

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baughman, William H.	2. Court or Organization U.S. District Court, N.D. Ohio	3. Date of Report 05/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-Time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court Carl B. Stokes United States Courthouse 801 West Superior Avenue 10A Cleveland, Ohio 44113-1846		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014	Substitute Teacher -- Gesu School
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Notre Dame Law School	1/8/14 - 1/11/14	South Bend, IN	Participate in moot court	Lodging
2. Notre Dame Law School	8/19/14 - 8/22/14	South Bend, IN	Participate in moot court	Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual: Extra Ordinary Life	A	Dividend	L	T					
2. Northwestern Mutual: Whole Life	A	Dividend	L	T					
3. LPL Financial - IRA # 5									
4. -- Apple	A	Dividend	J	T					
5. -- Artisan Global Value	A	Dividend	J	T					
6.					Buy	10/14/14	J		
7. --Berwyn Funds/Income Fund	A	Dividend	L	T					
8.					Sold (part)	01/16/14	J	A	
9.					Buy	10/14/14	J		
10.					Buy	12/31/14	L		
11. --FPA Crescent Instl	B	Dividend	L	T					
12. --FPA New Income	B	Dividend	K	T					
13.					Sold (part)	10/06/14	J	A	
14.					Sold (part)	11/06/14	J	A	
15.					Sold (part)	12/06/14	J	A	
16. -- Virtus Emerging Markets	A	Dividend	J	T					
17.					Buy	10/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 L ~\$50,001 - \$100,000	E ~\$15,001 - \$50,000 M ~\$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy	11/20/14	J		
19.					Buy	12/18/14	J		
20. --Matthews International/Asia	A	Dividend	J	T					
21. --Neuberger Berman Strategic Income	A	Dividend	K	T					
22.					Buy	09/26/14	J		
23.					Buy	10/16/14	J		
24.					Buy	11/18/14	J		
25.					Buy	12/16/14	J		
26. --Royce Special/Equity Investment	B	Dividend	K	T					
27.					Sold (part)	10/06/14	J	A	
28.					Sold (part)	11/06/14	J	A	
29.					Sold (part)	12/04/14	J	A	
30. --First Eagle/Global I	C	Dividend	L	T					
31.					Sold (part)	10/06/14	J	B	
32.					Sold (part)	11/06/14	J	B	
33.					Sold (part)	12/04/14	J	B	
34. --Templeton Global/Tr Gbl	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	10/06/14	J	A	
36.					Sold (part)	11/06/14	J	A	
37.					Sold (part)	12/04/14	J	A	
38. LPL Financial - IRA # 6									
39. --Artisan Global Value	A	Dividend	J	T					
40.					Buy	07/15/14	J		
41. --Berwyn Income	A	Dividend	K	T					
42.					Buy	07/23/14	J		
43.					Buy	08/25/14	J		
44.					Buy	09/23/14	J		
45.					Buy	10/23/14	J		
46. --FPA Crescent Instl	A	Dividend	K	T					
47.					Buy	07/25/14	J		
48.					Buy	08/27/14	J		
49.					Buy	09/25/14	J		
50.					Buy	10/27/14	J		
51. --FPA New Income	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --Virtus Emerging Markets	A	Dividend	J	T					
53.					Buy	07/21/14	J		
54.					Buy	08/21/14	J		
55.					Buy	09/19/14	J		
56.					Buy	10/21/14	J		
57. --Matthews International/Asia	A	Dividend	J	T					
58.					Sold (part)	07/15/14	J	A	
59. --Neuberger Berman Strategic Income	A	Dividend	J	T					
60.					Buy	07/15/14	J		
61. --Royce Special/Equity Investment	A	Dividend	J	T					
62.					Sold (part)	07/21/14	J	A	
63.					Sold (part)	08/21/14	J	A	
64.					Sold (part)	09/19/14	J	A	
65.					Sold (part)	10/21/14	J	A	
66. --First Eagle/Global I	A	Dividend	K	T					
67. --Templeton Global	B	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William H. Baughman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544