

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Duncan, Allyson K.                                                                                                                   | <b>2. Court or Organization</b><br><br>US Court of Appeals, 4th Circuit                                                                                                                                                                                                                  | <b>3. Date of Report</b><br><br>06/10/2014                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Circuit Judge- Active                                                         | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>Glenlake One Building<br>1440 Parklake Ave., Ste 250<br>Raleigh, NC 27612                                                                                     |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Trustee         | Trust #1 - [REDACTED]              |
| 2. | Trustee         | Trust #2 - [REDACTED]              |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                  | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|-----------------------------------------|----------------------------------------|
| 1. 2013     | Duke University-teaching                | \$4,500.00                             |
| 2. 2013     | State Employees Retirement System of NC | \$17,510.00                            |
| 3.          |                                         |                                        |
| 4.          |                                         |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. 2013     | Prudential Retirement  |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

|    | <u>SOURCE</u>       | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------------|--------------------|--------------|
| 1. | Susman Godfrey, LLP | legal services     | \$26,658.91  |
| 2. |                     |                    |              |
| 3. |                     |                    |              |
| 4. |                     |                    |              |
| 5. |                     |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE</u> | <u>CODE</u> |
|----|-----------------|--------------------|--------------|-------------|
| 1. |                 |                    |              |             |
| 2. |                 |                    |              |             |
| 3. |                 |                    |              |             |
| 4. |                 |                    |              |             |
| 5. |                 |                    |              |             |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. North State Bancorp, Common                                                                                              |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Ameriprise MM                                                                                                            | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Riversource Life Insurance Co-Immediate Annuity                                                                          |                                         | None                                          | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Ameriprise Brokerage Acct #1 (H)                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. -Ameriprise MM                                                                                                           | A                                       | Interest                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. -ASRAX                                                                                                                   | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/13                | K                               |                                |                                                                   |
| 7. -DLHAX                                                                                                                   | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. -DPDFX                                                                                                                   | B                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 10/22/13                | J                               |                                |                                                                   |
| 9. -DPDFX                                                                                                                   |                                         |                                               |                                                 |                                           | Sold                                            | 12/31/13                | K                               |                                |                                                                   |
| 10. -FDAGX                                                                                                                  | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/13                | K                               | C                              |                                                                   |
| 11. -FKUTX                                                                                                                  | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/13                | K                               | B                              |                                                                   |
| 12. -HLMGX                                                                                                                  | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. -ETAGX                                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 10/22/13                | J                               | B                              |                                                                   |
| 14. -PDVAX                                                                                                                  | B                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 10/22/13                | J                               |                                |                                                                   |
| 15. -PDVAX                                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 12/31/13                | K                               |                                |                                                                   |
| 16. -PBSMX                                                                                                                  | C                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 04/03/13                | K                               |                                |                                                                   |
| 17. -PBSMX                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/03/13                | K                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                            |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H ~\$1,000,001 - \$5,000,000       | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                            | T = Cash Market                                            |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. -PBSMX                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/03/13                | K                               |                                |                                                                   |
| 19. -PBSMX                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/02/13                | K                               |                                |                                                                   |
| 20. -PBSMX                                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 12/31/13                | M                               |                                |                                                                   |
| 21. -GHAAX                                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. -SGENX                                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/24/13                | J                               |                                |                                                                   |
| 23. -SGENX                                                                                                                  |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/19/13                | J                               |                                |                                                                   |
| 24. IRA-#1                                                                                                                  | D                                       | Int./Div.                                     | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. -Ameriprise Money Market                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 26. -Natixis Funds Gateway GATEX                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 27. -John Hancock Small Cap Equity Fund<br>JHIA                                                                             |                                         |                                               |                                                 |                                           | Sold                                            | 07/26/13                | K                               |                                |                                                                   |
| 28. -MFS Value Fund MEIAX                                                                                                   |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/28/13                | J                               |                                |                                                                   |
| 29. -MFS Value Fund MEIAX                                                                                                   |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/27/13                | J                               |                                |                                                                   |
| 30. -MFS Value Fund MEIAX                                                                                                   |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/13                | J                               |                                |                                                                   |
| 31. -MFS Value Fund MEIAX                                                                                                   |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/13                | J                               |                                |                                                                   |
| 32. -ETAGX                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/22/13                | J                               | C                              |                                                                   |
| 33. -Oppenheimer Strategic Income Fund                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/26/13                | K                               |                                |                                                                   |
| 34. -Oppenheimer Developing Markets<br>ODMAX                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                   |  |  |  |  |                |          |   |   |  |
|---------------------------------------------------|--|--|--|--|----------------|----------|---|---|--|
| 35. -Pimco Total Return Fund PTTDX                |  |  |  |  |                |          |   |   |  |
| 36. -Van Eck Global Hard Assets Fund              |  |  |  |  | Sold           | 07/26/13 | K | A |  |
| 37. -Virtus Real Estate Fund PHRAX                |  |  |  |  | Buy<br>(add'l) | 03/21/13 | J |   |  |
| 38. -Virtus Real Estate Fund PHRAX                |  |  |  |  | Buy<br>(add'l) | 06/21/13 | J |   |  |
| 39. -Virtus Real Estate Fund PHRAX                |  |  |  |  | Buy<br>(add'l) | 09/23/13 | J |   |  |
| 40. -Virtus Real Estate Fund PHRAX                |  |  |  |  | Buy<br>(add'l) | 12/23/13 | J |   |  |
| 41. -Virtus Foreign Opportunities Fund JVIAX      |  |  |  |  |                |          |   |   |  |
| 42. -Wells Growth A SGRAX                         |  |  |  |  |                |          |   |   |  |
| 43. - Vanguard FTSE All World Ex US               |  |  |  |  | Sold           | 07/29/13 | J |   |  |
| 44. -J P Morgan Chase NA BSKT GLBL STKS<br>LKD CD |  |  |  |  | Sold           | 06/03/13 | K |   |  |
| 45. -CWB                                          |  |  |  |  | Buy            | 07/29/13 | J |   |  |
| 46. -JNK                                          |  |  |  |  | Buy            | 07/29/13 | J |   |  |
| 47. -BKLN                                         |  |  |  |  | Buy            | 07/29/13 | J |   |  |
| 48. -XRT                                          |  |  |  |  | Buy            | 07/29/13 | K |   |  |
| 49. -XLY                                          |  |  |  |  | Buy            | 07/29/13 | K |   |  |
| 50. -SGENX                                        |  |  |  |  | Buy            | 11/08/13 | J |   |  |
| 51. -SGENX                                        |  |  |  |  | Buy            | 12/19/13 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | L = \$50,001 - \$100,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. AFLAC Common                                                                                                            | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Duke Energy Common                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. Dupree NC Tax Free Short to Medium Series                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. North State Bank accounts                                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. Spectra Energy Corp                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. IRA-#2                                                                                                                  | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. -NC Stable Value 401K                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 59. Sirius SX Radio Inc                                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 06/24/13                | J                               | B                              |                                                                   |
| 60. Trust #1 (H)                                                                                                            | A                                       | Interest                                      |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 61. - General MM                                                                                                            |                                         |                                               |                                                 |                                           | Closed                                          | 03/14/13                | J                               |                                |                                                                   |
| 62. Trust #2 (H)                                                                                                            | A                                       | Interest                                      |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 63. -ML Bank Deposit Program                                                                                                |                                         |                                               |                                                 |                                           | Closed                                          | 03/14/13                | O                               |                                |                                                                   |
| 64. Neuberger Berman- Guardian Fund Investor Class (x)                                                                      | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Duncan, Allyson K.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments & Trusts

Line 60-63 represent Trusts that were closed during 2013 and the cash was distributed to the beneficiaries.

Part V Gifts I was one of 7 named plaintiffs in Barker et al v. United States, Case No. 1:12-cv-00826 (Fed. Cl.) brought to challenge the denial of Article III judges' cost-of-living adjustments (COLAs) over multiple years. Attorneys fees and costs were expended between November 2012 through December 31, 2013 in the total amount of \$186,612.35 on a pro bono basis by the law firm of Susman Godfrey, LLP, 1201 Third Avenue, Suite 3800, Seattle, WA 98101 who served as plaintiffs' counsel without compensation or reimbursement from me or the other plaintiffs.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Duncan, Allyson K.

Date of Report

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Allyson K. Duncan**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544