

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reeves, Pamela L.	2. Court or Organization United States District Court Eastern District of Tennessee	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Howard H. Baker, Jr., U.S. Courthouse 800 Market Street, Suite 145 Knoxville, TN 37902 865-545-4255		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Sole Proprietor	Pamela L. Reeves, Mediation (Jan. - March, 2014)
2. Immediate Past Chair and Member, Board of Trustees	Tennessee Bar Foundation
3. Executive Committee Member	ABA - Federal Judges Division
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 03/09/14	Self-employed attorney	\$85,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Salary - City of Knoxville
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Virginia	04/20/2014 - 04/22/2014	Charlottesville, VA	Speaker in law school class	Mileage & Hotel
2. William & Patricia Harbison	09/26/2014 - 09/28/2014	Nashville, TN	Wedding	Hotel Room
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Eddie Mannis	Alcohol for Investiture Party	\$750.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Real Property #1 Salisbury, N.C.	A	Rent	K	W					
2. RentalProperty #2 Salisbury, N.C.	A	Rent	K	W					
3. Business property #1, Knoxville, TN	E	Rent	N	W					
4. Charles Schwab Money Market Fund	A	Int./Div.	K	T					
5. AGCO Common Stock	A	Int./Div.	J	T					
6. AllianceBernstein Small Cap Growth A	A	Int./Div.			Sold	12/11/14	J		
7. American Funds AMCAP A	A	Int./Div.			Sold	12/11/14	J		
8. American Funds EuroPac Gr R3	A	Int./Div.	J	T					
9. American Intl Group Common Stock	A	Int./Div.	J	T					
10. Apple Computer	A	Int./Div.			Sold	05/06/14	J		
11. Aspen Insurance Holding LTD. Common Stock	A	Int./Div.			Sold	03/12/14	J		
12. ATHENE Annuity & Life	A	Int./Div.	K	T					
13. Avivia Advantage Builder Series III whole life insurance pool	A	Int./Div.			Merged (with line 12)	12/31/14	J		
14. Astrazeneca PLC Commons Stock	A	Int./Div.			Sold	05/05/14	J		
15. Berkshire Hathaway Common Stock	A	Int./Div.	K	T					
16. Black Rock Equity Fund Dividend R	A	Int./Div.	J	T					
17. Calvert Income A	A	Int./Div.			Sold	12/11/14	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$250,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Calvert Short Duration Income A	A	Int./Div.			Sold	12/11/14	J		
19. CREF Equity Index	A	Int./Div.			Sold	12/10/14	J		
20. CREF Money Market	A	Int./Div.			Sold	12/10/14	J		
21. CREF Bond Market Fund	A	Int./Div.			Sold	12/10/14	J		
22. CREF Global Equities	A	Int./Div.			Sold	12/10/14	J		
23. CREF Growth	A	Int./Div.			Sold	12/10/14	J		
24. Cisco Sys Inc. Common Stock	A	Int./Div.			Sold	12/10/14	J		
25. CitiGroup Common Stock	A	Int./Div.	J	T					
26. City of Knoxville TN Pension Plan G Option 1	A	Int./Div.	J	T					
27. Columbia Small Cap Value Fund I Class A	A	Int./Div.			Sold	12/11/14	J		
28. Deere & Co.	A	Int./Div.	J	T					
29. Ensco PLC Class A Common Stock	A	Int./Div.	J	T					
30. Exor S.p.A.	A	Int./Div.	J	T					
31. Fairfax Financial Holdings Ltd.	A	Int./Div.	K	T					
32. Fidelity Adv Small Cap Fund	A	Int./Div.			Merged (with line 34)	06/30/14	J		
33. First Tennessee Bank cash accounts	A	Int./Div.	L	T					
34. Franklin Small Cap Growth	A	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Goldman Sachs Common Stock	A	Int./Div.	J	T					
36. Guardian Modified Benefit whole life insurance pool	A	Int./Div.	J	T					
37. Hartford whole life insurance policy	A	Int./Div.	K	T					
38. INCRI Corp. Common Stock	A	Int./Div.	J	T					
39. Intel Common Stock	A	Int./Div.			Sold	06/11/14	J		
40. Intl. Bus. Machines Common	A	Int./Div.	J	T					
41. Ivy International Core Equity A	A	Int./Div.			Sold	12/11/14	J		
42. J.P. Morgan & Co. Common Stock	A	Int./Div.	J	T					
43. J.P. Morgan MidCap Growth A	A	Int./Div.			Sold	12/11/14	J		
44. Kellogg Co. Common Stock	A	Int./Div.	J	T					
45. Leucadia National Corp. Common Stock	A	Int./Div.	J	T					
46. Lincoln National Universal whole	A	Int./Div.	J	T					
47. Lindsay Corporation	A	Int./Div.	J	T					
48. Loews	A	Int./Div.	J	T					
49. Markel Corp. Common Stock	A	Int./Div.	J	T					
50. Mattel, Inc. Common Stock	A	Int./Div.	J	T					
51. National Oil Well Varco	A	Int./Div.	J	T					

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52. Nationwide Investors Destination Moderately Conservative Fund	A	Int./Div.			Sold	12/11/14	J		
53. Oaktree Capital Group Common Stock	A	Int./Div.	J	T					
54. Ocwen Financial Corp.	A	Int./Div.	J	T					
55. Perkins Small Cap Value R	A	Int./Div.			Merged (with line 34)	06/30/14	J		
56. Phillip Morris International	A	Int./Div.			Sold	07/22/14	J		
57. PIMCO Total Return Fund	A	Int./Div.	J	T					
58. Pioneer Bond Fund	A	Int./Div.	J	T					
59. Pioneer Equity Income A	A	Int./Div.			Sold	12/11/14	J		
60. Prudential Guaranteed Income Fund	A	Int./Div.	J	T					
61. Prudential Jenn Mid Cap Growth A	A	Int./Div.	J	T					
62. RenaissanceRe Holdings Ltd. Common Stock	A	Int./Div.	J	T					
63. Rolls Royce Holdings	A	Int./Div.	J	T					
64. Sit Dividend Growth Fund	A	Int./Div.			Sold	12/11/14	J		
65. SunAmerica Strategic Bond CI C	A	Int./Div.	J	T					
66. SunAmerica Money Market CI A	A	Int./Div.	J	T					
67. SunAmerica Focused Multi Asset CI C	A	Int./Div.	J	T					
68. SunAmerica Focused Alpha Growth CI C	A	Int./Div.	J	T					

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69. Tesco PLC ADRF Common Stock	A	Int./Div.			Sold	10/09/14	J		
70. Tupperware Corp.	A	Int./Div.	J	T					
71. Unilever PLC ADR Common Stock	A	Int./Div.			Sold	05/27/14	J		
72. UnitedHealth Group Common Stock	A	Int./Div.	J	T					
73. Vanguard Energy Fund Investor	A	Int./Div.	J	T					
74. Vanguard Health Care Fund Investor	A	Int./Div.			Sold	06/20/14	J		
75. Vanguard Windsor II Fund Investor	A	Int./Div.	K	T					
76. Vanguard Growth and Income Fund	A	Int./Div.	K	T					
77. Vanguard Health Care Fund Admiral Shores	A	Int./Div.	L	T					
78. Vodafone Group Common Stock	A	Int./Div.			Sold	11/20/14	J		
79. Vict Integral Small CAD-R-b	A	Int./Div.	J	T					
80. Weitz Value Portfolio Equity Funds	A	Int./Div.	J	T					
81. Wells Fargo Advantage Growth A	A	Int./Div.	J	T					
82. Whistler Blackcomb Holdings, Inc.	A	Int./Div.			Sold	08/20/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

We have approximately \$17,498.50 in trust in attorney [REDACTED]'s trust account in [REDACTED] pending resolution of final tax payments relative to the sale of property in [REDACTED].

In December, 2014, I transferred the balances in three qualified retirement funds from the University of Tennessee TCREF and Knox County pension plans to my THRIFT plan. The securities that were transferred and the dates of the transfers were as follows:

Alliance Bernstein Small Cap Growth A - 12/11/14
American Funds AMCAP A - 12/11/14
Calvert Income A - 12/11/14
Calvert Short Duration Income A - 12/11/14
CREF Equity Index - 12/10/14
CREF Money Market - 12/10/14
CREF Bond Market Fund - 12/10/14
CREF Global Equities - 12/10/14
CREF Growth - 12/10/14
Columbia Small Cap Value Fund I Class A - 12/11/14
Ivy International Core Equity A - 12/11/14
J.P. Morgan Mid Cap Growth A - 12/11/14
Nationwide Investors Destination Moderately Conservative Fund - 12/11/14
Pioneer Equity Income A - 12/11/14
Sit Dividend Growth Fund - 12/11/14

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Pamela L. Reeves

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544