

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dearie, Raymond J.	2. Court or Organization Eastern District of New York	3. Date of Report 01/08/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Courthouse Room 91S 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fed Ex Corp See Part VIII					Sold				
2. US Bank Corp See Part VIII					Sold				
3. Rents received, rental units, Brooklyn, NY(Y)									
4. Citibank Checking and Savings	A	Int./Div.	M	T					
5. Citibank IRS Money Market AIC	A	Int./Div.	J	T					
6. IRS Dreyfus GNMA Fund	A	Int./Div.	J	T					
7. Federal Credit Union	A	Int./Div.	K	T					
8. USAA Checking and Savings	A	Interest	J	T					
9. First National Bank of St. Louis	A	Interest	J	T					
10. Municipal Credit Union	A	Interest	J	T					
11. Berkshire Hathaway Inc.	A	Dividend	K	T	Sold (part)	07/22/14	J	A	
12. Express Scripts Holding	A	Dividend	J	T					
13. General Mills	A	Dividend	J	T	Sold (part)	07/22/14	J	A	
14. Nike	A	Dividend	J	T	Sold (part)	07/22/14	J	B	
15. Target	A	Int./Div.	J	T					
16. Whole Foods	A	Dividend	J	T	Buy	10/27/14	J		
17. MFS Global Equity Fund CL A	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. MFS Int'l Diversification	A	Int./Div.	J	T					
19. JP Morgan Chase	A	Dividend	J	T					
20. Edward Jones Investment - Act. 1 -									
21. Bridge Builder Bond Fund	A	Dividend	J	T					
22. Capital World Bond	A	Dividend	J	T					
23. Cba Aggressive Growth I	A	Dividend	J	T					
24. Columbia Small Cap Value I	A	Dividend	J	T					
25. Dodge & Cox Stock	A	Dividend	J	T					
26. Europacific Growth	A	Dividend	J	T					
27. Franklin Mutual Shares	A	Dividend	J	T					
28. Harbor International	A	Dividend	J	T					
29. Ironbridge Smid Cap	A	Dividend	J	T					
30. Jh Disciplined Value Mid Cap	A	Dividend	J	T					
31. JP Morgan Fed Mon Mkt	A	Dividend	J	T					
32. Mainstay Icap Select Equity	A	Dividend	J	T					
33. Manning & Napier Equity	A	Dividend	J	T					
34. Metropolitan West Ttl Ret Bd	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. MFS International Value	A	Dividend	J	T					
36. MFS Value	B	Dividend	J	T					
37. Pimco Total Return IV	A	Dividend	J	T					
38. Prudential High Yield	A	Dividend	J	T					
39. Prudential Jenn Mid Cap GR	A	Dividend	J	T					
40. T. Rowe Price Instl Lrge Cp GR	B	Dividend	J	T					
41. T. Rowe Price Intl Stock	A	Dividend	J	T					
42. Victory Small Company Opport	A	Dividend	J	T					
43. Edward Jones Act. 2 - Roth IRA -									
44. Bridge Builder Bond Fund	A	Dividend	J	T					
45. Cba Aggressive Growth I	A	Dividend	J	T					
46. Columbia Acord International	A	Dividend	J	T					
47. Dodge & Cox Stock	A	Dividend	J	T					
48. Franklin Mutual Shares C1 Z	A	Dividend	J	T					
49. Fundamental Investors	A	Dividend	J	T					
50. Harbor Capital Appreciation	A	Dividend	J	T					
51. Harbor International	A	Dividend	J	T					

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52. Invesco Comstock	A	Dividend	J	T					
53. JP Morgan Fed Mon Mkt	A	Dividend	J	T					
54. JP Morgan High Yield	A	Dividend	J	T					
55. JPMorgan Mid Cap Value Instl	A	Dividend	J	T					
56. Loomis Sayles Global Bond	A	Dividend	J	T					
57. Loomis Sayles INV Grade BD	A	Dividend	J	T					
58. Metropolitaqn West Ttl Ret Bd	A	Dividend	J	T					
59. MFS International Value	A	Dividend	J	T					
60. MFS Value	A	Dividend	J	T					
61. Pimco Total Return IV	A	Dividend	J	T					
62. Prudential High-Yield	A	Dividend	J	T					
63. Rainier Small/Mid Cap Eq Instl	A	Dividend	J	T					
64. T Rowe Price Blue Chip Gr	A	Dividend	J	T					
65. T Rowe Price Intl Bond	A	Dividend	J	T					
66. T. Rowe Price Intl Stock	A	Dividend	J	T					
67. Edward Jones Act. 3 - Traditional IRA-									
68. Bridge Builder Bond Fund	A	Dividend	J	T					

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69. Cha Aggressive Growth I	A	Dividend	J	T					
70. Columbia Small Cap value I	A	Dividend	J	T					
71. Dodge & Cox Stock	A	Dividend	J	T					
72. Franklin Mut Gbl Dscv Fd C1 Z	A	Dividend	J	T					
73. Fundamental Investors	A	Dividend	J	T					
74. Harbor Capital Appreciation	A	Dividend	J	T					
75. Invesco Comstock	A	Dividend	J	T					
76. JP Morgan Fed Mon Mkt	A	Dividend	J	T					
77. JP Morgan High Yield	A	Dividend	J	T					
78. JP Morgan Mid Cap Value	A	Dividend	J	T					
79. Loomis Sayles Global Bond	A	Dividend	J	T					
80. Loomis Sayles Inv Grade Bd	A	Dividend	J	T					
81. Metropolitan West Ttl Ret Bd	A	Dividend	J	T					
82. MFS Intl New Discovery	A	Dividend	J	T					
83. MFS Value	A	Dividend	J	T					
84. Mutual Global Discovery C1 Z	A	Dividend	J	T					
85. Pimco Total Return IV	A	Dividend	J	T					

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86. Prudential Jeun Mid Cap Gr	A	Dividend	J	T					
87. Ramier Small/Mid Cap Eq Instl	A	Dividend	J	T					
88. Scout International	A	Dividend	J	T					
89. T. Rowe Price Blue Chip Gr	A	Dividend	J	T					
90. T. Rowe Price Intl Bd	A	Dividend	J	T					
91. T. Rowe Price Intl Stock	A	Dividend	J	T					
92. Federate Municipal & Stock Advantage Fund	A	Dividend	J	T	Buy	02/18/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional investments reported are spouse's; married June 8, 2013.

Item 1, Fed Ex Corp. This item was accurately reported as sold February 19, 2013, Value Code J, Gain Code D in initial filing of my 2013 report, but was dropped unintentionally when the amended 2013 report was filed.

Item 2, US Bank. This item was accurately reported as sold March 25, 2013, Value Code J, Gain Code A in initial filing of my 2013 report, but dropped unintentionally when the amended 2013 report was filed.

Item 3, Rents Received, rental units, Brooklyn, NY. These sums were relinquished [REDACTED] January 31, 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Raymond J. Dearie**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544