	Transportation, meals, hotel
6. Notre Dame Law School	November 10 - 11, 2012	South Bend, IN	Trial Skills Competition	Transportation, meals, hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Primary Mortgage; Rental property #1; Beach Haven N.J.(Section 7, Line #18)	O
2.	CitiBank	Secondary Mortgage; Rental property #1; Beach Haven, N.J.	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. Gabelli Asset Fund	A	Dividend	J	T					
3. iShares MSCI Australia Index Fund	A	Dividend	J	T	Buy (add'l)	1/27/12	J		
4. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
5. India Fund	A	Dividend	J	T	Buy (add'l)	1/27/12	J		
6. SPDR S&P 500 ETF Trust	A	Dividend	J	T	Buy (add'l)	01/27/12	J		
7. Vanguard Total Stock Market ETF	A	Dividend	J	T					
8. Private Bank Deposit Account	A	Interest	J	T	Buy	11/07/12	J		
9. Vanguard FTSE All World EX-US ETF	A	Dividend	J	T	Buy	11/07/12	J		
10. Brokerage Account #2									
11. Gabelli Asset Fund	A	Dividend	J	T					
12. iShares MSCI Australia Index Fund	A	Dividend	J	T	Buy (add'l)	11/07/12	J		
13. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
14. India Fund	A	Dividend	J	T	Buy (add'l)	1/27/12	J		
15. SPDR S&P 500 ETF Trust	A	Dividend	J	T	Buy (add'l)	11/7/12	J		
16. Vanguard Total stock Market ETF	A	Dividend	J	T					
17. Private Bank Deposit Account	A	Interest	J	T	Buy	11/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Vanguard FTSE All World EX-US ETF	A	Dividend	J	T	Buy	11/07/12	J		
19. Brokerage Account #3									
20. Gabelli Asset Fund (Correction of spelling)	A	Dividend	J	T					
21. iShares MSCI Australia Index Fund	A	Dividend	J	T	Buy (add'l)	1/27/12	J		
22. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
23. India Fund	A	Dividend	J	T	Buy (add'l)	1/27/12	J		
24. SPDR S&P 500 ETF Trust	A	Dividend	J	T	Buy (add'l)	11/7/12	J		
25. Vanguard Total stock Market ETF	A	Dividend	J	T					
26. Private Bank Deposit Account	A	Interest	J	T	Buy	11/7/12	J		
27. Vanguard FTSE All World EX-US ETF	A	Dividend	J	T	Buy	11/7/12	J		
28. Brokerage Account #4									
29. "Private Bank" - deposit account	A	Interest			Sold	10/15/12	J	A	
30. Brokerage Acct #5									
31. Cincinnati Financial	A	Dividend			Sold	3/13/12	J	A	
32. Deutsche Telekom	A	Dividend			Sold	3/13/12	J	A	
33. Exxon Mobile	A	Dividend			Sold	3/13/12	J	A	
34. GE	A	Dividend			Sold	3/13/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Intel	A	Dividend			Sold	3/13/12	J	A	
36. Johnson & Johnson	A	Dividend			Sold	3/13/12	J	A	
37. Merck	A	Dividend			Sold	3/13/12	J	A	
38. Spectra Energy	A	Dividend			Sold	3/13/12	J	A	
39. Abbott Laboratories	B	Dividend			Sold	3/13/12	J	A	
40. Allscripts Healthcare	C	Dividend			Buy	3/2/12	J		
41.					Sold	3/13/12	J	A	
42. BP	B	Dividend			Buy	1/9/12	J		
43.					Sold	3/13/12	J	A	
44. Cisco Systems	C	Dividend			Sold	3/13/12	J	A	
45. Conoco Phillips	A	Dividend			Sold	3/13/12	J	A	
46. L3 Communications	C	Dividend			Buy	1/9/12	J		
47.					Sold	3/13/12	J	A	
48. Eli Lilly & Co	B	Dividend			Sold	3/13/12	J	A	
49. Morgan Stanley Cap Trust Pfd	B	Dividend			Sold	3/13/12	J	A	
50. Medtronic	C	Dividend			Buy	2/2/12	J		
51.					Sold	3/13/12	J	A	

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52. National Fuel Gas	C	Dividend			Buy	1/20/12	J		
53.					Sold	3/13/12	J	A	
54. Paccar	C	Dividend			Buy	2/1/12	J		
55.					Sold	3/13/12	J	A	
56. Penn West Energy	B	Dividend			Sold	3/13/12	J	A	
57. Pentair	B	Dividend			Sold	3/13/12	J	A	
58. Pfizer	C	Dividend			Buy	2/8/12	J		
59.					Sold	3/13/12	J	A	
60. Procter & Gamble	C	Dividend			Sold	3/13/12	J	A	
61. A Schulman	B	Dividend			Sold	3/13/12	J	A	
62. Waste Management	B	Dividend			Sold	3/13/12	J	A	
63. Wells Farbo Corp Pfd	B	Dividend			Sold	3/13/12	J	A	
64. Whirlpool	C	Dividend			Sold	3/13/12	J	A	
65. Applied Materials	A	Dividend			Sold	3/13/12	J	A	
66. Private Bank - Bank Deposit Account	A	Interest			Sold	3/16/12	M	A	
67. 401(k) #1	A	Interest			Sold	7/18/12	L	B	
68. Rental property #1 Beach Haven, N.J.	F	Rent	P1	W					

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69. 1/2 interest in family farm, Laura, Ohio	D	Rent	N	W					
70. Brokerage Account #6	A	Interest	L	T	Buy	11/21/12	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael J. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544