

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MAHAN, James C.	2. Court or Organization U.S. District Court, Nevada	3. Date of Report 05/04/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 333 Las Vegas Boulevard South Suite 6085 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Board Member	Vanderbilt University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

MAHAN, James C.

Date of Report

05/04/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

MAHAN, James C.

Date of Report

05/04/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

MAHAN, James C.

Date of Report

05/04/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1	C	Dividend	L	T					
2. -- AMG Managers BD FD INSTL (X)									
3. -- American Centy Mut Fds Growth Fund - Instl TWGIX									
4. -- Blackrock FDS Core Bond Port Instl Class BFMCX									
5. -- Cohen & Steers Rlty Shares Inc CSRSX									
6. -- Europacific Growth Fd Cl F-1 AEGFX									
7. -- Goldman Sachs Tr Finl Sq Treas Instrs FD Instl CL FTIXX									
8. -- Harbor FD High Yield BD FD Instl Cl HYFAX									
9. -- Ing Ser FD Inc Small CD FD CL 1 AESGX									
10. -- Janus Invt FD Flexible BD FD Class I JFLEX									
11. -- MFS Ser TR I Value FD CL 1 MEIIX									
12. -- Managers Funds Bond Fund MGFIX									
13. -- Managers Fund Bond Fund MGFIX									
14. -- MFS SER TR X Emerging Mkts Debt FD Class I MEDIX									
15. -- Metropolitan West FDS Total Return BD FD CL 1 MWTIX									
16. -- JP Morgan Core Bond FD Select WOBDX									
17. -- Oppenheimer Intl BD FD Class Y OIBYX									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

MAHAN, James C.

Date of Report

05/04/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Pimco FDS PAC INVT MGMT SER TOTAL RETURN FD INST CL PTTRX									
19. -- Thornburg Invt TR Invt Income Builder FD Cl I TIBIX									
20. -- Wells Fargo Adv Fnds TR Adv Sht Dur Govt BD FD Cl I WYGIX									
21. JOINT TENANCY ACCOUNT									
22. -- AMG Funds III Managers Bond Fund Instl Class	A	Dividend	J	T	Sold (part)	08/25/14	J		
23. -- American Centy Mut Fds Growth Fund - Instl TWGIX	A	Dividend	J	T	Sold (part)	08/25/14	J		
24. -- Blackrock FDS Core Bond Port Instl Class BFMGX	A	Dividend	J	T	Buy (add'l)	08/26/14	K		
25. -- Cohen & Steers Rlty Shares Inc CSRSX	A	Dividend	J	T	Sold (part)	08/25/14	J		
26. -- Europacific Growth Fd Cl F-1 AEGFX	A	Dividend	J	T	Sold (part)	08/25/14	J		
27. -- Goldman Sachs Tr Finl Sq Treas Instrs FD Instl CL FTIXX	A	Dividend	J	T	Buy	08/26/14	J		
28. -- Goldman Sachs Tr Finl Sq Treas Instrs FD Instl CL					Sold (part)	10/10/14	J		
29. -- Harbor FD High Yield BD FD Instl Cl HYFAX	A	Dividend	J	T	Sold (part)	08/25/14	J		
30. -- Ing Ser FD Inc Small CD FD CL 1 AEGX (Y)									
31. -- Janus Invt FD Flexible BD FD Class I JFLEX	A	Dividend	J	T					
32. -- MFS Ser TR I Value FD CL 1 MEHIX	A	Dividend	K	T	Sold (part)	08/25/14	J		
33. -- Managers Funds Bond Fund MGFIX	A	Dividend	J	T					
34. -- MFS SER TR X Emerging Mkts Debt FD Class I MEDIX	A	Dividend	J	T	Sold (part)	08/25/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

MAHAN, James C.

Date of Report

05/04/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Metropolitan West FDS Total Return BD FD CL I MWTIX	A	Dividend	K	T	Buy (add'l)	08/26/14	J		
36. -- JP Morgan Core Bond FD Select WOBDX	A	Dividend	J	T	Buy (add'l)	08/26/14	J		
37. -- Oppenheimer Intl BD FD Class Y OIBYX	A	Dividend	J	T	Sold (part)	08/25/14	J		
38. -- Oppenheimer Intl BD FD Class Y OIBYX	A	Dividend	J	T	Buy (add'l)	08/26/14	J		
39. -- Pimco FDS PAC INVT MGMT SER TOTAL RETURN FD INST CL PTTRX	A	Dividend	K	T	Sold (part)	08/25/14	K		
40. -- Pimco FDS PAC INVT MGMT SER TOTAL	A	Dividend	K	T	Buy (add'l)	08/26/14	J		
41. -- Thornburg Invt TR Invt Income Builder FD CI I TIBIX	A	Dividend	J	T	Sold (part)	08/25/14	J		
42. Voya Ser FD Inc. Small Co FD CL I (X)	A	Dividend	J	T					
43. Voya Ser FD Inc. Small Co FD CL I	A	Dividend	J	T	Sold (part)	08/25/14	J		
44. -- Wells Fargo Adv Fnds TR Adv Sht Dur Govt BD FD CI I WXGIX	A	Dividend	K	T	Buy (add'l)	08/26/14	J		
45. J & E Mahan Agency (Alpine)	D	Int./Div.	M	T					
46. Mahan Heirs Partnership	D	Int./Div.	L	T					
47. U.S. Bank	A	Int./Div.	M	T					
48.									
49.									
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

MAHAN, James C.

Date of Report

05/04/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52.									
53.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

MAHAN, James C.

Date of Report

05/04/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

MAHAN, James C.

Date of Report

05/04/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James C. MAHAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544