

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moody, Jr., James S.	2. Court or Organization U.S. DISTRICT COURT MIDDLE DISTRICT FL	3. Date of Report 02/16/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE (SENIOR STATUS)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2014 to 12/31/2014
7. Chambers or Office Address U.S. DISTRICT COURT 801 NORTH FLORIDA AVE #13A TAMPA, FL 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. TRUSTEE	TRUST
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	HUMANA/COMPBENEFITS, INC. - SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Merrill Lynch 	Margin Loan	M
2. Merrill Lynch 	Margin Loan	P1
3. Moody Investments	Loan	O
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST CASH ACCOUNTS									
2. -- Individual Checking	A	Int./Div.	J	T					
3. -- McIntosh Road	A	Int./Div.	J	T					
4. -- Checking	A	Int./Div.	J	T					
5. -- Savings	A	Int./Div.	J	T					
6. -- Individual	A	Int./Div.	J	T					
7. MERRILL LYNCH CASH ACCOUNTS									
8. -- Bilmar	A	Int./Div.			Closed	04/30/14	J		
9. -- Individual #1	A	Int./Div.	K	T					
10. -- Individual #2	A	Int./Div.	J	T					
11. -- Individual #3	A	Int./Div.	J	T					
12. --	A	Int./Div.	K	T					
13. --	A	Int./Div.	J	T					
14. -- Trust #1	A	Int./Div.			Closed	01/31/14	J		
15. -- Partnership	D	Int./Div.	N	T					
16. -- Partnership	C	Int./Div.	L	T					
17. -- Trust #1 Inc. Bene	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -- Trust #1 Inc. Bene [REDACTED]	A	Int./Div.	K	T					
19. -- ICM Trust [REDACTED])	A	Int./Div.			Closed	09/30/14	J		
20. -- ICM Trust [REDACTED]	A	Int./Div.			Closed	10/31/14	J		
21. MERRILL LYNCH NON-Q [REDACTED]									
22. -- STI	A	Dividend	J	T					
23. -- BAC	C	Dividend	N	T					
24. -- RF	A	Dividend	K	T					
25. -- CAT		None			Buy (add'l)	03/13/14	L		
26.					Sold	05/21/14	M	D	
27. -- CAT Options		None			Sold	03/28/14	J		
28. -- DMND		None			Sold	02/26/14	K		
29.					Buy	03/13/14	K		
30.					Sold	03/26/14	K		
31. -- DMND Options		None			Sold	03/11/14	J		
32. -- NLY	B	Dividend	K	T					
33. -- ARCC	A	Dividend	J	T					
34. -- BP	B	Dividend	L	T	Buy	04/28/14	L		

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2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold	05/09/14	L	B	
36.					Buy	08/26/14	K		
37.					Buy (add'l)	11/28/14	K		
38. -- CSQ	A	Dividend	J	T					
39. -- DOW		None			Sold	03/26/14	L	E	
40.					Buy	04/15/14	L		
41.					Sold	06/25/14	L	C	
42. -- DOW Options		None			Sold	04/11/14	J		
43.					Sold	05/20/14	J		
44. -- MOS	D	Dividend	N	T	Sold	01/23/14	L	A	
45.					Buy	01/27/14	L		
46.					Sold	02/26/14	L	A	
47.					Buy	04/28/14	L		
48.					Buy (add'l)	06/23/14	M		
49.					Sold (part)	06/25/14	L	B	
50.					Buy (add'l)	06/30/14	M		
51.					Buy (add'l)	07/09/14	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52.					Buy (add'l)	11/28/14	K		
53. -- MOS Options		None			Sold	01/23/14	J		
54.					Sold	04/24/14	J		
55.					Sold	05/20/14	J		
56.					Sold	06/19/14	J		
57.					Sold	06/26/14	J		
58.					Sold	07/22/14	J		
59.					Sold	08/21/14	J		
60.					Sold	12/26/14	J		
61. -- ESV	D	Dividend	M	T	Buy (add'l)	02/27/14	L		
62.					Buy (add'l)	06/23/14	L		
63.					Buy (add'l)	07/09/14	K		
64.					Buy (add'l)	12/10/14	K		
65.					Sold (part)	12/24/14	K		
66.					Buy (add'l)	12/30/14	K		
67. -- ESV Options		None			Sold	02/25/14	J		
68.					Sold	03/28/14	J		

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69.					Sold	07/07/14	J		
70. -- CRC	A	Dividend	J	T	Spinoff (from line 73)	11/24/14	J		
71. -- NPSP	A	Dividend	K	T	Buy	11/28/14	K		
72. -- NPSP Options		None			Sold	11/25/14	J		
73. -- OXY	C	Dividend	L	T	Buy	04/28/14	L		
74.					Sold	06/10/14	L	A	
75.					Buy	11/28/14	L		
76. -- OXY Options		None			Sold	04/24/14	J		
77.					Sold	12/26/14	J		
78. -- SU	B	Dividend	L	T	Buy	11/28/14	L		
79. -- SU Options		None			Sold	11/25/14	J		
80.					Sold	12/26/14	J		
81. -- PG	A	Dividend			Buy	01/06/14	L		
82.					Buy (add'l)	02/27/14	L		
83.					Sold	04/23/14	M	A	
84. -- PG Options		None			Sold	01/02/14	J		
85.					Sold	03/28/14	J		

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86. -- POT	A	Dividend			Buy	02/04/14	K		
87.					Sold	02/26/14	K	B	
88. -- TUP	A	Dividend			Buy	02/21/14	K		
89.					Buy (add'l)	03/06/14	L		
90.					Sold	03/19/14	M	C	
91. -- TUP Options		None			Sold	02/19/14	K		
92. -- COP	A	Dividend			Buy	02/21/14	L		
93.					Sold	03/26/14	L	B	
94. -- ADM	A	Dividend			Buy	03/06/14	L		
95.					Sold	03/26/14	L	A	
96. -- ADM Options		None			Sold	03/04/14	J		
97. -- LO	A	Dividend			Buy	03/24/14	M		
98.					Sold	04/23/14	M	C	
99.					Buy	05/27/14	M		
100.					Sold	06/25/14	M	A	
101. -- LO Options		None			Sold	03/20/14	J		
102.					Sold	05/22/14	J		

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103. -- INCY	A	Dividend			Buy	04/01/14	L		
104.					Buy (add'l)	05/22/14	K		
105.					Buy (add'l)	06/23/14	L		
106.					Sold (part)	06/25/14	M	C	
107.					Buy (add'l)	6/30/14	L		
108.					Sold	11/26/14	M		
109. -- INCY Options		None			Sold	03/28/14	J		
110.					Sold	05/20/14	J		
111.					Sold	06/19/14	J		
112.					Sold	06/26/14	J		
113.					Sold	07/22/14	J		
114.					Sold	08/21/14	J		
115.					Sold	09/30/14	J		
116.					Sold	10/22/14	J		
117. -- EMN	A	Dividend			Buy	04/01/14	L		
118.					Sold	06/25/14	L	C	
119.					Buy	06/30/14	M		

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120.					Sold	11/26/14	M		
121. -- EMN Options		None			Sold	03/28/14	J		
122.					Sold	06/26/14	J		
123.					Sold	07/22/14	J		
124.					Sold	08/21/14	J		
125.					Sold	10/22/14	J		
126. -- TM	A	Dividend			Buy	05/30/14	M		
127.					Sold (part)	06/23/14	L	A	
128.					Sold	06/25/14	L	A	
129. -- TM Options		None			Sold	05/28/14	J		
130. Merrill Lynch Investment Partnership									
131. -- MTGE	D	Dividend	K	T					
132. -- STI	A	Dividend			Buy (add'l)	03/31/14	M		
133.					Sold	09/24/14	N		
134. -- STI Options		None			Sold	03/20/14	J		
135.					Sold	03/27/14	J		
136.					Sold	04/24/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	06/19/14	J		
138.					Sold	07/22/14	J		
139.					Sold	08/20/14	J		
140. -- CAT	A	Dividend			Sold	01/21/14	O	C	
141.					Buy	01/27/14	M		
142.					Sold (part)	02/26/14	M	A	
143.					Sold	04/21/14	L	D	
144.					Buy	05/05/14	M		
145.					Sold (part)	06/25/14	M		
146.					Sold	07/21/14	M	D	
147.					Buy	07/23/14	M		
148.					Sold	11/26/14	M		
149. -- CAT Options		None			Sold	01/27/14	J		
150.					Sold	03/25/14	J		
151.					Sold	05/01/14	J		
152.					Sold	05/13/14	J		
153.					Sold	06/26/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	07/21/14	J		
155.					Sold	08/21/14	J		
156.					Sold	09/23/14	J		
157. -- FTR	D	Dividend	L	T					
158. -- COP	D	Dividend			Buy (add'l)	01/23/14	M		
159.					Sold (part)	03/26/14	M		
160.					Sold (part)	04/23/14	M	C	
161.					Sold	12/24/14	M	B	
162. -- COP Options		None			Sold	02/25/14	J		
163.					Sold	12/08/14	J		
164.					Sold	12/10/14	M		
165. -- ARLP	D	Dividend			Sold	02/07/14	M	C	
166. -- ARLP Options		None			Sold	01/27/14	J		
167. -- ARCC	C	Dividend	K	T					
168. -- AGNC	D	Dividend	M	T					
169. -- OXY	E	Dividend	O	T	Buy (add'l)	01/27/14	M		
170.					Sold	02/26/14	N	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$500,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy	03/03/14	N		
172.					Buy (add'l)	03/14/14	L		
173.					Buy (add'l)	03/31/14	N		
174.					Sold (part)	04/23/14	N		
175.					Buy (add'l)	04/28/14	N		
176.					Buy (add'l)	05/20/14	M		
177.					Sold	06/10/14	O	E	
178.					Buy	06/30/14	M		
179.					Buy (add'l)	07/24/14	M		
180.					Buy (add'l)	09/25/14	M		
181.					Buy (add'l)	10/02/14	M		
182.					Buy (add'l)	11/28/14	N		
183. -- OXY Options		None			Sold	01/23/14	J		
184.					Sold	01/27/14	J		
185.					Sold	03/04/14	J		
186.					Sold	03/12/14	J		
187.					Sold	03/27/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	04/24/14	J		
189.					Sold	05/16/14	J		
190.					Sold	05/20/14	J		
191.					Sold	05/22/14	J		
192.					Sold	06/26/14	J		
193.					Sold	07/22/14	J		
194.					Sold	08/19/14	J		
195.					Sold	09/23/14	J		
196.					Sold	09/30/14	J		
197.					Sold	10/21/14	J		
198.					Sold	11/25/14	J		
199.					Sold	11/25/14	J		
200.					Sold	12/24/14	J		
201. -- GIS	A	Dividend			Buy (add'l)	01/23/14	L		
202.					Buy (add'l)	01/27/14	L		
203.					Sold	02/26/14	N	A	
204. -- GIS Options		None			Sold	01/23/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -- PEP	A	Dividend			Sold	01/23/14	M		
206.					Buy	01/27/14	M		
207.					Buy (add'l)	02/27/14	M		
208.					Buy (add'l)	03/03/14	M		
209.					Sold (part)	03/26/14	N	C	
210.					Sold	04/23/14	M	B	
211. -- PEP Options		None			Sold	01/27/14	J		
212.					Sold	02/25/14	J		
213.					Sold	02/27/14	J		
214. -- AB	A	Dividend			Sold (part)	01/23/14	K	B	
215.					Buy (add'l)	01/24/14	K		
216.					Sold (part)	02/21/14	L		
217.					Sold (part)	02/24/14	L	B	
218.					Sold	04/23/14	K	C	
219. -- AB Options		None			Sold	01/22/14	J		
220.					Sold	02/26/14	J		
221. -- JPM	A	Dividend			Sold	01/06/14	M	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -- MOS	D	Dividend	N	T	Buy (add'l)	01/06/14	L		
223.					Sold (part)	01/23/14	O	E	
224.					Buy (add'l)	01/27/14	M		
225.					Sold (part)	02/26/14	N		
226.					Buy (add'l)	03/03/14	M		
227.					Sold (part)	03/26/14	M	A	
228.					Buy (add'l)	06/23/14	M		
229.					Sold (part)	06/25/14	M	D	
230.					Buy (add'l)	07/09/14	M		
231.					Buy (add'l)	07/23/14	M		
232. -- MOS Options		None			Sold	01/02/14	J		
233.					Sold	01/23/14	J		
234.					Sold	02/27/14	J		
235.					Sold	04/24/14	J		
236.					Sold	05/20/14	J		
237.					Sold	06/19/14	J		
238.					Sold	07/07/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Sold	07/21/14	J		
240.					Sold	08/21/14	J		
241.					Sold	11/25/14	J		
242.					Sold	12/24/14	J		
243. -- POT	D	Dividend	M	T	Buy (add'l)	01/08/14	M		
244.					Sold (part)	01/16/14	M		
245.					Sold (part)	01/23/14	L	B	
246.					Buy (add'l)	01/24/14	L		
247.					Sold (part)	02/26/14	M	D	
248.					Buy	02/27/14	L		
249.					Buy (add'l)	03/03/14	L		
250.					Sold	03/26/14	M		
251.					Buy	06/23/14	L		
252.					Buy (add'l)	07/24/14	K		
253.					Buy (add'l)	11/28/14	K		
254.					Sold (part)	12/24/14	K		
255. -- POT Options		None			Sold	01/02/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000 M =\$100,001 - \$250,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold	01/06/14	J		
257.					Sold	01/22/14	J		
258.					Sold	02/25/14	J		
259.					Sold	02/27/14	J		
260.					Sold	06/19/14	J		
261. -- DMND		None	M	T	Sold	01/23/14	L		
262.					Buy	01/24/14	M		
263.					Sold (part)	02/26/14	K		
264.					Sold (part)	03/26/14	L	B	
265.					Buy (add'l)	03/28/14	L		
266.					Buy (add'l)	03/31/14	L		
267.					Buy (add'l)	04/28/14	K		
268.					Buy (add'l)	05/05/14	L		
269. -- DMND Options		None			Sold	01/22/14	J		
270.					Sold	03/27/14	J		
271.					Sold	04/24/14	J		
272.					Sold	05/01/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold	06/24/14	J		
274.					Sold	06/25/14	J		
275.					Sold	07/22/14	J		
276.					Sold	08/20/14	J		
277.					Sold	10/22/14	J		
278.					Sold	11/25/14	J		
279.					Sold	12/24/14	J		
280. -- NLY	A	Dividend	K	T					
281. -- BKBK		None			Sold	02/18/14	L	B	
282. -- CL	A	Dividend			Buy (add'l)	02/27/14	M		
283.					Sold	03/26/14	M	B	
284. -- CL Options					Sold	01/23/14	J		
285.					Sold	02/25/14	J		
286. -- AINV	D	Dividend	L	T					
287. -- GRBS		None	L	T					
288. -- WERN	A	Dividend			Sold	03/26/14	M	A	
289. -- TUP	E	Dividend	O	T	Buy (add'l)	01/16/14	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	01/27/14	M		
291.					Buy (add'l)	01/29/14	L		
292.					Buy (add'l)	02/27/14	M		
293.					Sold (part)	03/19/14	O		
294.					Buy (add'l)	03/27/14	M		
295.					Buy (add'l)	05/05/14	N		
296.					Buy (add'l)	07/24/14	L		
297.					Buy (add'l)	09/02/14	L		
298.					Buy (add'l)	09/25/14	M		
299. -- TUP Options		None			Sold	01/16/14	J		
300.					Sold	01/23/14	J		
301.					Sold	01/27/14	J		
302.					Sold	02/25/14	J		
303.					Sold	03/25/14	J		
304.					Sold	05/01/14	J		
305.					Sold	05/20/14	J		
306.					Sold	06/24/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	10/22/14	J		
308. -- GD		Dividend			Sold	01/17/14	N		
309. -- PM	A	Dividend			Buy (add'l)	01/08/14	M		
310.					Buy (add'l)	02/27/14	M		
311.					Sold (part)	03/26/14	M		
312.					Sold	06/25/14	N	E	
313. -- PM Options		None			Sold	01/06/14			
314.					Sold	01/23/14	J		
315.					Sold	02/25/14	J		
316.					Sold	05/13/14	J		
317. -- UAN	A	Dividend			Sold	01/23/14	K	A	
318.					Buy	01/24/14	K		
319.					Sold	03/03/14	K		
320. -- UAN Options		None			Sold	01/22/14	J		
321. -- ESV	F	Dividend	O	T	Buy (add'l)	01/06/14	M		
322.					Buy (add'l)	01/08/14	M		
323.					Buy (add'l)	01/27/14	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	06/23/14	M		
325.					Buy (add'l)	07/16/14	L		
326.					Buy (add'l)	10/24/14	K		
327.					Buy (add'l)	11/28/14	K		
328.					Buy (add'l)	12/29/14	K		
329. -- ESV Options		None			Sold	01/02/14	J		
330.					Sold	01/06/14	J		
331.					Sold	01/23/14	J		
332.					Sold	02/25/14	J		
333.					Sold	06/19/14	J		
334.					Sold	07/22/14	J		
335.					Sold	11/25/14	J		
336.					Sold	12/24/14	J		
337. -- WYNN	E	Dividend	O	T	Buy	06/30/14	N		
338.					Buy (add'l)	09/24/14	K		
339.					Buy (add'l)	09/25/14	M		
340.					Buy (add'l)	11/28/14	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	12/29/14	M		
342. -- WYNN Options		None			Sold	06/26/14	J		
343.					Sold	07/22/14	J		
344.					Sold	08/19/14	J		
345.					Sold	09/23/14	J		
346.					Sold	10/21/14	J		
347.					Sold	11/25/14	J		
348.					Sold	12/24/14	J		
349. -- BP	D	Dividend	M	T	Buy	03/24/14	N		
350.					Buy (add'l)	03/28/14	L		
351.					Sold	04/23/14	N	D	
352.					Buy	04/28/14	M		
353.					Sold	05/09/14	M	D	
354.					Buy	11/28/14	L		
355.					Buy (add'l)	12/29/14	K		
356. -- BP Options		None			Sold	03/20/14	J		
357.					Sold	04/24/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -- CRC		None	K	T	Spinoff (from line 169)	06/25/14	K		
359. -- DOW	B	Dividend	K	T	Buy	01/13/14	M		
360.					Buy (add'l)	01/16/14	L		
361.					Sold (part)	01/23/14	L	A	
362.					Sold (part)	02/25/14	L	B	
363.					Sold (part)	02/24/14	M	A	
364.					Buy (add'l)	04/11/14	K		
365.					Buy (add'l)	04/17/14	K		
366.					Sold (part)	05/21/14	K		
367.					Buy (add'l)	06/23/14	M		
368.					Sold (part)	06/25/14	K	C	
369.					Sold (part)	09/24/14	L	C	
370.					Buy (add'l)	09/25/14	L		
371.					Sold (part)	11/26/14	L		
372.					Buy (add'l)	12/12/14	K		
373. -- DOW Options		None			Sold	01/09/14	J		
374.					Sold	01/14/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold	04/09/14	J		
376.					Sold	04/15/14	J		
377.					Sold	06/19/14	J		
378.					Sold	07/22/14	J		
379.					Sold	08/21/14	J		
380.					Sold	09/23/14	J		
381.					Sold	10/22/14	J		
382. -- EMN	C	Dividend	M	T	Buy	01/23/14	N		
383.					Sold	02/26/14	N	C	
384.					Buy	03/24/14	M		
385.					Buy (add'l)	03/31/14	N		
386.					Sold (part)	04/22/14	M	A	
387.					Sold (part)	04/23/14	M		
388.					Buy (add'l)	04/28/14	M		
389.					Buy (add'l)	05/05/14	N		
390.					Buy (add'l)	06/23/14	M		
391.					Sold (part)	06/25/14	O	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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392.					Buy (add'l)	06/26/14	O		
393.					Sold (part)	09/24/14	O		
394.					Buy (add'l)	09/30/14	N		
395.					Buy (add'l)	10/02/14	L		
396.					Sold (part)	11/26/14	N	D	
397.					Buy (add'l)	12/10/14	M		
398. -- EMN Options		None			Sold	01/21/14	J		
399.					Sold	03/20/14	J		
400.					Sold	03/28/14	J		
401.					Sold	04/24/14	J		
402.					Sold	05/01/14	J		
403.					Sold	05/20/14	J		
404.					Sold	06/19/14	K		
405.					Sold	06/24/14	J		
406.					Sold	06/24/14	J		
407.					Sold	07/22/14	J		
408.					Sold	08/19/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold	09/26/14	J		
410.					Sold	09/30/14	J		
411.					Sold	12/08/14	J		
412. -- ETP	E	Dividend	N	T	Buy	11/28/14	N		
413. -- ETP Options		None			Sold	11/25/14	J		
414.					Sold	12/24/14	J		
415. -- GILD		None	L	T	Buy	11/28/14	M		
416.					Sold	12/24/14	M	D	
417.					Buy	12/29/14	L		
418. -- GILD Options		None			Sold	11/25/14	J		
419.					Sold	12/24/14	J		
420. -- HP	E	Dividend	O	T	Buy	03/31/14	M		
421.					Buy (add'l)	06/23/14	M		
422.					Buy (add'l)	06/24/14	M		
423.					Sold (part)	06/25/14	M	D	
424.					Buy (add'l)	06/30/14	M		
425.					Buy (add'l)	10/07/14	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	11/28/14	M		
427. -- HP Options		None			Sold	03/27/14	J		
428.					Sold	03/28/14	J		
429.					Sold	05/20/14	J		
430.					Sold	06/19/14	J		
431.					Sold	06/20/14	J		
432.					Sold	06/26/14	J		
433.					Sold	07/22/14	J		
434.					Sold	08/19/14	J		
435.					Sold	10/03/14	J		
436.					Sold	10/22/14	J		
437.					Sold	11/25/14	J		
438.					Sold	11/26/14	J		
439.					Sold	11/28/14	J		
440.					Sold	12/24/14	J		
441. -- NPSP		None	M	T	Buy	06/04/14	L		
442.					Sold	06/25/14	L	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Buy	06/26/14	M		
444.					Buy (add'l)	09/25/14	L		
445.					Sold (part)	11/26/14	M		
446.					Buy (add'l)	11/28/14	N		
447.					Sold (part)	12/24/14	N	E	
448.					Buy (add'l)	12/29/14	M		
449. -- NPSP Options		None			Sold	06/02/14	J		
450.					Sold	06/24/14	J		
451.					Sold	06/24/14	J		
452.					Sold	07/22/14	J		
453.					Sold	08/20/14	J		
454.					Sold	09/22/14	J		
455.					Sold	11/25/14	J		
456.					Sold	12/24/14	J		
457. -- SU	C	Dividend	M	T	Buy	09/25/14	M		
458.					Sold	11/26/14	M		
459.					Buy	11/28/14	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. -- SU Options		None			Sold	09/23/14	J		
461.					Sold	11/25/14	J		
462.					Sold	12/24/14	J		
463. -- PG	A	Dividend			Buy	01/06/14	M		
464.					Buy (add'l)	01/24/14	M		
465.					Sold	04/23/14	N		
466.					Buy	04/28/14	M		
467.					Buy (add'l)	05/06/14	M		
468.					Sold (part)	05/21/14	M	A	
469.					Buy (add'l)	05/22/14	N		
470.					Buy (add'l)	06/26/14	M		
471.					Sold	07/18/14	O		
472. -- PG Options		None			Sold	01/02/14	J		
473.					Sold	01/22/14	J		
474.					Sold	02/25/14	J		
475.					Sold	03/26/14	J		
476.					Sold	04/24/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477.					Sold	05/02/14	J		
478.					Sold	05/20/14	J		
479.					Sold	06/24/14	J		
480. -- PXD		None			Buy	01/29/14	N		
481.					Sold	02/26/14	N	A	
482.					Buy	03/31/14	N		
483.					Sold	05/21/14	N	D	
484. -- PXD Options		None			Sold	01/27/14	J		
485.					Sold	03/27/14	K		
486. -- NOV		None			Buy	03/03/14	N		
487.					Sold (part)	03/14/14	M	B	
488.					Buy (add'l)	03/24/14	N		
489.					Sold	04/23/14	N	D	
490.					Buy	04/28/14	M		
491.					Buy (add'l)	05/20/14	L		
492.					Sold	06/11/14	M		
493. -- NOV Options		None			Sold	02/27/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold	02/27/14	J		
495.					Sold	03/20/14	J		
496.					Sold	04/24/14	J		
497.					Sold	05/16/14	J		
498.					Sold	05/20/14	J		
499. -- VLO		None			Buy	03/03/14	M		
500.					Sold	04/23/14	M	D	
501. -- VLO Options		None			Sold	02/27/14	J		
502. -- LNC		None			Buy	03/03/14	M		
503.					Sold	03/26/14	M	B	
504. -- LNC Options		None			Sold	02/27/14	J		
505. -- WLP		None			Buy	03/04/14	M		
506.					Sold	03/26/14	M	B	
507. -- WLP Options		None			Sold	02/28/14	J		
508. -- INCY		None			Buy	03/04/14	M		
509.					Buy (add'l)	03/27/14	L		
510.					Buy (add'l)	04/28/14	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Sold	06/25/14	N		
512.					Buy	06/26/14	M		
513.					Buy (add'l)	07/23/14	K		
514.					Buy (add'l)	07/24/14	K		
515.					Sold (part)	08/20/14	L		
516.					Buy (add'l)	09/25/14	K		
517.					Sold	11/26/14	N		
518. -- INCY Options		None			Sold	02/28/14	J		
519.					Sold	03/25/14	J		
520.					Sold	03/27/14	J		
521.					Closed	03/28/14	J		
522.					Sold	04/24/14	J		
523.					Sold	05/20/14	J		
524.					Sold	06/24/14	J		
525.					Sold	07/18/14	J		
526.					Sold	07/21/14	J		
527.					Sold	08/21/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Sold	09/23/14	J		
529.					Sold	10/22/14	J		
530. -- LO		None			Buy	03/24/14	J		
531.					Buy (add'l)	03/28/14	M		
532.					Sold	04/23/14	M	B	
533.					Buy	04/28/14	M		
534.					Sold	05/21/14	M	B	
535.					Buy	05/22/14	M		
536.					Buy (add'l)	05/27/14	M		
537.					Sold	06/25/14	M	B	
538.					Sold	06/26/14	J		
539.					Buy	06/30/14	M		
540.					Sold	11/26/14	M		
541. -- LO Options		None			Sold	03/20/14	J		
542.					Sold	03/26/14	J		
543.					Sold	04/24/14	J		
544.					Sold	05/20/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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545.					Sold	05/22/14	J		
546.					Sold	06/26/14	J		
547.					Sold	07/22/14	J		
548.					Sold	08/19/14	J		
549.					Sold	10/21/14	J		
550. -- PRU		None			Buy	05/06/14	M		
551.					Sold	06/25/14	M	C	
552. -- PRU Options		None			Sold	05/02/14	J		
553.					Sold	05/20/14	J		
554. -- TM		None			Buy	05/30/14	M		
555.					Sold	06/25/14	M	A	
556.					Buy	06/30/14	M		
557.					Sold	11/26/14	M	D	
558. -- TM Options		None			Sold	05/28/14	J		
559.					Sold	06/26/14	J		
560.					Sold	08/20/14	J		
561.					Sold	09/26/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. -- DNOW Options		None			Sold	06/13/14	K		
563. -- CCL		None			Buy	06/23/14	M		
564.					Sold	09/24/14	M		
565. -- CCL Options		None			Sold	06/19/14	J		
566. -- NEE		None			Buy	07/22/14	M		
567.					Sold	11/26/14	M		
568. -- NEE Options		None			Sold	07/21/14	J		
569.					Sold	08/20/14	J		
570.					Sold	10/22/14	J		
571. -- South Carolina Bond	B	Interest	L	T					
572. -- Greenville, SC Bond	B	Interest	K	T					
573. -- Jim Moody Note Receivable		None	O	T	Open	01/01/14	O		
574. -- Bill Moody Note Receivable		None	P1	T	Open	01/01/14	P1		
575. Merrill Lynch - Trust #1 Inc. Bene									
576. -- CYS		None			Sold (part)	03/25/14	L		
577.					Sold	06/24/14	M		
578. -- CYS Options		None			Sold	01/07/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Sold	01/08/14	J		
580.					Sold	01/14/14	J		
581.					Sold	06/16/14	J		
582. -- CTL		None			Sold	05/21/14	K		
583. -- AFL	C	Dividend	M	T	Buy (add'l)	01/27/14	L		
584. -- AFL Options		None			Sold	02/28/14	J		
585. -- VLO		None			Sold	01/23/14	L	A	
586. -- MTGE	E	Int./Div.	M	T					
587. -- MTGE Options		None			Sold	06/23/14	J		
588. -- TWO	D	Int./Div.	M	T					
589. -- TWO Options		None			Closed	06/23/14	J		
590. -- AINV	C	Int./Div.	K	T					
591. -- CSQ	C	Int./Div.	L	T					
592. -- AAPL	B	Dividend	M	T	Buy	06/13/14	L		
593.					Sold	08/20/14	L	A	
594.					Buy	10/02/14	L		
595.					Buy (add'l)	11/04/14	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Sold (part)	11/05/14	L	A	
597.					Buy (add'l)	11/07/14	K		
598.					Sold (part)	11/26/14	M	A	
599.					Buy (add'l)	12/26/14	M		
600. -- AAPL Options		None			Sold	06/20/14	J		
601.					Sold	09/30/14	J		
602.					Sold	10/31/14	J		
603.					Sold	12/23/14	J		
604. -- COP	C	Dividend	M	T	Buy (add'l)	01/10/14	K		
605.					Buy (add'l)	01/16/14	J		
606.					Buy (add'l)	01/27/14	K		
607.					Buy (add'l)	02/26/14	J		
608.					Sold (part)	05/19/14	K	D	
609.					Sold (part)	05/21/14	K	A	
610.					Buy (add'l)	06/27/14	L		
611.					Buy (add'l)	08/21/14	K		
612. -- COP Options		None			Sold	12/23/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. -- ETP	D	Dividend	M	T	Buy	07/10/14	J	A	
614.					Buy (add'l)	08/21/14	L		
615.					Buy (add'l)	10/16/14	J		
616.					Sold (part)	10/22/14	L		
617.					Buy (add'l)	10/31/14	M		
618.					Sold (part)	11/03/14	J	A	
619.					Buy (add'l)	11/28/14	L		
620.					Buy (add'l)	12/26/14	J		
621. -- ETP Options		None			Sold	08/19/14	J		
622.					Sold	08/20/14	J		
623.					Sold	10/31/14	J		
624.					Sold	11/25/14	J		
625.					Sold	11/25/14	J		
626.					Sold	12/23/14	J		
627. -- FB		None	J	T	Buy	04/30/14	J		
628.					Buy (add'l)	06/09/14	K		
629.					Sold (part)	06/25/14	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Sold (part)	07/23/14	J		
631.					Buy (add'l)	07/29/14	K		
632.					Buy (add'l)	08/05/14	L		
633.					Buy (add'l)	09/03/14	J		
634.					Sold (part)	10/01/14	L	A	
635.					Sold (part)	12/24/14	K	B	
636. -- FB Options		None			Sold	06/05/14	J		
637.					Sold	07/25/14	J		
638.					Sold	08/12/14	J		
639.					Sold	09/03/14	J		
640.					Sold	09/23/14	J		
641.					Sold	11/05/14	J		
642. -- F	A	Dividend	J	T	Buy	05/19/14	J		
643.					Buy (add'l)	05/20/14	J		
644.					Buy (add'l)	05/23/14	J		
645.					Buy (add'l)	06/16/14	L		
646.					Sold (part)	08/01/14	L	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	08/06/14	J		
648.					Buy (add'l)	08/20/14	J		
649.					Buy (add'l)	09/11/14	J		
650. -- F Options		None			Sold	06/23/14	J		
651. -- GILD		None	L	T	Buy	11/28/14	M		
652.					Buy (add'l)	12/01/14	J		
653.					Sold	12/24/14	M	A	
654.					Buy	12/26/14	L		
655. -- GILD Options		None			Sold (part)	12/23/14	J		
656. -- HP	D	Dividend	M	T	Buy	11/28/14	L		
657.					Buy (add'l)	12/01/14			
658.					Buy (add'l)	12/26/14	L		
659. -- HP Options		None			Sold	11/25/14	J		
660.					Sold	12/23/14	J		
661. -- MUR	A	Dividend	J	T	Buy	12/11/14	J		
662. -- PM	C	Dividend	L	T	Buy	04/01/14	L		
663.					Sold	05/21/14	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Buy	06/26/14	L		
665. -- PM Options		None			Sold	10/21/14	J		
666. -- PWR		None	J	T	Buy	11/14/14	J		
667. -- GNI		None			Sold	02/04/14	J		
668. -- MITT		None			Sold (part)	03/18/14	M		
669.					Sold	05/21/14	K		
670. -- IVR		None			Sold	03/31/14	L		
671. -- IVR Options		None			Sold	04/23/14	J		
672. -- KMB		None			Buy	04/01/14	M		
673.					Sold	11/26/14	M		
674. -- GIS		None			Buy	04/01/14	L		
675.					Sold	05/21/14	L	B	
676. -- NLY		None			Sold	04/01/14	K		
677. -- CLX		None			Buy	04/23/14	L		
678.					Sold	10/22/14	L	B	
679. -- BBT		None			Buy	05/09/14	J		
680.					Buy (add'l)	05/20/14	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Sold (part)	06/25/14	K	B	
682.					Sold	07/23/14	K	A	
683.					Buy	07/31/14	K		
684.					Buy (add'l)	08/05/14	K		
685.					Buy (add'l)	08/22/14	L		
686.					Sold	12/24/14	M	C	
687. -- BBT Options		None			Sold	08/21/14	J		
688. -- WIN		None			Sold	05/21/14	M		
689. -- LO		None			Buy	06/06/14	L		
690.					Sold	10/29/14	L	A	
691. -- LO Options					Sold	06/05/14	J		
692. -- BP		None			Buy	06/03/14	M		
693.					Sold	06/25/14	L		
694. -- BP Options		None			Sold	05/30/14	J		
695. -- AGNC	E	Dividend	M	T					
696. -- AGNC Options		None			Sold	01/07/14	J		
697.					Closed	06/23/14	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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698.					Sold	07/24/14	J		
699.					Sold	10/14/14	J		
700. -- SBY		None			Sold	10/14/14	J		
701. -- HYH		None			Spinoff (from line 672)	11/06/14	J		
702.					Sold	11/26/14	J		
703. -- UA		None			Buy	06/26/14	L		
704.					Sold	08/19/14	L	B	
705. -- UA Options		None			Closed	06/24/14	J		
706. Merrill Lynch - ICM Trust									
707. -- BAC		None			Distributed	07/11/14	K		
708. -- CAT		None			Sold	05/21/14	M	D	
709. -- CAT Options		None			Sold	03/03/14	J		
710.					Sold	04/16/14	J		
711. -- GIS		None			Sold	03/26/14	M	B	
712. -- GIS Options		None			Sold	03/03/14	J		
713. -- POT		None			Sold	03/26/14	L	C	
714. -- RF		None			Distributed	07/11/14	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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715. -- STI		None			Buy (add'l)	04/21/14	L		
716.					Sold	06/25/14	L	C	
717. -- STI Options		None			Sold	05/20/14	J		
718. -- MOS		None			Sold	06/25/14	K	D	
719.					Buy	06/30/14	L		
720.					Distributed	07/11/14	L		
721. -- MOS Options		None			Sold	06/27/14	J		
722. -- WFC		None			Distributed	07/11/14	J		
723. -- WERN		None			Sold	01/23/14	K		
724. -- DOW		None			Buy	04/21/14	L		
725.					Sold	05/21/14	L	B	
726. -- DOW Options		None			Sold	04/16/14	J		
727. -- ESV		None			Buy	03/06/14	M		
728.					Buy (add'l)	06/30/14	L		
729.					Distributed	07/11/14	M		
730. -- ESV Options		None			Sold	03/04/14	J		
731.					Sold	04/16/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Sold	06/27/14	J		
733. -- LO		None			Buy	05/22/14	L		
734.					Distributed	07/11/14	M		
735. -- LO Options		None			Sold	07/07/14	J		
736. -- OXY		None			Buy	04/21/14	M		
737.					Sold	05/21/14	M	B	
738. -- OXY Options		None			Sold	04/16/14	J		
739. GEO THERMAL	B	Distribution	K	W					
740. FIRST TAMPA ALEXANDER LLC		None	M	W					
741. MISSOURI MIDWAY LLC		None	M	W					
742. REAL PROPERTY									
743. -- Diamond Hill, Valrico, FL		None	M	W					
744. -- Condo, Longboat Key, FL	D	Rent	N	W					
745. -- Condo, Tampa, FL (Ryan)		None	M	W					
746. -- Land, McIntosh Rd, Hillsborough Cnty, FL	D	Rent	N	W					
747. -- Property, Celo, NC		None	O	W					
748. -- Property, Highlands, NC		None	M	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. -- Highlands, North Carolina Condo	C	Rent	N	W					
750. -- Highlands, North Carolina Condo#2		None			Buy	08/20/14	O		
751. -- Rental Property, Plant City, FL	D	Rent	O	W					
752. -- Tampa Condo #1	D	Rent	M	W					
753. -- Tampa Condo #2	D	Rent	M	W					
754. -- Tampa Condo #3	D	Rent	N	W					
755. -- Tampa Condo #4	E	Rent	N	W					
756. -- St. Petersburg Condo #1	E	Rent	N	W					
757. -- St. Petersburg Condo #2	E	Rent	N	W					
758. -- Gainesville, FL Condo		None	N	W					
759. -- Tampa Condo #5		None	N	W					
760. -- Alexander St., Plant City, FL		None	N	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James S. Moody, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544