

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shodeen, Anita L.	2. Court or Organization Bankruptcy Southern Iowa	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Federal Courthouse Annex 110 East Court Avenue, Suite 447 Des Moines, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Drake University Law School Speaker fee \$900 lump sum payment (approved by Circuit Chief Judge 09-12-2012)
2. 2012	Successor panel trustee and Beving, Swanson & Forrest, P.C. for percentage fees paid upon collection related to work completed prior to appointment.
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Shodeen, Anita L.

Date of Report

05/15/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Beving, Swanson & Forrest, P.C.	\$4,637.91
2. 2012	Drake University Law School speaker fee	\$900.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Des Moines University salary
2. 2012	Wandro & Associates salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 23-27, 2012	San Diego, CA	Annual Meeting	Hotel, transportation, meals
2. Bankruptcy Seminars, Inc.	August 22-24, 2012	Mason City, IA	Seminar	Hotel, meals, registration
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Shodeen, Anita L.

Date of Report

05/15/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	credit card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Shodeen, Anita L.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	A	Dividend	J	T					
2. -Vanguard Inflation Protected Securities Fund	A	Dividend	J	T					
3. IRA#2	A	Dividend	K	T					
4. -Vanguard REIT Index	A	Dividend	K	T					
5. Rollover IRA #1	C	Dividend	L	T					
6. -Vanguard Pacific Stock Index	A	Dividend	K	T					
7. -Vanguard European Stock Index	A	Dividend	K	T					
8. -Vanguard REIT Index	B	Dividend	K	T					
9. Rollover IRA #2	A	Dividend	K	T					
10. -Vanguard REIT Index	A	Dividend	J	T					
11. Rollover IRA #3	C	Dividend	M	T					
12. -Vanguard Energy Fund	B	Dividend	L	T					
13. -Vanguard Inflation Protected Securities Fund	B	Dividend	K	T					
14. Equitable 403(b)	A	Dividend			Sold	03/15/12	N		
15. -EQ Equity 500 Index	A	Dividend			Sold	03/15/12	M		
16. -EQ Small Company Index	A	Dividend			Sold	03/15/12	M		
17. -EQ Gameco Small Company Value	A	Dividend			Sold	03/15/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Shodeen, Anita L.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Multi Manager Core Bond	A	Dividend			Sold	03/15/12	L		
19. -EQ Global Bond	A	Dividend			Sold	03/15/12	K		
20. TIAA-CREF 403(b)	A	Dividend			Sold	03/26/12	M		
21. -CREF Bond Market	A	Dividend			Sold	03/26/12	K		
22. CREF Equity Index	A	Dividend			Sold	03/26/12	L		
23. Vanguard Prime Money Market	A	Dividend			Sold	06/06/12	J		
24. Uniform Gift to Minor	B	Dividend	L	T					
25. -Vanguard Total Stock Market Index	A	Dividend	J	T					
26. Uniform Gift to Minor	A	Dividend	K	T	Sold (part)	07/18/12	K		
27. -Vanguard Pacific Stock Index Fund	A	Dividend	J	T	Sold (part)	07/18/12	J		
28. -Vanguard European Stock Index Fund	A	Dividend	J	T	Sold (part)	07/18/12	J		
29. -Vanguard Total Stock Market Index	A	Dividend	K	T	Sold (part)	07/18/12	J		
30. -Vanguard Small Cap Val. Index	A	Dividend	J	T	Sold (part)	07/18/12	J		
31. Wells Fargo Bank (accounts)	A	Interest	K	T					
32. IRA #3	B	Dividend	K	T					
33. -Vanguard Precious Metals & Mining Fund	B	Dividend	K	T					
34. -Vanguard Total International Stock Index Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Shodeen, Anita L.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. ☐ RA	D	Dividend	N	T					
36. -Vanguard Total International Stock Index	C	Dividend	M	T					
37. -Vanguard Inflation Protected Securities	B	Dividend	K	T					
38. -Vanguard International Explorer	B	Dividend	L	T					
39. -Vanguard Emerging Markets Stock Index	B	Dividend	L	T					
40. -Vanguard Total Bond Market Index	C	Dividend	M	T					
41. Vanguard Taxable Joint Account	B	Int./Div.	K	T	Sold (part)	06/06/12	K		
42. -Total International Stock	A	Dividend			Sold	06/06/12	J		
43. -Total Stock	A	Dividend			Sold	06/06/12	J		
44. -Intermediate Tax Exempt	A	Dividend	J	T					
45. -Limited Term Tax Exempt	A	Dividend	J	T					
46. -Vanguard Prime Money Market	A	Int./Div.			Sold	06/06/12	J		
47. John Hancock Life Insurance Company	A	Interest	J	T					
48. ☐ RA #2	A	Dividend	J	T	Buy	05/16/12	J		
49. -Vanguard Total Stock	A	Dividend	K	T	Buy	05/16/12	J		
50. Rollover IRA #4	D	Dividend	J	T					
51. -Vanguard Small Cap Index	B	Dividend	L	T	Buy	03/23/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Shodeen, Anita L.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -Vanguard Small Cap Value	C	Dividend	M	T	Buy	03/23/12	M		
53. -Vanguard Bond Market Index	B	Dividend	K	T	Buy	04/02/12	K		
54. -Vanguard Total Stock Market Index	C	Dividend	M	T	Buy	03/23/12	L		
55. -Vanguard 500 Index	B	Dividend	M	T	Buy	03/23/12	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Shodeen, Anita L.

Date of Report

05/15/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III (A)(1) Non-Investment Income

Payment is made directly to my former law firm, Beving, Swanson & Forrest, P.C.. Based upon an agreement in place with Beving, Swanson & Forrest, P.C. I then receive a percentage of collected amounts that are reported on a 1099 tax form at year end.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Shodeen, Anita L.

Date of Report

05/15/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anita L. Shodeen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544