

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Hennessy, David H.	2. Court or Organization United States District Courts, District of Massachusetts	3. Date of Report 09/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Donahue Federal Building, 595 Main Street, Worcester, MA 01608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Summer house, Barnstable County, MA		None			Sold	04/18/14	N	G	R. Cooney & J. Mercier
2. U.S. Savings Bonds	A	Interest	M	T					
3. Bank of America Accounts	A	Interest	L	T					
4. Capital One Accounts	A	Interest	L	T	Open	11/06/14	L		
5. Digital Federal Credit Union Account	A	Interest	J	T					
6. IRA: Spectrum Advisor MAPS Strategic Aggressive Growth #1									
7. - Pershing Government Account		None	J	T					
8. - Harbor International Fund Investor Class	A	Dividend	L	T	Buy (add'l)	11/24/14	J		
9. - Homestead Vaule Fund	A	Dividend	L	T	Sold (part)	11/19/14	J		
10. - MFS International New Discovery Fund	A	Dividend	J	T					
11. - Northern Small Cap Value Fund	B	Dividend	K	T	Sold (part)	11/20/14	J		
12. - Oppenheimer Developing Markets Class A	A	Dividend	J	T					
13. - PIMCO Commodity Real Return Strategy Fund Institutional Class	A	Dividend	J	T	Buy (add'l)	11/25/14	J		
14. - T Rowe Price Blue Chip Growth	B	Dividend	K	T	Sold (part)	11/19/14	J		
15. - T Rowe Price New Horizons	C	Dividend	K	T	Sold (part)	11/19/14	J		
16. - Van Eck Global Hard Assets Fund Class Y	A	Dividend	J	T	Buy (add'l)	11/24/14	J		
17. - Virtus Real Estate Securities Fund Class A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000 M = \$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Virtus International Real Estate Securities A	A	Dividend	J	T					
19. IRA: Spectrum Advisor MAPS Strategic Aggressive Growth #2									
20. - Pershing Government Account		None	J	T					
21. - AMCAP Fund Class F-1	D	Dividend	M	T	Sold (part)	11/19/14	J		
22. - Dodge & Cox International Fund	B	Dividend	L	T					
23. - Fidelity Advisor International Growth Fund Class I	A	Dividend	L	T	Buy	05/01/14	K		
24.					Buy (add'l)	11/24/14	J		
25. - Harbor International Fund Investor Class	A	Dividend	L	T	Buy (add'l)	11/24/14	J		
26. - Homestead Value Fund	B	Dividend	L	T	Sold (part)	11/19/14	J		
27. - Keeley Small Cap Value Fund Class A	A	Dividend	L	T	Buy (add'l)	11/24/14	J		
28. - Lazard Emerging Markets Portfolio	B	Dividend	K	T					
29. - MFS International New Discovery Fund	A	Dividend	K	T	Buy (add'l)	11/24/14	J		
30. - Northern Small Cap Value Fund	C	Dividend	L	T					
31. - Oppenheimer Developing Markets Class A	A	Dividend	J	T	Buy (add'l)	11/24/14	J		
32. - PIMCO Commodity Real Return Strategy Fund Institutional Class	A	Dividend	K	T	Buy (add'l)	11/24/14	J		
33. - T Rowe Price New Horizons	D	Dividend	L	T	Sold (part)	11/19/14	J		
34. - Thornburg International Fund Class A		None			Sold	04/30/14	K		

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Van Eck Global Hard Assets Fund Class Y	A	Dividend	K	T	Buy (add'l)	11/24/14	J		
36. - Vanguard Windsor II Fund Admiral Shares	D	Dividend	L	T	Sold (part)	11/19/14	J		
37. - Virtus Real Estate Securities Fund Class A	B	Dividend	K	T					
38. - Virtus International Real Estate Securities A	B	Dividend	K	T					
39. American Funds Non-Retirement #2									
40. - AF Short-Term Tax-Exempt Bond Fund-A	A	Dividend	K	T					
41. - AMCAP Fund-A	B	Dividend	K	T	Buy	05/05/14	K		
42.					Buy (add'l)	06/05/14	J		
43.					Buy (add'l)	07/03/14	J		
44.					Buy (add'l)	08/05/14	J		
45.					Buy (add'l)	09/05/14	J		
46.					Buy (add'l)	10/03/14	J		
47.					Buy (add'l)	11/05/14	J		
48.					Redeemed (part)	11/13/14	J	A	
49.					Redeemed (part)	11/13/14	J	A	
50. - American Balanced Fund-A	A	Dividend	J	T	Buy	05/05/14	J		
51.					Buy (add'l)	06/05/14	J		

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52.					Buy (add'l)	07/03/14	J		
53.					Buy (add'l)	08/05/14	J		
54.					Buy (add'l)	09/05/14	J		
55.					Buy (add'l)	10/03/14	J		
56.					Buy (add'l)	11/05/14	J		
57.	- American Funds Money Market Fund-A	None	L	T	Buy (add'l)	04/21/14	N		
58.					Buy (add'l)	04/23/14	K		
59.					Redeemed (part)	04/28/14	L		
60.					Redeemed (part)	05/05/14	J		
61.					Redeemed (part)	05/05/14	J		
62.					Redeemed (part)	05/05/14	J		
63.					Redeemed (part)	05/05/14	J		
64.					Redeemed (part)	05/05/14	J		
65.					Redeemed (part)	05/05/14	K		
66.					Redeemed (part)	05/05/14	L		
67.					Redeemed (part)	05/05/14	J		
68.					Redeemed (part)	05/05/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Redeemed (part)	05/05/14	J		
70.					Redeemed (part)	12/18/14	K		
71. - American High-Income Muni Bond Fund-A	A	Dividend	J	T					
72. - The Bond Fund of America-A	A	Dividend	J	T					
73. - Capital World Bond Fund-A	A	Dividend	J	T					
74. - The Growth Fund of America-A	B	Dividend	K	T					
75. - The Income Fund of America-A	A	Dividend	J	T	Buy	05/05/14	J		
76.					Buy (add'l)	06/05/14	J		
77.					Buy (add'l)	07/03/14	J		
78.					Buy (add'l)	08/05/14	J		
79.					Buy (add'l)	09/05/14	J		
80.					Buy (add'l)	10/03/14	J		
81.					Buy (add'l)	11/05/14	J		
82. - Intermediate Bond Fund of America-A	A	Dividend	J	T					
83. - Ltd. Term Tax-Ex Bond Fund of America-A	A	Dividend	J	T					
84. - New World Fund-A	B	Dividend	K	T	Buy	05/05/14	J		
85.					Buy (add'l)	06/05/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	07/03/14	J		
87.					Buy (add'l)	08/05/14	J		
88.					Buy (add'l)	09/05/14	J		
89.					Buy (add'l)	10/03/14	J		
90.					Buy (add'l)	11/05/14	J		
91. - Small Cap World Fund-A	C	Dividend	K	T	Buy (add'l)	05/05/14	J		
92.					Buy (add'l)	06/05/14	J		
93.					Buy (add'l)	07/03/14	J		
94.					Buy (add'l)	08/05/14	J		
95.					Buy (add'l)	09/05/14	J		
96.					Buy (add'l)	10/03/14	J		
97.					Buy (add'l)	11/05/14	J		
98. - U.S. Government Securities Fund-A	A	Dividend	J	T					
99. - Washington Mutual Investors Fund-A	C	Dividend	K	T	Buy (add'l)	05/05/14	J		
100.					Buy (add'l)	06/05/14	J		
101.					Buy (add'l)	07/03/14	J		
102.					Buy (add'l)	08/05/14	J		

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103.					Buy (add'l)	09/05/14	J		
104.					Buy (add'l)	10/03/14	J		
105.					Buy (add'l)	11/05/14	J		
106.					Redeemed (part)	11/13/14	K	D	
107.					Redeemed (part)	11/13/14	J	C	
108. American Funds Education #3									
109. - AMCAP Fund-529A	C	Dividend	L	T					
110. - American Balanced Fund-529A	A	Dividend	J	T					
111. - The Bond Fund of America-529A	A	Dividend	J	T					
112. - Capital World Growth and Income-529A	A	Dividend	J	T					
113. - Europacific Growth Fund-529A	A	Dividend	J	T	Buy (add'l)	11/28/14	J		
114. - The Investment Company of America-529A	C	Dividend	K	T					
115. - New World Fund-529A	A	Dividend	K	T	Buy	11/13/14	J		
116.					Buy (add'l)	11/28/14	J		
117.					Buy (add'l)	12/18/14	J		
118. - SMALLCAP World Fund-529A	C	Dividend	K	T	Buy (add'l)	11/13/14	J		
119.					Buy (add'l)	11/28/14	J		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/18/14	J		
121. - Washington Mutual Investors Fund-529A	A	Dividend	J	T	Buy	12/18/14	J		
122. American Funds Education #4									
123. - AMCAP Fund-529A	B	Dividend	J	T					
124. - American Balanced Fund-529A	B	Dividend	K	T	Buy (add'l)	05/05/14	J		
125.					Buy (add'l)	05/05/14	J		
126. - American Funds Money Market Fund-529A		None	K	T					
127. - The Bond Fund of America-529A	A	Dividend	J	T					
128. - The Income Fund of America-529A	B	Dividend	K	T	Buy (add'l)	05/05/14	J		
129. - The Investment Company of America-529A	B	Dividend	J	T					
130. - Short-Term Bond Fund of America-529A	A	Dividend	J	T					
131. - SMALLCAP World Fund-529A	A	Dividend	J	T					
132. - U.S. Government Securities Fund-529A	A	Dividend	J	T					
133. American Funds Education #5									
134. - American Balanced Fund-529A		None			Sold	01/10/14	J		
135. - American Funds Money Market Fund-529A		None	L	T	Sold (part)	01/10/14	J		
136.					Sold (part)	01/10/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hennessy, David H.

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09/11/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	11/07/14	J		
138.					Sold (part)	11/07/14	J		
139.					Sold (part)	12/30/14	J		
140.					Sold (part)	12/30/14	J		
141. - The Bond Fund of America-529A	A	Dividend			Sold	01/10/14	J		
142. - The Income Fund of America-529A		None			Sold	01/10/14	J		
143. - Short-Term Bond Fund of America-529A	A	Dividend			Sold	01/10/14	K		
144. -U.S. Government Securities Fund-529A	A	Dividend			Sold	01/10/14	K		
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person

Hennessy,

VII. INVESTMENTS and TRUSTS – *income, value, transactions*

☐

NONE (*No reportable income, assets, or transactions.*)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		Gross of rep
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)

154.			
155.			
156.			
157.			
158.			
159.			
160.			

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hennessy, David H.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

1). A. Description of Assets #1 = filer; #2 = spouse; #3 = child; #4 = child; #5 = child

2). This is the first Annual Filing. The Investments in Part VII. have been expanded from the Initial Filing to include the individual funds, and the transactions which occurred during the reporting period for such funds, for the IRA: Spectrum Advisor MAPS Strategic Aggressive Growth Portfolio for #1 and #2.

3). The gain on the sale of 529 funds for #5 child is not given to us on a transaction-by-transaction basis. The 1099-Q prepared by American Funds for 2015 for this child disclosed the following information:

Distributions \$25,193.20

Earnings 11,434.29

Basis 13,758.91

As such, the earnings above is the aggregate gain on the sale of the 529 assets sold during the reporting period.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hennessy, David H.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David H. Hennessy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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