

Amended

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eisenberg, Dorothy	2. Court or Organization United States Bankruptcy Court Eastern District of New York	3. Date of Report 04/21/2014 8/19/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period Jan. 1, 2013 to March 27, 2014
5b. ☐ Amended Report		
7. Chambers or Office Address 290 Federal Plaza - P.O. Box 9013 Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Franklin New York Tax Free	A	Dividend			Sold	01/07/13	L	C	T
2. JPM (JP Morgan Chase) Shares	A	Dividend	K	T					
3. Morgan Stanley Bank (X)	A	Dividend	J	T					
4. Citibank NA	A	Interest	J	T					
5. CERN (CERNER CORP) - shares		None	K	T					
6. LTNCX (Oppenheimer) HF	B	Dividend	K	T	Buy	01/08/13	K		T
7. MS Liquid Asset (f/k/a/ Drefus Liquid)	A	Dividend	K	T					
8. VOE (Vanguard) Shares	A	Dividend	J	T	Sold (part)	01/7/13	J	A	T
9. VOE (Vanguard) Shares	A	Dividend	J	T	Buy	05/16/13	J		T
10. VOT (Vanguard Mid Corp.) Shares	A	Dividend	K	T	Sold (part)	01/17/13	J	A	T
11. VBK (Vanguard Small Growth) Shares	A	Dividend	J	T					T
12. MADVX - Mutual fund	A	Dividend	J	T	Buy	01/02/13	J		T
13. MADVX - Mutual fund	A	Dividend	J	T	Sold (part)	01/07/13	J	A	T
14. MADVX-Mutual Fund	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
15. MADVX-Mutual Fund	A	Dividend	J	T	Buy	10/07/13	J		T
16. BHY1X - Mutual Fund	A	Dividend	J	T	Buy	01/02/13	J		T
17. BHY1X - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/13	J	A	T

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. BHYIX-Mutual Fund	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
19. BHYIX-Mutual Fund	A	Dividend	J	T	Buy	12/23/13	J		T
20. CICUX - Mutual Fund	A	Dividend	J	T	Buy	10/07/13	J		T
21. CICUX-Mutual Fund	A	Dividend	J	T	Buy	12/23/13	J		T
22. FINSX - Mutual Fund		None	J	T	Buy	01/02/13	J		T
23. FINSX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/13	J	A	T
24. FINSX - Mutual Fund	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
25. FINSX - Mutual Fund		None	J	T	Buy	10/07/13	J		T
26. FINSX - Mutual Fund	A	Dividend	J	T	Buy	12/23/13	J		T
27. AFRYX - Mutual Fund	A	Dividend	J	T	Buy	10/07/13	J		T
28. IUGXX (Invesco Money Market) Mutual Funds	A	Dividend	J	T					
29. PE1XX (Invesco Tax Exempt) Money Market	A	Dividend	K	T					
30. LDLFX - Mutual Fund	B	Dividend	L	T	Buy	05/16/13	L		T
31. LDLFX - Mutual Fund	B	Dividend	L	T	Buy	12/23/13	J		T
32. MLAIX - Mutual Fund	A	Dividend	J	T	Buy	01/02/13	J		T
33. MLAIX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/13	J	A	T
34. MLAIX - Mutual Fund	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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35. MLAIX - Mutual Fund	A	Dividend	J	T	Sold (part)	10/07/13	J	A	T
36. OAKMX-Mutual Fund	A	Dividend	J	T	Buy	01/02/13	J		T
37. OAKMX-Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/13	J	A	T
38. OAKMX-Mutual Fund	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
39. OAKMX-Mutual Fund	A	Dividend	J	T	Buy	10/07/13	J		T
40. OAKIX - Mutual Fund	B	Dividend	J	T	Sold (part)	01/07/13	J	A	T
41. OAKIX - Mutual Fund	B	Dividend	K	T	Buy	05/16/13	J		T
42. OAKIX - Mutual Fund	B	Dividend	K	T	Buy	10/07/13	J		T
43. PREMIX - Mutual Fund	A	Dividend	J	T	Buy	01/02/13	J		T
44. PREMIX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/13	J	A	T
45. PREMIX - Mutual Fund	A	Dividend	J	T	Buy	03/28/13	J		T
46. PREMIX - Mutual Fund	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
47. PREMIX - Mutual Fund	A	Dividend	J	T	Buy	10/07/13	J		T
48. PREMIX - Mutual Fund	A	Dividend	J	T	Buy	12/23/13	J		T
49. TGBAX - Mutual Fund	A	Dividend	J	T	Buy	01/02/13	J		T
50. TGBAX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/13	J	A	T
51. TGBAX - Mutual Fund	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

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52. TGBAX - Mutual Fund	A	Dividend	J	T	Buy	12/23/13	J		T
53. CFSIX - Mutual Funds		None	J	T	Buy	01/02/13	J		T
54. CFSIX - Mutual Funds		None	J	T	Sold (part)	01/07/13	J	A	T
55. CFSIX - Mutual Funds		None	J	T	Sold (part)	05/16/13	J	A	T
56. PTSPX - Mutual Fund	A	Dividend	K	T	Sold (part)	01/07/13	J	A	T
57. PTSPX - Mutual Fund	A	Dividend			Sold	05/16/13	J	A	T
58. PTPX - Mutual Fund	C	Dividend	L	T	Sold (part)	01/07/13	J	A	T
59. PTPX - Mutual Fund	C	Dividend	L	T	Sold (part)	05/16/13	K	A	T
60. PTPX - Mutual Fund	C	Dividend			Sold	10/07/13	J	A	T
61. PIFZX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/7/13	J	A	T
62. PIFZX - Mutual Fund	A	Dividend			Sold	05/16/13	J	A	T
63. HIEMX - Mutual Fund	A	Dividend	K	T	Sold (part)	01/07/13	J	A	T
64. HIEMX - Mutual Fund	A	Dividend	K	T	Sold (part)	05/16/13	J	B	T
65. HIEMX - Mutual Fund	A	Dividend	K	T	Buy	08/15/13	J		T
66. HIEMX - Mutual Fund	A	Dividend	K	T	Sold (part)	10/07/13	J	B	T
67. TIPS - shares	A	Dividend			Sold	05/16/13	J	A	T
68. CFSIX (fka PTSGX) - Mutual Fund		None	J	T	Sold (part)	10/07/13	J	A	T

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

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3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

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69. FCSZX - Mutual Fund	A	Dividend	J	T	Buy	10/07/13	J		T
70. LZEMX - Mutual Fund	A	Dividend	K	T	Buy	04/29/13	J		T
71. LZEMX - Mutual Fund	A	Dividend	K	T	Sold (part)	05/16/13	J	A	T
72. LZEMX - Mutual Fund	A	Dividend	K	T	Sold (part)	10/07/13	J	A	T
73. PELPX	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
74. PELPX	A	Dividend	J	T	Buy	08/15/13	J		T
75. FLYRX - Mutual Fund	A	Dividend	K	T	Buy	10/07/13	K		T
76. WFNDX (fka STHYX) - Mutual Fund	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
77. BPRIX - Mutual Fund	A	Dividend			Sold	05/16/13	J		T
78. DTINX - Mutual Fund	A	Dividend			Sold	05/16/13	J		T
79. T (AT&T) - Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
80. T (AT&T) Shares	A	Dividend	J	T	Buy	10/07/13	J		T
81. Dis (Disney) shares		None	J	T	Sold (part)	05/16/13	J	A	T
82. Dis (Disney) shares		None	J	T	Buy	08/28/13	J		T
83. Dis (Disney) shares		None	J	T	Buy	09/04/13	J		T
84. DD (EI DuPont) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
85. DD (EI DuPont) Shares	A	Dividend	J	T	Sold (part)	10/25/13	J	A	T

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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86. MET (Metlife) Shares	A	Dividend	J	T	Buy	04/22/13	J		T
87. MET (Metlife) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J		T
88. MET (Metlife) Shares	A	Dividend	J	T	Buy	09/04/13	J		T
89. SLB (Schlumberger) Shares	A	Dividend	J	T	Buy	04/19/13	J		T
90. SLB (Schlumberger) Shares	A	Dividend	J	T	Buy	04/22/13	J		T
91. SLB (Schlumberger) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
92. SLB (Schlumberger) Shares	A	Dividend	J	T	Buy	10/02/13	J		T
93. TGT (Target) Stores	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
94. MMM (3M) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
95. MMM (3M) Shares	A	Dividend	J	T	Sold (part)	07/22/13	J	A	T
96. MMM (3M) Shares	A	Dividend	J	T	Buy	08/22/13	J		T
97. UPS (United Parcel Service) Shares	A	Dividend	J	T	Sold (part)	05/15/13	J	A	T
98. UPS (United Parcel Service) Shares	A	Dividend	J	T	Sold (part)	09/23/13	J	A	T
99. UTX (United Technology) Shares	A	Dividend	J	T	Sold (part)	05/29/13	J	A	T
100. MSFT (Microsoft) Share	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
101. MSFT (Microsoft) Share	A	Dividend	J	T	Sold (part)	07/08/13	J	A	T
102. MSFT (Microsoft) Share	A	Dividend	J	T	Sold (part)	07/18/13	J	A	T

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Eisenberg, Dorothy

Date of Report

04/21/2014

8/19/14

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. MSFT (Microsoft) Share	A	Dividend	J	T	Buy	08/15/13	J		T
104. RTN (Raytheon) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
105. RTN (Raytheon) Shares	A	Dividend	J	T	Buy	09/04/13	J		T
106. AEP (American Electric Pro) Shares	A	Dividend	J	T	Buy	01/17/13	J		T
107. AEP (American Electric Pro) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
108. AEP (American Electric Pro) Shares	A	Dividend	J	T	Buy	10/07/13	J		T
109. ADP (Automatic Data Pro) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
110. ADP (Automatic Data Pro) Shares	A	Dividend	J	T	Sold (part)	09/23/13	J	A	T
111. BBL (BHP ADR) Shares	A	Dividend			Sold	07/19/13	J	A	T
112. AMT (American Tower) - shares	A	Dividend	J	T	Buy	05/08/13	J		T
113. AMT (American Tower) - shares	A	Dividend	J	T	Buy	06/20/13	J		T
114. AMT (American Tower) - shares	A	Dividend	J	T	Buy	07/31/13	J		T
115. AMT (American Tower) - shares	A	Dividend	J	T	Buy	09/06/13	J		T
116. AMT (American Tower) - shares	A	Dividend	J	T	Buy	10/11/13	J		T
117. AMT (American Tower) - shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
118. CVX (Chevron) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
119. CVX (Chevron) Shares	A	Dividend	J	T	Buy	10/11/13	J		T

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Eisenberg, Dorothy

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8/19/14

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. CMCSK (Comcast) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
121. CMCSK (Comcast) Shares	A	Dividend	J	T	Buy	10/11/13	J		T
122. COP (ConocoPhillips) Shares	A	Dividend			Sold (part)	05/16/13	J	A	T
123. COP (ConocoPhillips) Shares	A	Dividend			Sold	05/22/13	J	A	T
124. CAT (Caterpillar) Shares	A	Dividend	J	T	Buy	01/02/13	J		T
125. CAT (Caterpillar) Shares	A	Dividend	J	T	Buy	03/28/13	J		T
126. CAT (Caterpillar) Shares	A	Dividend	J	T	Buy	04/15/13	J		T
127. CAT (Caterpillar) Shares	A	Dividend	J	T	Buy	04/22/13	J		T
128. CAT (Caterpillar) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
129. CAT (Caterpillar) Shares	A	Dividend	J	T	Sold (part)	10/22/13	J	A	T
130. XOM (Exxon Mobil) Shares	A	Dividend	J	T	Buy	02/07/13	J		T
131. XOM (Exxon Mobil) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
132. GE (General Electric) Shares	A	Dividend	J	T	Buy	05/03/13	J		T
133. GE (General Electric) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
134. GE (General Electric) Shares	A	Dividend	J	T	Buy	06/18/13	J		T
135. GIS (General Mills) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
136. GIS (General Mills) Shares	A	Dividend			Sold	08/15/13	J	A	T

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Eisenberg, Dorothy

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8/14/19

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. HNZ (HJ Heinz) Shares	A	Dividend			Sold	02/15/13	J	B	T
138. HD (Home Depot) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
139. HD (Home Depot) Shares	A	Dividend	J	T	Buy	10/11/13	J		T
140. HMC (Honda) Shares	A	Dividend	J	T	Buy	01/02/13	J		
141. HMC (Honda) Shares	A	Dividend	J	T	Buy	01/07/13	J		
142. HMC (Honda) Shares	A	Dividend	J	T	Buy	01/17/13	J		
143. HMC (Honda) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J		
144. INTC (Intel) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
145. INTC (Intel) Shares	A	Dividend	J	T	Buy	09/04/13	J		T
146. IP (International Paper) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
147. IP (International Paper) Shares	A	Dividend	J	T	Buy	10/07/13	J		T
148. JCI (Johnson Control) Shares	A	Dividend			Sold	05/15/13	J	A	T
149. JNJ (Johnson & Johnson) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
150. KMB (Kimberly Clark) Shares	A	Dividend	J	T	Sold (part)	04/19/13	J	A	T
151. KMB (Kimberly Clark) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
152. KMB (Kimberly Clark) Shares	A	Dividend	J	T	Buy	08/15/13	J		T
153. KMB (Kimberly Clark) Shares	A	Dividend	J	T	Buy	08/22/13	J		T

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Eisenberg, Dorothy

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8/14/19

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. MRK (Merck) - Shares	A	Dividend	J	T	Buy	05/22/13	J		T
155. MRK (Merck) - Shares	A	Dividend	J	T	Buy	06/21/13	J		T
156. MRK (Merck) - Shares	A	Dividend	J	T	Buy	09/10/13	J		T
157. NSRGY (Nestle ADR) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
158. NSRGY (Nestle ADR) Shares	A	Dividend	J	T	Buy	05/23/13	J		T
159. NEE (Nextera Energy) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
160. OXY - OCCIDENTAL - SHARES	A	Dividend	J	T	Buy	09/13/13	J		T
161. ORCL (Oracle) shares		None	J	T	Buy	12/19/13	J		T
162. ORCL (Oracle) shares		None	J	T	Buy	12/27/13	J		T
163. PBCT (People United Financial) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
164. PBCT (People United Financial) Shares	A	Dividend	J	T	Sold (part)	07/18/13	J	A	T
165. PEP (Pepsico) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
166. PFE (Pfizer) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
167. PFE (Pfizer) Shares	A	Dividend	J	T	Buy	09/10/13	J		T
168. PPG (PPG Industries) Shares	A	Dividend	J	T	Sold (part)	01/02/13	J	A	T
169. PPG (PPG Industries) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
170. PG (Proctor & Gamble) Shares	A	Dividend	J	T	Sold (part)	04/24/13	J	A	T

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
Q = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Eisenberg, Dorothy

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04/21/2014

8/19/14

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. PG (Procter & Gamble) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
172. PG (Procter & Gamble) Shares	A	Dividend	J	T	Buy	09/23/13	J		T
173. PSX (Phillips 66) Shares		None			Sold	01/04/13	J		T
174. QCOM (Qualcomm) Shares	A	Dividend	J	T	Buy	01/02/13	J		T
175. QCOM (Qualcomm) Shares	A	Dividend	J	T	Buy	04/25/13	J		T
176. QCOM (Qualcomm) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
177. SE (Spectra Energy) Shares	A	Dividend	J	T	Buy	01/04/13	J		T
178. SE (Spectra Energy) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
179. TXN (Texas Instruments) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
180. TMO (Thermo Fisher Scientific) Shares	A	Dividend			Sold	04/15/13	J	A	T
181. TWX (Time Warner) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
182. TOT (Total S.A.) Shares	A	Dividend			Sold (part)	05/16/13	J	A	T
183. TOT (Total S.A.) Shares	A	Dividend			Sold	08/28/13	J	A	T
184. TRV (Travelers) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
185. UNH (United Health Care) - Shares	A	Dividend	J	T	Buy	04/05/13	J		T
186. UNH (United Health Care) - Shares	A	Dividend	J	T	Buy	04/22/13	J		T
187. UNH (United Health Care) - Shares	A	Dividend	J	T	Buy	07/18/13	J		T

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Eisenberg, Dorothy

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8/14/19

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. UNH (United Health Care) - Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
189. UNP (Union Pacific) Shares	A	Dividend	J	T	Buy	05/08/13	J		T
190. UNP (Union Pacific) Shares	A	Dividend	J	T	Buy	08/28/13	J		T
191. UNP (Union Pacific) Shares	A	Dividend	J	T	Buy	09/09/13	J		T
192. UNP (Union Pacific) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
193. VZ (Verizon) Shares	A	Dividend	J	T	Buy	01/08/13	J		T
194. VZ (Verizon) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
195. VZ (Verizon) Shares	A	Dividend	J	T	Buy	10/07/13	J		T
196. WMT (Walmart) Shares	A	Dividend	J	T	Buy	09/13/13	J		T
197. WMT (Walmart) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
198. WM (Waste Management) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J		T
199. WM (Waste Management) Shares	A	Dividend	J	T	Sold (part)	08/15/13	J	A	T
200. WY (Weyerhaeuser) - shares	A	Dividend	J	T	Buy	06/27/13	J		T
201. WY (Weyerhaeuser) - shares	A	Dividend	J	T	Buy	08/28/13	J		T
202. WEC (Wisconsin Energy) Shares	A	Dividend	J	T	Sold (part)	05/16/13	J	A	T
203. Investco Money Market	A	Dividend	J	T					
204. Nuance-Shares		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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R = Cost (Real Estate Only)
V = Other

S = Assessment
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Nuance Shares		None	J	T	Sold (part)	06/13/13	J	A	Loss
206.									
207. Lincoln Life & Annuity	A	Int./Div.	K	T					
208. HSBC BANK checking acct./savings account	A	Interest	L	T					
209. Coop - studio apt. NYC		None	N	W					
210. 7.30% Int. in No.2 Assoc. Ltd. has 12.5% int. in partnership		None	J	W					
211.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

2. Value Codes
(See Columns C1 and D3)

Q = Appraisal
U = Book Value

3. Value Method Codes
(See Column C2)

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Eisenberg, Dorothy

Date of Report

04/21/2014

8/19/14

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 1990, all previously owned IRA accounts were transferred to Shuffro Rose & Ehrman, Investment Advisors, and placed into an IRA account wherein the firm has the discretion to buy or sell investments. I am not consulted before they act, but can instruct them to change the purchase after I learn of the transaction. In addition, in 1990, I opened a non-IRA account with Shuffro Rose & Ehrman, similar to that stated above. These accounts have been transferred to Morgan Stanley Smith Barney as of early 2010. They have authority to buy and sell without my prior authorization. The report as to shares bought and sold was provided to me by my broker. [REDACTED] The account in my name at Lincoln Life & Annuity Bank is the result of money received from an insurance policy [REDACTED]

VII. INVESTMENTS

The value of my interest in No. 2 Associates is difficult to determine since the value of the equity after expense can only be determined when the real property is sold.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Eisenberg, Dorothy

Date of Report

04/21/2014

8/19/14

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.


Signature: s/ Dorothy Eisenberg

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544