

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GREGG, JAMES D.	2. Court or Organization United States Bankruptcy Court Western District of Michigan	3. Date of Report May 1, 2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1-1-2011 to 12-31-2011
7. Chambers or Office Address One Division North, Room 310 Grand Rapids, MI 49503		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☒ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. May 1996	I am a co-author of "Michigan Practice Guide: Enforcing Judgments and Debts"; West Services/Thomson Reuters
2.	
3.	I was informed book is not out of print, royalties received during 2011 were minimal, there is a possibility I may author a replacement book, but this is presently uncertain.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	West Services book royalties (See II "Agreements" above.)	169
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amounts not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment. *

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American College of Bankers	3-18/20-2011	Washington DC	Seminar Expense	6 transportation food and lodging *
2. Nat'l Assn Bankr. Trustees	3-24/27-2011	Santa Monica CA	Seminar Reimbursement	* *
3. American Bankr. Inst.	6-9/12-2011	Acme, MI	Seminar Reimbursement	* *
4. Nat'l Assn Bankr. Trustees	9-21/25-2011	Amelia Island FL	Seminar Reimbursement	* *
5. Nat'l Conf. Bankr. Judges	10-11/14-2011	Tampa FL	Partial Seminar Reimbursement	* *
6. Amer. Bankr. Inst.	11-10/11-2011	Detroit, MI	Seminar Reimbursement	* *
7. Mid-South Comm'l/Law Inst.	11-30/12-2-2011	Nashville TN	Seminar Reimbursement	* *

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-F)	(5) Identity of buyer/seller (if private transaction)
	Place "X" after each asset except from prior disclosure								
1. Fifth Third Bank (no stock) Grand Rapids MI (IRA)	A	Int.	J	T	Partial w/withdrawal	2-23-11	J	A	N/A
2. Independent Bank Rockford MI (checking, savings)	A	Int.		T					
3. Lake Michigan Credit Union Grand Rapids MI (Savings, CDs)	A	Int.	K	T					
4. Macatawa Bank Holland MI (CD)	A	Int.	J	T					
5. United Bank Grand Rapids MI (CD)	A	Int.	K	T					
6. Income Fund Amer (American Fund) Indianapolis IN (mutual fund)	A	Int.	K	T					
7. Columbia Seligman (Communitas) (Mutual Fund)	A	Int.	J	T					
8. Wells Fargo Advisors (Acct)	A	Int.	J	T					
9. Coca Cola stock	A	Div.	J	T					
10. Nortel Networks stock	A	Div.	J	T					
11. Sysco Corp stock	A	Div.	J	T					
12. General Electric Stock	A	Div.	K	T					
13. Morgan Stanley Stock	A	Div.	J	T					
14. Valero Energy Stock	A	Div.	J	T	sold	2-23-2011	J	A	N/A
15. Chicago Bridge & Iron Stock	A	Div.	J	T	sold	2-23-2011	J	A	N/A
16. Conoco Phillips Stock	A	Div.	J	T	sold	2-23-2011	J	A	N/A
17. Marathon O.I Stock	A	Div.	J	T	sold	2-23-2011	J	A	N/A

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

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VII. INVESTMENTS and TRUSTS - Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-68 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Schlumberger Ltd. stock	A	Div	J	T	sold	2-23-2011	J	A	N/A
19. Southern Copper stock	A	Div	K	T	sold	9-26-2011	K	A	N/A
20. Schwab Bank (checking)	A	Int	J	T					
1									
2									
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14									
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16									
17									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A - \$1,000 or less

F - \$50,001 - \$100,000

I - \$15,000 or less

N - \$250,001 - \$500,000

P3 - \$25,000,001 - \$50,000,000

Q - Appraisal

U - Book Value

B - \$1,001 - \$2,500

G - \$100,001 - \$1,000,000

K - \$15,001 - \$50,000

O - \$500,001 - \$1,000,000

R - Cost (Real Estate Only)

V - Other

C - \$2,501 - \$5,000

H1 - \$1,000,001 - \$5,000,000

L - \$50,001 - \$100,000

P1 - \$1,000,001 - \$5,000,000

P4 - More than \$50,000,000

S - Assessment

W - Estimated

D - \$5,001 - \$15,000

H2 - More than \$5,000,000

M - \$100,001 - \$250,000

P2 - \$5,000,001 - \$25,000,000

T - Cash Market

E - \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*NONE

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sig

JAMES D. GREGG

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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