

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gershon , Nina	2. Court or Organization EDNY	3. Date of Report 07/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/1/2017 to 12/31/2017
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	JAMS- miscellaneous
2. 2017	pension-NYState
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. JAMS	June 6-8, 2017	Sanctuary, Arizona	annual meeting	room and board, transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM comon stock	A	Dividend	K	T					
2. Citibank Checking	A	Interest	L	T					
3. Chase CD (IRA)	A	Interest	J	T	Distributed (part)	12/01/17	J		
4. MorganStanley Active (Liquid) Assets	A	Dividend	J	T					
5. Kaufmann Fund	E	Dividend	N	T					
6. NYS Deferred Comp	E	Int./Div.	N	T	Distributed (part)	12/15/17	K	A	
7. NY Money Trust (MS)	A	Interest	J	T					
8. Vanguard 500 (IRA)	A	Dividend	M	T					
9. Vanguard GNMA Fund	B	Interest	L	T					
10. Dreyfus Mun. Bond Fund	B	Interest	K	T					
11. Vanguard GNMA (IRA)	B	Dividend	L	T					
12. NYS Hsg Finance Agency Bond	A	Interest	K	T					
13. Citibank Market Rate Acct	A	Interest	L	T					
14. NYS TWY Auth	B	Interest	L	T					
15. SuffolkCty Public Impr. Bond	B	Interest	L	T					
16. LA CA USD-A Bond	B	Interest			Redeemed	07/03/17	K	A	
17. Metropolitan W BE Bond	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. VanguardTotalBondMktIndexFund(IRA)	B	Dividend	N	T					
19. NYC GO Ser L Bond	C	Interest	L	T					
20. NYS Dorm Auth Bond	B	Interest	K	T					
21. NYC Mun Water Bond	A	Interest	K	T					
22. MTA NY Trans Bond	A	Interest	K	T					
23. NYC Dorm Auth	B	Interest	K	T					
24. Vanguard 500	A	Dividend	N	T					
25. Nuveen Pre Inc Muni Fd	A	Interest	J	T					
26. Tribrg Tunl A	B	Interest	K	T					
27. PtAuth NY&NJ	B	Interest	K	T					
28. NYS GOB-E	A	Interest	K	T					
29. NYC TFA Fiscal	B	Interest	K	T					
30. Vanguard SEP (IRA)(Total Intern'l Stock Index Fund)	A	Interest	M	T	Buy (add'l)	07/25/17	K		
31.					Buy (add'l)	12/04/17	K		
32. Vanguard Ext.Mkt.IndexFund	A	Dividend	K	T					
33. Vanguard Total Int'l Stock Index Fund	B	Dividend	K	T					
34. MinisinkVYCentSchDist	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000	
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35. StatePubSchBldgAuth PACollege	A	Interest	K	T					
36. NYS Dorm Auth	B	Interest	L	T					
37. WesternNassauCty WtrAuth	B	Interest	L	T					
38. Western InterMuni FD	B	Interest	K	T					
39. Vanguard 500	A	Dividend	K	T					
40. Triboro Bridge Tunnel	C	Interest	L	T					
41. NY Convention Ctr Dev Corp	A	Interest	K	T					
42. NYC NY TransFinAuth	B	Interest	K	T					
43. NYC GOB Ser-I-1	A	Interest	L	T	Buy	01/19/17	L		
44. NYC Mun Water Finance	A	Interest	K	T	Buy	01/26/17	K		
45. JAMS		None	K	T	Buy	01/01/17	K		
46. NYC GOB SerI-1	A	Interest	K	T	Buy	01/13/17	K		
47. NYC Mun Water Finance Seed genl res rev-HH	A	Interest	K	T	Buy	01/26/17	K		
48. NYC Transitional Fin Auth	A	Interest	K	T	Buy	07/10/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 10:

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nina Gershon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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