

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stormes, Nita L.	2. Court or Organization Southern District of Cal.	3. Date of Report 05/05/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Mag. Judge-Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 333 West Broadway San Diego, CA 92101-8910		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Personal Trust (Assets are listed in Part VII in rows 29-84.)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Jones Day (Spouse's Law Firm)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	KeyBank National Association	Line of Credit	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. California Bank & Trust - 2 IRA Cash equivalent	A	Interest	K	T					
2. Vanguard CA Money Market Fund - 3	A	Interest	K	T					
3. Fidelity Magellan Fund (Fidelity Ultra) - 1	A	Dividend	K	T					
4. Janus Fund - 3	A	Dividend			Sold	04/28/17	J	A	
5. Janus Mercury Fund (now Janus Research Fund) - 3	A	Dividend	K	T	Buy	04/28/17	J		
6. Janus Worldwide Fund (now Global Research Fund) - 3	A	Dividend	J	T					
7. Fidelity Puritan Fund - 3	A	Dividend	K	T					
8. Franklin Mutual Quest Fund - Z - 1	A	Dividend	K	T					
9. Oakmark Fund - 3	A	Dividend	K	T					
10. Scudder International Fund (now Deutsche CROCI International Fund) - 3	A	Dividend	J	T					
11. Vanguard Index Fund (Fidelity Ultra) - 1	A	Dividend	K	T					
12. Fidelity CA Muni Money Market (Fidelity Ultra) - 1	A	Interest	J	T					
13. Federated Kaufman Fund R - 3	A	Dividend	K	T					
14. California Bank & Trust - 1 Checking	A	Interest	M	T					
15. Vanguard CA Money Market Fund - 2	B	Interest			Sold	10/05/17	O	A	
16. Vanguard CA Money Market Fund - 1	A	Interest	N	T					
17. E*Trade Tax-Exempt Cal. Fund - 1	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. San Diego County Credit Union Checking - I	A	Interest	J	T					
19. San Diego County Credit Union Savings - I	A	Interest	J	T					
20. Apple - I	A	Dividend	K	T					
21. New Energy Technologies Inc (now SolarWindow Technologies) - I	A	Dividend	J	T					
22. Tesla Motors Inc - I	A	Dividend	K	T					
23. GNMA Fund Admiral Shares - 3 IRA	A	Int./Div.	N	T					
24. Primecap Fund Investor - 3 IRA	A	Int./Div.	L	T					
25. Total Stock Mkt Index Inv - 3 IRA	A	Int./Div.	N	T					
26. Wellington Fund Admiral - 3 IRA	A	Int./Div.	O	T					
27. 500 Index Fund Adm - 3 IRA	A	Int./Div.	P1	T					
28. Jones Day 2020 Fund - 3 401	A	Int./Div.	N	T					
29. UBS Bank - 2T	A	Interest	K	T					
30. Angel Oak Multi Strategy Inc. Fund Class C - 2T	A	Dividend	L	T	Buy	10/11/17	K		
31. Oppenheimer Senior Floating Rate Fund Class C - 2T	A	Dividend	L	T	Buy	10/11/17	K		
32. Accenture - 2T	A	Dividend	J	T					
33. Apple - 2T	A	Dividend	K	T					
34. Blackrock - 2T	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. British Amer. Tobacco - 2T	A	Dividend	J	T	Buy (add'l)	12/19/17	J		
36. Chevron Corp. - 2T	A	Dividend	J	T	Buy	09/11/17	J		
37. Chubb Ltd - 2T	A	Dividend	J	T					
38. Coca Cola Co Com - 2T	A	Dividend	J	T					
39. Colgate Palmolive - 2T	A	Dividend	J	T					
40. Crane Co - 2T	A	Dividend	J	T	Buy	12/19/17	J		
41. CVS Health Corp - 2T	A	Dividend			Sold	12/21/17	J	A	
42. Diageo PLC - 2T	A	Dividend	J	T	Buy (add'l)	09/11/17	J		
43. Exxon Mobil Corp - 2T	A	Dividend			Sold	01/24/17	J	A	
44. Home Depot Inc - 2T	A	Dividend	J	T					
45. Intel - 2T	A	Dividend	J	T	Buy (add'l)	09/11/17	J		
46. Invesco LTD - 2T	A	Dividend	J	T					
47. Johnson Controls - 2T	A	Dividend	J	T					
48. Lockheed Martin Corp - 2T	A	Dividend	J	T					
49. Marsh & McLennan Cos Inc - 2T	A	Dividend	J	T					
50. McDonalds Corp - 2T	A	Dividend	J	T					
51. Medtronic - 2T	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Microsoft Corp. - 2T	A	Dividend	J	T					
53. Nextera Energy - 2T	A	Dividend	J	T					
54. Nordstrom - 2T	A	Dividend			Sold	09/11/17	J	A	
55. Novartis - 2T	A	Dividend	J	T					
56. Pepsico Inc - 2T	A	Dividend	J	T					
57. Praxair Inc - 2T	A	Dividend	J	T					
58. Qualcomm - 2T	A	Dividend	K	T					
59. Rockwell Automatic Inc - 2T	A	Dividend	J	T					
60. Suncor Energy Inc. - 2T	A	Dividend	J	T	Buy	09/11/17	J		
61. Texas Instruments 2T	A	Dividend	K	T					
62. Union Pacific Corp. - 2T	A	Dividend	J	T					
63. UNTD Technologies Corp - 2T	A	Dividend	J	T					
64. VF Corp - 2T	A	Dividend	J	T					
65. SPDR S&P 500 ETF - 2T	A	Dividend	K	T					
66. Natixis Oakmark International Fund - 2T	A	Dividend	K	T					
67. Principal Sm-MD CP Div - 2T	A	Dividend	M	T	Buy	01/02/17	M		
68. T.Rowe Price Global Tech Fund - 2T	A	Dividend	K	T	Buy (add'l)	09/19/17	J		

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69. US Treasury Bill - 2T	A	Interest	N	T	Buy	10/11/17	N		
70. California St Pub Wks - 2T	A	Interest	K	T					
71. California St Pub Wks - 2T	A	Interest	K	T					
72. Southern CA Pub Pwr - 2T	A	Interest	K	T					
73. California Cmnty Coll - 2T	A	Interest			Sold	09/27/17	K	A	
74. Cajon Valley CA Un Sc - 2T	A	Interest	K	T					
75. California St Pub Wks - 2T	A	Interest	K	T					
76. California St - 2T	A	Interest	K	T					
77. Turlock CA Irr Dist - 2T	A	Interest	K	T					
78. California ST Pub Wks - 2T	A	Interest	K	T					
79. California St - 2T	A	Interest	K	T					
80. Rio CA Elem Sch - 2T	A	Interest	K	T					
81. Standard CA SCH - 2T	A	Interest	K	T					
82. Southern CA Pub Pwr - 2T	A	Interest	K	T					
83. Imperial CA Irr Dist - 2T	A	Interest	K	T					
84. Pioneer Strategic Income Fund - 2T	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions - All assets in the Personal Trust are listed in Part VII in rows 29-84.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nita L. Stormes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544