

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carr, James M.	2. Court or Organization Bankruptcy Court for the Southern District of Indiana	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Room 316 46 E. Ohio St United States Courthouse Indianapolis , In 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Baker & Daniels LLP
2.	Partner	Faegre Baker Daniels LLP
3.	Director	WFYI Foundation , Inc.
4.	Director	Board of Visitors Maurer School of Law , Indiana University
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Faegre Baker Daniels LLP , former law firm, payout of capital account and retirement benefit , no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Payment for teaching advanced bankruptcy class Maurer School of Law , Indiana University	\$3,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Midwest Regional Bankruptcy Seminar	8/21/13-8/22/13	Cincinnati , Ohio	Presenter CLE seminar	Parking, meals , hotel , seminar registration and ballgame admission
2. Indiana Continuing Legal Education	8/16/13-8/17/13	Michigan City , Indiana	Chair CLE seminar	meals , hotel , and seminar registration
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS — Income, value, transaction. (Includes those of a spouse and dependent child(ren) (see pp. 54-55) of living trust(s).)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	Amount Code 1 (A-H)	Type (e.g., div., rent, Sec. 1256) (I-J)	Value Code 2 (K-L)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption) (1-3)	Date mm/dd/yy (4-6)	Value Code 2 (K-L)	Gain Code 1 (A-H)	Identity of buyer/seller or partner (if partner transaction)
1. 401(k) account Schwab Retirement Services, Inc.		D	Int./Div.	K	T				
2. IRA Northwestern Mutual Investment Services	G	Int./Div.	P1	T					
3. The Ball & Biscuit LLC	A	Dividend	J	L					
4. Gateway Partners, L.P.	A	Int./Div.	J	L					
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

Value Codes

I = \$1,000,001 - \$5,000,000

K = \$5,000,001 - \$50,000,000

L = \$50,000,001 - \$100,000,000

M = \$100,000,001 - \$250,000,000

Value Method Codes

P1 = \$25,000,001 - \$50,000,000

P2 = \$50,000,001 - \$100,000,000

P3 = \$100,000,001 - \$250,000,000

P4 = More than \$250,000,000

(See Column C2)

Q = Appraisal

R = Cost (Real Estate Only)

S = Assignment

T = Cash Market

U = Book Value

V = Other

W = Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate page report.)

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Amount of Previous Reporting

1/1/2018 to 12/31/2018

Date of Report

12/31/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it not applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 5, 551 et seq., 5 C.F.R. § 2635, and Federal Conflict of Interest Regulations.

Signature: /s/ James M. Carr

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS.

U.S. Supreme Court
Administrative Office of the United States Courts
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Washington, D.C. 20544