

AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE FOR CALENDAR

1. Person Reporting (last name, first, middle initial) Nelson, Dorothy W.	2. Court of U.S. Co
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge	5a. Report ☐ No ☐ Init 5b. ☒
7. Chambers or Office Address 125 S. Grand Ave., Suite 303, Pasadena, CA	
IMPORTANT NOTES: <i>The instructions accompany this form. Please read them carefully, and check the NONE box for each position.</i>	

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION

1. Chair Emerita

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Name of Filer

Nelson,

III. NON-INVESTMENT INCOME. *(Reporting individual and joint income)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE

SOURCE

1. 2014

TIAA CREF - Teacher Retirement

2. 2014

State of Calif. Judges Retirement

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any part of the reporting period*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

DATE

SOURCE

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Name of P

Nelson,

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing)*



NONE *(No reportable gifts.)*

SOURCE

DES

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see p*



NONE *(No reportable liabilities.)*

CREDITOR

DE

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Name of F

Nelson,

VII. INVESTMENTS and TRUSTS – income, value, transaction

☐ NONE (No reportable income, assets, or transactions.)

A.	B.		
Description of Assets (including trust assets)	Income during reporting period		Gr of
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Va Cod (J-

1.	American Balance Fund C	X	A	Div	J
	American Balance Fund C				
	Capital Income Builder	X	A	Div	J
	American Balance Fund C	X			
	The Investment Co of America		A	Div	J
	American Balance Fund C	X	A	Div	J
	The Tax Exempt Bond Fund of America				
	American Balance Fund C				
	The Tax Exempt Fund of CA	X	A	Div	J
6.					

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Name of I

Nelson,

VIII. ADDITIONAL INFORMATION OR EXPLA

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Name of I

Nelson,

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and dependent children) is accurate, true, and complete to the best of my knowledge and belief, and that I am not aware of any facts or information which would make the foregoing statements false or misleading, except as provided in the provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria are reported in accordance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7350, and 5 U.S.C. § 7351.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES THIS REPORT IS SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)