

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GREGG, JAMES D.	2. Court or Organization U.S. Bankruptcy Court Western District of Michigan	3. Date of Report May 6, 2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge (Active until 12-31-12; then recall status)	5a. Report Type (check appropriate type) ☐ Nomination ☒ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1-1-12 to 12-31-12
7. Chambers or Office Address One Division North Grand Rapids MI 49503		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. State Bar Wisconsin	3-1 to 3-3-12	Kohler WI	Seminar Expense	Mileage, Lodging, Meals
2. Nat'l Conf. Bankr. Judges	3-19 to 3-20-12	Miami Beach FL	Prof. Organization	Transportation, Lodging, Meals
3. Sacramento Valley Bankr. Forum	3-22 to 3-25-12	Sacramento CA	Seminar Expense	Transportation, Lodging, Meals
4. American Bankr. Inst.	6-7 to 6-9-12	Acme, MI	Seminar Expense	Mileage, Lodging, Meals
5. Federal Bar Assn (West. MI)	7-19 to 7-21-12	Thompsonville MI	Seminar Expense	Mileage, Lodging, Meals
6. Midwest Regional Bankr. Seminar	8-16 to 8-17-12	Cincinnati OH	Seminar Expense	Transportation, Lodging, Meals
7. Nat'l Conf. Bankr. Judges	10-23 to 10-27-12	San Diego CA	Prof Meeting + Seminar Expense	Transportation + Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fifth Third Bank (no stock) Grand Rapids MI (IRA)	A	Int	J	T	Total withdrawal	1-18-12	J		N/A
2. Independent Bank CDs Rockford MI (checking, savings)	A	Int	L	T					
3. Lake Michigan Credit Union Grand Rapids MI (Savings CDs)	A	Int	K	T					
4. Macatawa Bank Holland MI (CD)	A	Int	J	T					
5. United Bank Grand Rapids MI (CDs)	A	Int	K	T					
6. Income Fund America Indianapolis IN (mutual fund)	A	Int	K	T					
7. Columbia Seligman Comm (Mutual Fund)	A	Int	J	T					
8. Oppenheimer (previously Acct Wells Fargo)	A	Int	K	T					
9. Coca-Cola stock	A	Div.	J	T					
10. Nortel Networks stock	A	Div.	J	T					
11. Sysco Corp. stock	A	Div.	J	T					
12. General Electric stock	A	Div.	K	T					
13. Morgan Stanley stock	A	Div.	J	T					
14. Schwab Bank (Money mkt. checking)	A	Int	L	T					
15.									
16.									
17.									

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I "retired" on December 31, 2012. I was appointed by the Sixth Circuit Court of Appeals for an extended recall beginning January 1, 2013 and expiring when my second 14-year term would have expired, i.e. May 31, 2015.

See, p.1 ¶ 4.

I have asked for hard-copy information (filing instructions) and forms. Either this request was overlooked or there may be a new policy for internal filings. I will continue to prepare my own disclosure statements as I did this one. 

Also, there is no instruction regarding the number of copies. I therefore have sent this original plus three(3) copies I did in previous years.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544