

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Federman, Arthur B.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Charles Evans Whittaker Cthse. 400 East 9th St., Suite 6552 Kansas City, Mo. 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--|---|
| 1. Trustee, with no significant management duties - all assets are listed @VII | 1990 and 1992 Federman Trusts, beneficiaries are the reporting person and relatives, Assets are disclosed in Part VII |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Federman, Arthur B.

Date of Report

05/04/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Federman, Arthur B.

Date of Report

05/04/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Federman, Arthur B.

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Second Mortgage Investment Co., Inc - a 1.58% shareholder	A	Interest			Redeemed	12/31/15	K	E	was a liquidatin
2. Commerce Bank NA, Lenexa Ks.- MMrkt - no add delete detail needed	A	Interest	K	T					
3. Commerce Bank NA, Lenexa Ks - CKG - no add delete detail needed	A	Interest	J	T					
4. Commerce Bank NA, Lenexa Ks - MMRKT - no add delete detail needed	B	Interest	M	T					
5. Vanguard Fed MMT Fund	A	Int./Div.	J	T					
6. Vanguard - 500 Index Fund Admiral Shares - Total Bal for this Fund	D	Int./Div.	N	T					
7. - Vanguard - 500 Index Fund Admiral Shares - added in 2015					Buy (add'l)	12/31/15	J		Div reinvested for yr
8. - Vanguard - 500 Index Fund Admiral Shares - added in 2015					Buy (add'l)	12/31/15	K		Total of reg. mo. adds
9. Vanguard-Total Intern. Stock IX Adm - Bal for this Fd-end of yr	B	Int./Div.	K	T					
10. - Vanguard - Total Intern Stock IX - redemption					Redeemed (part)	04/26/15	M	E	
11. - Vanguard - Total Intern Stock IX Admiral addition in 2015					Buy (add'l)	12/31/15	J		Div reinvested for yr
12. - Vanguard - Total Intern. Stock IX Admiral addition for 2015					Buy (add'l)	12/31/15	K		Total of Reg Mo. Adds
13. Vanguard - Total Stock Market Index Fund Admiral Shrs-Total Bal of Fd	D	Dividend	N	T					
14. - Vanguard - Total Stock Market Index Fund Admiral - added in 2015					Buy (add'l)	12/19/15	J		Div reinvested for yr
15. - Vanguard - Total Stock Market Index Fund - Afmrial - added in 2015					Buy (add'l)	12/31/15	K		Total of reg. mo. adds
16. Northwestern Mutual Life Ins Policy	B	Interest	N	T					
17. Federman Family L.P. - Limited Partnership Interest	E	Interest	P1	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Federman, Arthur B.

Date of Report

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18. Commerce Bank N.A. , Kansas City, Mo. - Ckg Acct.	A	Interest	J	T					
19. State Bank of India - CD - Matures 1/26/15	A	Interest			Matured	01/26/15	L		no gain (loss)
20. ALLY Bank - CD - Matures 7-24-15	A	Interest			Matured	07/24/15	L		No gain (loss)
21. Firtsbank Puerto Rico CD - matures 01/29/16	A	Interest	L	T					
22. Discover Bank CD - matures 06/13/16	A	Interest	M	T					
23. State Bank of India CD - matures 10/17/17	A	Interest	L	T					
24. IRA Acct. -Total Assets in Accounts - Total Bal & Detail Follows -	D	Dividend	M	T					
25. - IRA Acct. - (GNMA) Govt. Natl. Mtg. Ass Pool - Commerce					Redeemed	12/31/15	J		normal principal paydowns
26. - IRA Acct - Vangaurd acct - Prime MMKT FD - no add delete detail									
27. - IRA Acct - Fidelity Select Health Care					Buy (add'l)	12/31/15	J		dividends reinvested
28. - IRA Acct - T.Rowe Price New ERA					Buy (add'l)	12/31/15	J		dividends reinvested
29. - IRA Acct - spouse - Capital Federal Savings					Redeemed (part)	12/31/15	J		RMD didtribution
30. Trust # 1 - Total Assets of Trust -Total Bal & Asset Detail Follows	B	Int./Div.	P1	T	Buy (add'l)	12/31/15	J		Incr is inc & net CV incre
31. - Trust # 1 - Guardian Life Ins. - Cash Value					Buy (add'l)	12/31/15	J		CV paid premium
32. - Trust # 1 - Northwestern Mutual Life - Cash Value					Buy (add'l)	12/31/15	K		Incr CV for Life Ins DIV
33. - Trust # 1 - Met Life Ins INC-									
34. - Trust # 1 - Commerce Bank N.A., Ckg acct.									

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Federman, Arthur B.

Date of Report

05/04/2016

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35. - Trust # 1 - Goldman Sachs Bank USA - CD - Matures 4/10/15					Matured	04/10/15	K		no gain or (loss)
36. - Trust # 1 - Bank of Baroda - CD - Matures 12/16/14					Matured	12/16/15	K		no gain (loss)
37. - Trust # 1 - BMW Bank of North America Matures 12/16/16					Buy	12/18/15	K		
38. Trust # 2 - Total Assets of Trust -Total Bal & Asset Detail Follows	D	Int./Div.	P1	T					
39. - Trust # 2 - Vanguard Fed MM Portfolio, Philadelphia, Pa.									
40. - Trust # 2 - Vanguard Health Care Fund Adm - VGHAX									
41. - Trust # 2 - Vangusrd Health Care Fund Adm - VGHAX					Buy (add'l)	12/31/15	J		reinvested div only
42. - Trust # 2 - Vanguard Total Stock Mkt Idx FundFund					Buy (add'l)	12/31/15	J		reinvested div. only
43. - Trust # 2 - Commerce Bank NA - ckg acct.					Buy (add'l)	12/31/15	J		reinvested div only
44. - Trust # 2 FirstBank Puerto Rico - CD - Matures 02/27/15					Matured	02/27/15	L		no gain (loss)
45. - Trust # 2 - FirstBank Puerto Rico - CD - Matures 05/29/15					Matured	05/29/15	L		no gain (loss)
46. - Trust # 2 - FirstBank Puerto Rico CD - Matures 06/01/15					Matured	06/01/15	K		no gain (loss)
47. - Trust # 2 - ALLY Bank CD - Matures 09/18/15					Matured	09/18/15	L		no gain (loss)
48. - Trust # 2 - Bank of India NY -CD - Matures 07/29/15					Matured	07/29/15	L		no gain (loss)
49. - Trust # 2 - Bank of China (NY) - CD - Matures 08/27-15					Matured	08/27/15	L		no hain (loss)
50. - Trust # 2 - Pacific Western Bank -CD - Matures 09/29/15					Matured	09/29/15	L		no gain (loss)
51. - Trust # 2 - Discover Bank - CD - Matures 10/16/15					Matured	10/16/15	M		no gain (loss)

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Federman, Arthur B.

Date of Report

05/04/2016

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52. - Trust # 2 - Enerbank USA - CD - Matures - 12/04/15					Matured	12/04/15	K		no gain (loss)
53. - Trust # 2 - Bank of India - New York M- CD Matures 3/2/16					Buy	08/31/15	L		
54. - Trust # 2 - Bank of Hapoalim BM NY - CD Matures - 07/29/16					Buy	07/29/15	L		
55. - Trust # 2 - Capital One NA - CD Matures 04/21/16					Buy	10/21/15	M		
56. - Trust # 2 - Bank of Baroda - CD - Matures 06/09/16					Buy	12/09/15	M		
57. - Trust # 2 - Sallie Mae Bank - Saltt Salt Lake- CD Matures -04/7/16					Buy	10/07/15	M		
58. - Trust # 2 - Santanner Bank NA - CD Matures 03/02/16					Buy	09/02/15	M		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Federman, Arthur B.

Date of Report

05/04/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets and value amount references shown in Part VII which are identified as Trust # 1 are for the full value of the assets held in Trust even though I am only entitled to a 1/3 beneficial interest in the Trust. I am the trustee of the Trust.

The assets and value references shown in Part VII which are identified as Trust # 2 are for the full value of the assets held in Trust even though I am only the beneficiary of a 1/3 interest in the Trust. I am the trustee of the Trust.

Asset # 17 is a limited partnership interest - I have received periodic gifts from my parents of additional limited ownership interests in this limited partnership over the years. My ownership interest is 33% as of 12-31-15. The partnership's investments are almost exclusively in State Tax Exempt Bonds, Federal Bonds & Bills or Agency securities and Certificates of Deposit. I have no management responsibilities for the activities of this partnership.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Federman, Arthur B.

Date of Report

05/04/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur B. Federman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544