

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 05/04/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 255 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. National Conference of
Bankruptcy Judges

October 25-29, 2016

San Francisco, CA

Annual Meeting

Hotel room

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BJD Somers Family LLC	F	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company	B	Interest	K	T					
3. C.M. Life Insurance Company	A	Interest	J	T					
4. C.M. Life Insurance Company	A	Interest	J	T					
5. Fidelity Management Trust Company - IRA Account									See Note in Part VIII
6. -Claymore Securities Defined Portfolios	A	Dividend	K	T					
7. -Vanguard GNMA Fund	A	Dividend			Sold	12/19/16	K	E	
8. -Fidelity Cash Reserves	A	Int./Div.	K	T					
9. -Templeton Global Bond Fund	A	Dividend	J	T	Sold (part)	07/01/16	L	E	
10.					Sold (part)	11/10/16	J	A	
11. -Fidelity GNMA Bond	B	Dividend			Sold	12/19/16	L	D	
12. -Harbor Bond Adm	D	Dividend	M	T					
13. -Ishares S&P Midcap 400 Growth	A	Dividend	L	T					
14. -TCW Core Fixed Income	B	Dividend			Sold (part)	05/25/16	K	A	
15.					Sold	12/19/16	M	D	
16. -Doubleline Total Rt Bond Fd	D	Dividend	M	T	Buy (add'l)	05/25/16	K		
17.					Sold (part)	08/08/16	L	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18.					Sold (part)	11/10/16	J	A	
19. -Metropolitan West Total Return	C	Dividend	M	T					
20. -Fidelity High Income	C	Dividend	L	T					
21. -Eaton Vance Floating Rate	C	Dividend	L	T					
22. -Oakmark Intl Fund	A	Dividend	L	T					
23. -American Century Value	C	Dividend	L	T					
24. -Ishares Core S&P 500 ETF	C	Dividend	M	T	Buy (add'l)	07/13/16	L		
25.					Sold (part)	09/14/16	K	B	
26.					Sold (part)	11/15/16	J	A	
27. -Ishares S&P 500 Growth ETF	B	Dividend	M	T					
28. -Ishares S&P 500 Value ETF	A	Dividend	J	T	Sold (part)	11/15/16	K	B	
29.					Sold (part)	12/21/16	K	C	
30. -Ishares Trust Core MSCI EAFE ETF	A	Dividend	L	T	Sold (part)	11/15/16	J	A	
31. -Oakmark Fund I	A	Dividend			Sold	07/11/16	L	D	
32. -Wells Fargo Advtg Special Mid Cap Adm	A	Dividend	L	T	Sold (part)	05/25/16	J	A	
33.					Sold (part)	11/10/16	J	A	
34. -Baird Aggregate Bond Fund Investor Cl	A	Dividend	L	T	Buy	07/21/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Ishares Core S&P Small - Cap Etf	A	Dividend	L	T	Buy	08/10/16	L		
36. -Ishares Core U.S. Aggregate Bond Etf	A	Dividend	M	T	Buy	12/21/16	M		
37. Fidelity Management Trust Company - IRA Account									See Note in Part VIII
38. -Fidelity Cash Reserves	A	Int./Div.	J	T					
39. -Harbor Bond	B	Dividend	K	T					
40. -Metropolitan West Total Return	A	Dividend			Sold	05/25/16	L	C	
41. -Templeton Global Bond	A	Dividend	J	T					
42. -Ishares S&P 500 Growth ETF	A	Dividend	K	T	Sold (part)	05/27/16	J	A	
43.					Sold (part)	11/15/16	J	A	
44. -Baird Aggregate Bond Fund Investor Cl	A	Dividend	K	T	Buy	05/25/16	K		
45. Fidelity Management Trust Company - Non IRA Acct									See Note in Part VIII
46. -Johnson County Kansas Un Sch Dist No 233 4.0% 9/1/16	A	Interest			Redeemed	09/01/16	K	A	
47. -Overland Park KS Go Intl Impt Bd 9/1/20 4%	A	Interest	K	T					
48. -Fidelity Government Cash Reserves	A	Dividend	J	T					
49. -Templeton Global Bond	A	Dividend	L	T					
50. -Eaton Vance Floating Rate	A	Dividend			Sold	01/14/16	L	A	
51. -American Century Value	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -Ishares Russell 1000 Value	B	Dividend	L	T					
53. -Ishares Trust Core MSCI EAFE ETF	A	Dividend	L	T					
54. -Ishares Core S&P 500 Value ETF	A	Dividend	K	T					
55. -Ishares Core S&P 500 Growth ETF	A	Dividend	K	T	Sold (part)	11/15/16	J	A	
56. -Oakmark International CI I	A	Dividend	K	T	Buy	05/25/16	K		
57. -Doubleline Total Return Bond Fund (X)	A	Dividend	J	T					
58. -Ishares S&P Midcap 400 Growth (X)	A	Dividend	K	T					
59. -Fidelity GNMA Fund	A	Dividend			Buy	05/25/16	K		
60.					Sold	12/19/16	K	A	
61. -Harding Loevner Emerg Mkts Port Adv	A	Dividend	K	T	Buy	09/12/16	K		
62.					Sold (part)	11/10/16	J	A	
63. -TCW Core Fixed Income Class N	A	Dividend	K	T	Buy	11/10/16	K		
64. -Ishares Core U.S. Aggregate Bond	A	Dividend	K	T	Buy	12/21/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- A. Item #1 BJD Somers Family LLC - I am a member. I am not an officer or board member and I have no managerial responsibilities.
- B. Items listed in #6 through #36 are all assets of Fidelity Management Trust Company IRA account identified in #5 above.
- C. Items listed in #38 through #44 are all assets of Fidelity Management Trust Company IRA account identified in #37 above.
- D. Items listed in #46 through #64 are all assets of Fidelity Management Trust Company non-IRA account identified in #45 above.
- E. Item #48 Fidelity Government Cash Reserves was corrected from the name Fidelity Municipal Money Market

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dale L. Somers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544