

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Beckerman, Stacie F.	2. Court or Organization U.S. District Court, Oregon	3. Date of Report 01/26/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 927 U.S. Courthouse 1000 SW Third Ave. Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Queen's Bench (local chapter of Oregon Women Lawyers)
2.	Director	U.S. District Court Historical Society
3.	Director	Oregon Women Judges
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Beckerman, Stacie F.

Date of Report

01/26/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Providence Health & Services (spouse's wages)
2. 2016	Self-employed spouse (writing, consulting, speaking)
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Beckerman, Stacie F.

Date of Report

01/26/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Beckerman, Stacie F.

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01/26/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank (various accounts)	A	Interest	M	T					
2. Fidelity Investments Partners 403(b) - Vang Inst Tr 2035	A	Int./Div.	L	T					
3. Fidelity Investments Roth IRA - Fidelity Growth Strategic Fund	A	Int./Div.	J	T					
4. Fidelity Investments PHandS Value Plan 403B	D	Int./Div.	M	T					
5. Fidelity Investments PHandS DC Restoration	B	Int./Div.	M	T					
6. Fidelity Investments PHandS 457(B) Plan	C	Int./Div.	M	T					
7. Fidelity Investments MGH Academic Annuity - Vang Tar Ret 2035	A	Int./Div.	J	T					
8. Fidelity Investments PHandS 401(A) Service	A	Int./Div.	L	T					
9. Fidelity Investments MGH TSA - Vang Tar Ret 2035	A	Int./Div.	K	T					
10. Oregon College Savings Plan Aggressive 1 Portfolio		None	L	T					
11. Oregon College Savings Plan Aggressive Portfolio 2		None	L	T					
12. Oregon College Savings Plan U.S. Equity Index Portfolio 1		None	L	T					
13. Oregon College Savings Plan U.S. Equity Index Portfolio 2		None	M	T					
14. TIAA-CREF Retirement Savings Plan (H)									
15. -- CREF Equity Index R3		None	L	T					
16. -- CREF Global Equities R3		None	J	T					
17. -- CREF Bond Market R3		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- CREF Money Market R3		None	J	T					
19. Wells Fargo Skadden Arps Savings Plan (H)									
20. -- Vang Tot Bond Mkt Index I		None	J	T					
21. -- AMG Times Sq Sm Cap Grwth Fnd I		None	L	T					
22. -- Dodge & Cox Stock		None	J	T					
23. -- T Rowe Pr Grwth Stk Trst A		None	K	T					
24. -- Vang Instl Index		None	L	T					
25. Charles Schwab Roth Conversion IRA (H)									
26. -- ABC	A	Dividend	J	T					
27. -- BDX	A	Dividend	J	T					
28. -- LOW	A	Dividend	J	T					
29. -- PEP	A	Dividend	J	T					
30. -- PNC	A	Dividend	J	T					
31. -- PFE	A	Dividend	J	T	Buy (add'l)	11/08/16	J		
32. -- SNPS		None			Sold	04/26/16	J	C	
33. -- MA	A	Dividend	J	T					
34. -- Schwab Govt Money Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Beckerman, Stacie F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- CL	A	Dividend	J	T					
36. -- ADP	A	Dividend	J	T					
37. -- ETN	A	Dividend	J	T					
38. -- BCE	A	Dividend	J	T					
39. -- RSG	A	Dividend	J	T					
40. -- JNJ	A	Dividend	J	T					
41. -- UPS	A	Dividend	J	T					
42. -- VZ	A	Dividend	J	T					
43. -- MSFT	A	Dividend	J	T					
44. -- COP	A	Dividend	J	T					
45. -- UN	A	Dividend	J	T					
46. -- XOM	A	Dividend	J	T					
47. -- PX	A	Dividend	J	T					
48. -- IBM	A	Dividend	J	T					
49. -- SLB	A	Dividend	J	T					
50. -- TMO	A	Dividend	J	T	Buy (add'l)	01/28/16	J		
51. -- LLL	A	Dividend	J	T	Buy (add'l)	01/28/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Beckerman, Stacie F.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. -- QCOM		None			Sold	01/29/16	J		
53. -- EMR		None			Sold	03/18/16	J		
54. -- WRK (formerly Rock-Tenn Co.)	A	Dividend	J	T					
55. -- WDC		None			Sold	01/26/16	J		
56. -- ABBV		None			Sold	06/29/16	J	A	
57. -- MCD	A	Dividend	J	T					
58. -- PKG	A	Dividend	J	T					
59. -- AGN		None	J	T	Buy	08/02/16	J		
60.					Buy (add'l)	11/08/16	J		
61. -- GOOG		None	J	T	Buy	04/25/16	J		
62. -- NSRGY	A	Dividend	J	T	Buy	08/03/16	J		
63. -- WFC	A	Dividend	J	T	Buy	04/18/16	J		
64. Charles Schwab Simplified Employee Plan (H)									
65. -- Vanguard Total Stock Market ETF	A	Int./Div.	J	T					
66. -- Schwab US Treasury Money Fund		None	J	T					
67. Charles Schwab Roth Conversion IRA (H)									
68. -- Vanguard Total Stock Market ETF	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Beckerman, Stacie F.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. -- Schwab Govt Money Fund		None	J	T					
70. Charles Schwab Brokerage Account (H)									
71. -- Schwab US Treas Money Fund	A	Dividend	M	T					
72. -- LOW	B	Dividend	L	T					
73. -- ABC	B	Dividend	L	T					
74. -- BDX	B	Dividend	L	T					
75. -- PNC	B	Dividend	L	T					
76. -- PFE	B	Dividend	L	T	Buy (add'l)	11/08/16	J		
77. -- MA	A	Dividend	L	T					
78. -- SNPS		None			Sold	04/25/16	K	E	
79. -- ETN	B	Dividend	L	T					
80. -- PEP	B	Dividend	L	T					
81. -- RSG	B	Dividend	L	T	Sold (part)	03/10/16	J	C	
82. -- BCE	C	Dividend	L	T					
83. -- JNJ	B	Dividend	L	T					
84. -- CL	B	Dividend	L	T					
85. -- UN	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Beckerman, Stacie F.

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86. -- MSFT	B	Dividend	L	T					
87. -- VZ	C	Dividend	L	T					
88. -- ADP	B	Dividend	L	T					
89. -- SLB	B	Dividend	K	T					
90. -- UPS	B	Dividend	L	T					
91. -- EMR		None			Sold	03/18/16	K	D	
92. -- TMO	A	Dividend	L	T					
93. -- LLL	B	Dividend	L	T					
94. -- COP	A	Dividend			Sold	11/16/16	J		
95. -- WRK (formerly Rock-Tenn Co.)	A	Dividend	K	T					
96. -- XOM	B	Dividend	K	T					
97. -- ABBV		None			Sold	06/29/16	K	C	
98. -- CAT	A	Dividend	K	T					
99. -- MCD	B	Dividend	K	T					
100. -- IBM	B	Dividend	K	T					
101. -- PX	A	Dividend	K	T					
102. -- PKG	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. -- WFC	A	Dividend	K	T	Buy	04/18/16	K		
104. -- AGN	A	Dividend	K	T	Buy	11/08/16	K		
105.					Sold (part)	12/12/16	K		
106. -- GOOG		None	K	T	Buy	04/25/16	K		
107. -- NSRGY	A	Dividend	K	T	Buy	08/03/16	K		
108.					Buy (add'l)	11/16/16	K		
109.					Sold (part)	12/19/16	K		
110. -- NVS		None			Buy	03/02/16	K		
111.					Sold	11/08/16	K		
112. Ardent Companies (commercial investments)	E	Interest	N	T	Buy (add'l)	06/02/16	K		
113.					Buy (add'l)	06/13/16	L		
114.					Buy (add'l)	10/21/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Beckerman, Stacie F.

Date of Report

01/26/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, I deleted Line 15 from my original report, which previously reported holdings in the Vanguard Group Partners Healthcare 403(B)(7) Plan. This was [REDACTED] retirement plan from a prior job, and I mistakenly included it in my 2015 and 2016 reports. Our holdings in this fund transferred to the (disclosed) Fidelity 403(b) fund in 2013, but continued to appear in error as a current holding on our home financial software.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Beckerman, Stacie F.

Date of Report

01/26/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stacie F. Beckerman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544