

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
500 PEARL STREET
NEW YORK, NEW YORK 10007

RECEIVED

2007 MAY 25 A 11: 02

FINANCIAL
DISCLOSURE OFFICE
May 16, 2007

LORETTA A. PRESKA
DISTRICT JUDGE

Hon. Ortrie D. Smith
Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

Re: Calendar Year 2006 Filing

Dear Judge Smith:

I write in response to yours of April 26, 2007, calling to my attention my inadvertent omission from my 2006 report of an item which had previously appeared, as you note, in my 2005 report in Part VII, page 4, line 55 as "Smith Barney Mun. NY MM". This item should have appeared in the 2006 report, but was omitted due to an oversight when the entries were copied from my 2005 report to my 2006 report. For 2006, the entry for Smith Barney Mun. NY MM should reflect the following in columns B and C: G, Div, M, T.

I regret this oversight and I request that you accept this letter, three copies of which are enclosed herewith) as an amendment to my Financial Disclosure Report for calendar year 2006 dated March 19, 2007.

Sincerely,


Loretta A. Preska

[Enclosure: 3 copies hereof]

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) PRESKA, LORETTA A.		2. Court or Organization US DISTRICT COURT FOR THE SOUTHERN DISTRICT OF N.Y.		3. Date of Report 3/19/07
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USPJ - ACTIVE		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 1/1/06 - 12/31/06
7. Chambers or Office Address ROOM 1320 - US Courthouse 500 Pearl Street NY NY 10007		5b. ☐ Amended Report		
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____				

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐	NONE (No reportable positions.)
1	VICE PRESIDENT FORUM LAW ALUMNI ASSOCIATION
2	CO-TRUSTEE TRUSTS 5 AND 7
3	MEMBER NY REGIONAL PANEL TO SELECT THE 2006-07 WHITE HOUSE FELLOWS

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements.)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

1		
2		
3		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ NONE (No reportable non-investment income.)

1	2006	SELF EMPLOYED LAWYER
2		

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INCOME
2007 MAR 26 A 10:23
FINANCIAL DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PRESKA, LORETTA A.

Date of Report

3/19/17

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCEDESCRIPTION

NONE (No such reportable reimbursements.)

1

2

3

4

5

6

7

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE

NONE (No such reportable gifts.)

1

\$

2

\$

3

\$

4

\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*

NONE (No reportable liabilities.)

1

2

3

4

5

*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PRBSKA, LORAN A.

Date of Report

3/15/07

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div, rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 JP MORGAN CHASE BANK ACCT	A	INT	J	T					
2 TRUST #1	E	DIV/INT	N	T					
3 FIDELITY SPARTAN 500 INDEX FUND									
4 FIDELITY NY MUNI M&B									
5 PROMISSORY NOTES									
6 S&P MIDCAP DEPOSIT RECEIPTS					B	3/8	J		
7					B	8/18	J		
8 TRUST #2	E	DIV/INT	N	T					
9 FIDELITY SPARTAN 500 INDEX FUND									
10 FIDELITY NY MUNI M&B									
11 PROMISSORY NOTES									
12 S&P MIDCAP DEPOSIT RECEIPTS					B	3/8	J		
13					B	8/18	J		
14 TRUST #3	C	DIV	N	T					
15 FIDELITY SPARTAN 500 INDEX FUND					B	3/10	J		
16 S&P MIDCAP DEPOSIT RECEIPTS					B	3/8	J		
17 FIDELITY NY MUNI M&B									

1	Income/Gain Codes: (See Col. B1, D4) A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$5,001-\$10,000 I=\$10,001-\$50,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3) J=\$15,000 or less N=\$250,001-\$500,000 P3=\$250,001-\$500,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2) Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

PRESKA. 40227A A.

3/19/07

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)*

[illegible]

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	I=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

PRESKA, LUNATTA A-

3/19/07

VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
35 IRA #1	G	DIV	P1	T					
36 - FIDELITY CASH RESERVE FUND									
37 - FURBER BROWNE GLOBAL VANG									
38 - DODGE & COX INTNL STK FUND									
39 - BARON GROWTH FUND									
40 - PUTCH TOTAL RETURN FUND									
41 IRA #2	D	DIV	M	T					
42 - FIDELITY SPANISH INFLX FUND					S	3/2	M	E	
43 - BARON GROWTH FUND									
44 - FIDELITY CONTRA FUND					B	3/2	M		
45 IRA #3	D	DIV	M	T					
46 - PUTCH TOTAL RETURN FUND									
47 - FIDELITY CASH RESERVE									
48 IRA #4	E	DIV	O	T					
49 - FIDELITY CASH RESERVE									
50 - DODGE & COX INTNL STK FUND									
51 - FURBER BROWNE GLOBAL VANG									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Praska, Lillian A.

3/17/07

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div, rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
52 - AMERICAN GROWTH FUND									
53 LAW FIRM RETIREMENT ACCT	F	DIV	O	T					
54 - PRIORITY CONTRA FUND									
55 - BRAD CROWTH FUND									
56 - PRIORITY CASH RESERVES									
57 - NYCA TOTAL RESERVE FUND									
58 LAW FIRM CONTRA PLANS INT IN		NONE	P	W					
59 PORTFOLIO CITY INV PARTNERS	A	K-1	J	W					
60 NYS MUNI BONDS	E	INT	O	T	CALL	4/3	K		
61 NYS MUNI BONDS	E	INT	M	T	CALL	3/5	L		
62					MOTUWAS	3/15	L		
63					CALL	4/3	L		
64					MOTUWAS	4/15	K		
65 CITIBANK ACCOUNTS	E	INT	P	T					
66 US SAVINGS BONDS		NONE	K	T					
67 NYS COLLADA SAVINGS PROGRAM		NONE	L	T					
68 PUTMAN SACROS FUND	A	DIV	J	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500	C=\$2,501-\$5,000	D=\$5,001-\$15,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000	N=\$250,001-\$500,000
3	Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

PANKA, LINDA A.

3/19/07

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

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☐ NONE (No reportable income, assets, or transactions.)									
59 IRA #5	A	DIV	K	T					
70 - VANGUARD MID CAP MGMT FUND									
71 - BARRON GROWTH FUND					B	3/8	J		
72 - FIDELITY CASH RESERVES									
73 - STP MIDCAP DEFENSIVE ACCOUNTS									
74 IRA #6	A	DIV	K	T					
75 - VANGUARD MID CAP MGMT FUND									
76 - BARRON GROWTH FUND					B	3/8	J		
77 FIDELITY CASH RESERVES									
78 - STP MIDCAP DEFENSIVE ACCOUNTS									
79 FL MUNI BONDS	C	INT	N	T	B	9/25	K		
80					B	10/20	L		
81 M+T Bank - accounts	B	INT	M	T					
82 LA MUNI BONDS	C	INT	M	T					
83 TX MUNI BONDS	D	INT	N	T					
84 SC MUNI BONDS	B	INT	L	T					
85 WA MUNI BONDS	D	INT	N	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 H2=More than \$5,000,000	D=\$5,001-\$15,000 E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000
3	Value Method Codes: Q=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PARSKA, LONETTA A.

Date of Report

3/19/07

VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

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	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
86 MN MUNI BONDS	B	INT	K	T					
87 PA MUNI BONDS	C	INT	L	T					
88 IL MUNI BONDS	E	INT	O	T	B	9/16	N		
89					B	10/10	L		
90 MA MUNI BONDS	D	INT	M	T	B	10/16	K		
91 AL MUNI BONDS	C	INT	L	T	B	5/23	K		
92 KY MUNI BONDS	C	INT	L	T					
93 HA MUNI BONDS	C	INT	L	T					
94 IN MUNI BONDS	D	INT	N	T					
95 WZ MUNI BONDS	D	INT	N	T					
96 AK MUNI BONDS	C	INT	L	T					
97 MI MUNI BONDS	D	INT	M	T	B	7/24	L		
98 SD MUNI BONDS	B	INT	M	T					
99 INTRACOR CORP 57K		NONE	N	T					
100 DL MUNI BONDS	C	INT	L	T					
101 NV MUNI BONDS	B	INT	L	T					
102 S+G MIDCAP 400 SPDR	D	DIV	N	T	B	3/2	N		

1	Income/Gain Codes: (See Col. B1, B3)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 I=\$15,001-\$50,000	E=\$50,001-\$100,000 F=\$100,001-\$500,000
2	Value Codes: (See Col. C1, C3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$250,001-\$500,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

PARRSKA, LOUISA A.

3/15/07

VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

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	(1) Amt. Code (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	(2) Date Month Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
203					B	8/18	J		
107 STP 500 Depository Banking	B	Div	M	T	B	3/2	N		
105 DC MUN BONDS	B	INT	L	T	B	7/31	L		
106					B	9/25	K		
108 OK MUN BONDS	B	INT	M	T	B	8/14	M		
105					B	9/11	L		
109/1A #7	A	Div	J	T					
110 - STP MOUNTAIN 400 SPONS					B	10/13	J		
109/1A/SHINE COMM STK		NONE	N	T	B	12/28	N		
108 PUTMAN VOTICAN FUND	A	Div	J	T					INVESTMENT 6/11/72 407 YEN
11									
12									
13									
14									
15									
16									
17									

1	Income/Gain Codes (Sec Col. B1, D4)	A = \$1,000 or less P = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2	Value Codes (Sec Col. C1, D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3	Value Method Codes (Sec Col. C2)	Q = Appraisal U = Book value	R = Cost (real estate only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PRESKA, LORETTA A-

Date of Report

3/19/07

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

I ADVISORY BOARD

FEDERALIST SOCIETY, NY CHAPTER

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

3/19/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544