

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carnes, Julie E.	2. Court or Organization Eleventh Circuit Court of Appeals	3. Date of Report 5/8/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final Sb. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 56 Forsyth Street, N.W. Suite 360 Atlanta, Georgia 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1
2. Trustee	Trust #2
3. Executor	Estate #1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Spouse - retirement benefit, former partner in law firm
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. University of Georgia Athletic Association	Tickets to UGA football games	\$1,250.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-66 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Associated Credit Union cash acc't	A	Interest	M	T					
2. SunTrust Bank cash acc't	A	Interest	O	T					
3. Trust #1									
4. - Suntrust Bank cash acc't	A	Interest	J	T					
5. - Northwestern Mutual whole life insurance	B	Dividend	K	T					
6. Wells Fargo Bank cash acc't	A	Interest	K	T					
7. Capital One 360 cash acc't	C	Interest	N	T					
8. Prudential Life Insurance Company Variable Annuity									
9. - AST Blackrock Global Strategies Port	A	Dividend	O	T					
10. Athens GA Hsg Auth Rev UGARFDG East Campus 12/1/24	C	Interest	L	T					
11. ATLANTA GA WTR & WASTEWTR REV RF NOV01 2022	B	Interest	L	T					
12. ATLANTA GA WTR & WASTEWTR REV RF NOV01 2033	B	Interest	L	T					
13. COLUMBIA CNTY GA SCH DIST SCH GTD APR01 2022	B	Interest	L	T					
14. COLUMBIA CNTY GA GO BDS 2017 FEB17 05.000%JAN01 2034	B	Interest	K	T	Buy	04/18/17	K		
15. CONNECTICUT ST HEALTH & EDL FACS AUTH REV SER M APR16 05.000%JUL012026	C	Interest	M	T					
16. Fulton Cnty GA Dev Auth Rev Catholic B 11/15/2023	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$10,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. GEORGIA ST SER A JAN01 2023	C	Interest	L	T					
18. GEORGIA ST HIGHER ED FACS AUTH REV USG RF JUN15 2021	B	Interest	L	T					
19. GEORGIA ST RD & TWY AUTH REV REV BDS 2017 SER A AUG17 05.000%JUN012026	B	Interest	M	T	Buy	07/20/17	M		
20. GWINNETT CNTY GA SCH DIST RF FEB01 2024	C	Interest	L	T					
21. GWINNETT CNTY GA DEV AUTH REV REF REV BDS A MAY17 05.000%JUL01 2025	B	Interest	L	T	Buy	04/21/17	L		
22. HENRY CNTY GA SALES TAX MAY01 2020	C	Interest	L	T					
23. JEA FLA ELEC SYS REV SUB-SER SER B B RF Maty: 10/1/22	D	Interest	M	T					
24. Kentucky ST PPTY & BLDGS Nov 01/18	D	Interest	M	T					
25. METRO ATLANTA RTA GA SLS TAXRV RF NPFG JUL01 2023	D	Interest	M	T					
26. RICHMOND CNTY GA BRD ED SALES TAX SCH GTD FEB17 05.000%OCT01 2021	B	Interest	L	T	Buy	06/16/17	L		
27. SALT LAKE CNTY UTAH CROSSOVER RF OCT16 05.000%DEC15 2024	C	Interest	L	T					
28. SANDY SPRINGS GA PUB FACS AUTH REV CITY CTR MAY01 2025	C	Interest	M	T					
29. Tampa FLA Health Sys Rev Baycare Health RF 11/15/2023	B	Interest	L	T					
30. Phoenix AZ Ser A 7/01/21	B	Interest		T	Sold	03/21/17	M	B	
31. Maine Mun Bd Bk Lt Ser C Nov 01/17	C	Interest		T	Sold	06/08/17	M	B	
32. WALTON CNTY GA WTR & SEW AUTH REV SER A RF MAR16 05.000%FEB01 2027	B	Interest	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
33. Washington Cnty Ore. CLEAN WTR SVCS SEW REV A Maty: 10/1/19	D	Interest	M	T					
34. BIF MONEY FUND	A	Interest	L	T					
35. Schwab Money Market Fund cash acc't	A	Interest	M	T					
36. Merrill Lynch Bank cash acc't	A	Interest	M	T					
37. AMERICAN CAPITAL INCOME BUILDER FD CL F2 (CAIFX)	D	Dividend	M	T					
38. AMERICAN BOND FD OF AMERICA CL F2 (ABNFX)	C	Dividend	M	T					
39. AMERICAN FUNDS INCOME FUND OF AMERICA CL F2 (AMEFX)	D	Dividend	M	T					
40. BANK OF AMERICA, NA RASP (BAXX)	A	Interest	J	T					
41. Blackrock Multi Asset INCOME PORTFOLIO INSTL (BIICX)	D	Dividend	M	T					
42. BLACKROCK STRATEGIC INCOME OPPRNTS PTF (BSHIX)	C	Dividend	L	T					
43. DAVIS FINANCIAL FUND CL Y (DVFYX)	A	Dividend		T	Sold	06/26/17	L	E	
44. ISHARES IBOX \$ INVT GRADE CORP BOND (LQD)	C	Dividend	M	T	Buy (add'l)	03/08/17	J		
45.					Buy (add'l)	03/31/17	J		
46.					Buy (add'l)	07/12/17	J		
47.					Buy (add'l)	10/11/17	J		
48. ISHARES CORE HIGH DIVIDEND ETF (HDV)	D	Dividend	M	T	Buy (add'l)	03/31/17	J		
49.					Buy (add'l)	06/26/17	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
50.					Buy (add'l)	10/11/17	J		
51. Ishares Select Divid Index FD (DIVY)	C	Dividend	M	T					
52. ISHARES S&P 100 (OEF)	B	Dividend	M	T					
53. ISHARES TRUST SHS ISHARE EAFE MINIMUM VOL (EFAV)	D	Dividend	N	T	Buy (add'l)	06/26/17	K		
54.					Buy (add'l)	07/12/17	J		
55.					Buy (add'l)	07/17/17	L		
56. LORD ABBETT BOND DEBENTURE FD CL F (LBDFX)	D	Dividend	M	T					
57. JP MORGAN GLOBAL BOND OPPORTUNITIES FUND (GBOSX)	C	Dividend	M	T					
58. JP Morgan Income Builder Fund CL Select (JNBXS)	C	Dividend	M	T					
59. PIMCO INVESTMENT GRADE CORPORATE BOND FD CL P (PBDPX)	C	Dividend	M	T					
60. PIMCO INCOME FUND CL P (PONPX)	D	Dividend	O	T	Buy (add'l)	03/20/17	L		
61.					Buy (add'l)	03/31/17	L		
62. PIMCO FOREIGN BOND FD (USD HEDGED) CL P (PFBPX)	A	Dividend		T	Sold	03/20/17	L	A	
63. VANGUARD VALUE ETF (VTI)	D	Dividend	N	T					
64. Thornburg Limited Term Municipal Fund CL I (LTMIX)	B	Dividend	L	T					
65. Blackrock Eqty Dividend Fund Instl (MADVX)	A	Dividend		T	Sold	07/17/17	M	E	
66. AMERICAN CENTURY MID CAP VALUE FD INV CL (ACMVX)	C	Dividend	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

3. Value Method Codes:
(See Column C2)

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
67. American Century Inflation ADJ BD INV (ACITX)	A	Dividend	K	T					
68. AMERICAN CENTURY HIGH YIELD MUNI INV (ABHYX)	A	Dividend	K	T	Buy	12/08/17	K		
69. BAIRD AGGREGATE BOND INST (BAGIX)	D	Dividend	M	T	Buy (add'l)	02/17/17	M		
70. BAIRD CORE PLUS BD INST (BCOIX)	C	Dividend	M	T	Buy	02/17/17	L		
71.					Buy (add'l)	11/02/17	K		
72. BARON EMRG MKTS FD RETAIL (BEXFX)	A	Dividend	K	T					
73. BARON SMALL CAP FUND (BSCFX)	D	Dividend	L	T					
74. BROWN ADVISORY GROWTH EQUITY INST (BIAGX)	D	Dividend	M	T					
75. COLUMBIA CONTRARIAN CORE FD CL Z (SMGSZ)	C	Dividend	L	T					
76. FEDERATED SHORT INTERMEDIATE DURATION MUNI TR INST (FSHIX)	B	Dividend	M	T	Buy (add'l)	11/02/17	K		
77. FMI INTL FD (FMIJX)	B	Dividend	L	T					
78. FEDERATED TOTAL RETURN BOND FD INST CL (FTRBX)	C	Dividend	M	T	Buy (add'l)	02/17/17	L		
79. FIDELITY CONTRA FUND (FCNTX)	D	Dividend	M	T					
80. FRANKLIN GROWTH FUND ADV CL (PCGAX)	C	Dividend	M	T					
81. Franklin Rising Dividends FD (FRDAX)	C	Dividend	L	T					
82. GUGGENHEIM BULTSH 2020 CORP BOND ETF (BSCK)	A	Dividend	J	T					
83. HARBOR INTERNATIONAL FUND INST CL (HAINX)	C	Dividend	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
Q = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

R = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
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R = Cost (Real Estate Only)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
84. ISHARES TRUST JP MORGAN USD EMERGING MARKETS ETF (EMB)	B	Dividend	J	T	Buy (add'l)	07/12/17	J		
85.					Sold (part)	11/02/17	J	A	
86.					Sold (part)	11/30/17	J	A	
87. ISHARES 20+ TREASURY BOND ETF (TLT)	A	Dividend	J	T					
88. ISHARES COMMODITIES SELECT STRATEGY ETF (COMT)	A	Dividend	J	T	Buy	04/05/17	J		
89.					Buy (add'l)	10/15/17	J		
90. ISHARES CURRENCY HEDGED MSCI GERMANY ETF (HEWG)	A	Dividend	J	T	Buy	04/05/17	J		
91.					Buy (add'l)	05/03/17	J		
92.					Buy (add'l)	07/12/17	J		
93.					Sold (part)	09/07/17	J	A	
94. ISHARES MSCI JAPAN ETF NEW (EWJ)	A	Dividend	J	T	Buy	11/02/17	J		
95. ISHARES MSCI UTD KINGDOM ETF NEW (EWU)	A	Dividend		T	Buy	01/12/17	J		
96.					Sold	05/03/17	J	A	
97. ISHARES MSCI EAFE SMALL CAP ETF (SCZ)	C	Dividend	M	T					
98. ISHARES S&P U S PFD FUND S&P U S PFD STK INDEX FD (PFF)	B	Dividend	K	T					
99. ISHARES Core Total U.S. Bond Mkt ETF(AGG)	B	Dividend	L	T					
100. ISHARES TR BOND 1-3 YEAR CREDIT BOND ETF (CSJ)	A	Dividend	L	T					

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(See Columns B1 and D4)

A = \$1,000 or less
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C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-F)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
101. ISHARES GOLD TRUST (IAU)	A	Dividend	J	T	Sold (part)	05/03/17	J	A	
102.					Buy (add'l)	07/12/17	J		
103.					Sold (part)	10/05/17	J	A	
104. ISHARES MSCI HONG KONG INDEX FUND (EWH)	A	Dividend		T	Sold	01/12/17	J	A	
105. ISHARES TR BARCLAYS 7-10 YR TREAS.BOND FD (IEF)	A	Dividend	J	T	Buy (add'l)	07/12/17	J		
106.					Sold (part)	09/07/17	K	A	
107.					Buy (add'l)	11/30/17	J		
108. ISHARES (TR BARCLAYS) TIPS BOND FUND (TIP)	A	Dividend	K	T	Buy (add'l)	06/06/17	J		
109.					Buy (add'l)	07/12/17	J		
110.					Sold (part)	10/05/17	J	A	
111. ISHARES IBOXX HIGH YIELDBOND ETF (HYG)	A	Dividend	J	T	Sold (part)	02/07/17	K	A	
112.					Sold (part)	04/05/17	J	A	
113.					Sold (part)	06/06/17	J	A	
114. ISHARES CORE MSCI EAFE ETF (IEFA)	A	Dividend	K	T					
115. ISHARES CORE MSCI EMERGING ETF (IEMG)	B	Dividend	L	T	Buy (add'l)	07/17/17	L		
116. ISHARES TR RUSSELL 2000 RUSSELL 2000 INDEX FUND (IWM)	A	Dividend	J	T	Sold (part)	04/05/17	K	C	
117.					Sold (part)	06/06/17	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$51,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
118. LORD ABBETT SHORT DUR INCOME FD CL A (LALDX)	C	Dividend	M	T	Sold (part)	11/02/17	J	A	
119. METROPOLITAN WEST TOTAL RETURN BOND I (MWTIX)	C	Dividend	M	T	Buy	02/17/17	M		
120. METROPOLITAN WEST TOTAL RETURN BOND M (MWTRX)	A	Dividend		T	Sold	02/17/17	L	A	
121. OAKMARK INT'L FUND (OAKIX)	A	Dividend		T	Sold	02/06/17	M		
122. OAKMARK EQUITY & INCOME FD ADV (OAYBX)	D	Dividend	M	T	Buy	07/20/17	M		
123. OAKMARK INTL FD ADV (OAYIX)	C	Dividend	M	T	Buy	02/16/17	M		
124. OPPENHEIMER INTL SMALL COMPANY FD CL A (OSMAX)	A	Dividend	K	T					
125. OPPENHEIMER DEVELOPING MKTS FD CL Y (ODVYX)	A	Dividend	L	T					
126. PARNASSUS CORE EQTY FD INST (PRILX)	D	Dividend	M	T	Buy	10/19/17	M		
127. PIMCO ENHANCED SHORT MATURITY ACTIVE ETF (MINT)	A	Dividend	J	T	Buy (add'l)	02/07/17	J		
128.					Buy (add'l)	05/03/17	J		
129.					Sold (part)	06/06/17	J	A	
130.					Buy (add'l)	07/12/17	J		
131.					Sold (part)	11/02/17	J	A	
132. PIMCO FOREIGN BOND FUND CLASS D (PFODX)	A	Dividend	K	T					
133. POWERSHARES VARIABLE RATE PRF ETF (VRP)	A	Dividend	J	T	Buy	06/06/17	J		
134. POWERSHARES S&P ETF 500 LOW VOLATILITY (SPLV)	D	Dividend	N	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
135. POWERSHS QQQ TRUST SER I (QQQ)	A	Dividend	K	T	Buy (add'l)	04/05/17	J		
136.					Buy (add'l)	07/12/17	J		
137.					Sold (part)	11/02/17	J	C	
138. T ROWE PRICE TAX FREE SHORT INTERM INV (PRFSX)	A	Dividend	K	T	Buy	12/08/17	K		
139. SCHWAB FUNDAMENTAL INTL LARGE COMPANY ETF (FNDF)	A	Dividend	K	T					
140. SCHWAB FUNDAMENTAL INTL SMALL COMPANY ETF (FNDC)	A	Dividend	K	T	Buy (add'l)	12/08/17	J		
141. SCHWAB US DIVIDEND EQUITY ETF (SCHD)	A	Dividend	J	T					
142. SCHWAB US LARGE CAP GROWTH ETF (SCHG)	A	Dividend	J	T					
143. SENTINEL SMALL COMPANY I (SIGWX)	C	Dividend		T	Sold	11/02/17	K	A	
144. SELECT SECTOR HEALTH CARE SPDR ETF (XLV)	A	Dividend	J	T	Buy	11/02/17	J		
145. SPDR BLOOMBERG BARCLAYS SHORT TERM HIGH ETF (SJNK)	B	Dividend	K	T					
146. SPDR GOLD SHARES ETF (GLD)	A	Dividend	K	T	Buy	07/12/17	K		
147. S P D R S&P 500 ETF (SPY)	A	Dividend	K	T					
148. SPDR S&P Dividend ETF (SDY)	C	Dividend	L	T					
149. Parnassus Core Equity Income Fund Investor SH (PRBLX)	A	Dividend		T	Sold	10/19/17	M		
150. Schwab S&P 500 Index FD (SWPPX)	C	Dividend	M	T					
151. TCW TOTAL RETURN BOND FUND I CLASS (TGLMX)	C	Dividend	L	T	Sold (part)	11/02/17	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$51,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
152. USAA TAX EXEMPT INTERM TERM FUND (USATX)	C	Dividend	M	T					
153. Vanguard Growth ETF (VUG)	D	Dividend	O	T					
154. VANGUARD EQUITY INCOME FD ADMIRAL SHS (VEIRX)	A	Dividend	K	T					
155. VANGUARD INTERMEDIATE TERM CORE ETF (VCIT)	A	Dividend	L	T	Buy (add'l)	07/12/17	J		
156.					Buy (add'l)	08/03/17	J		
157.					Buy (add'l)	09/07/17	K		
158. VANGUARD INTL EQTY ETF GLOBAL EX-US REAL ESTATE (VNQI)	B	Dividend	L	T	Sold (part)	01/02/17	J	A	
159. VANGUARD REIT (VNQ)	C	Dividend	L	T					
160. VANGUARD SMALL CAP VALUE (VBR)	A	Dividend	K	T					
161. VANGUARD WHITEHALL FUNDS HIGH DIVIDEND YIELD (VYM)	B	Dividend	L	T					
162. VANGUARD BOND INDEX FUND TOTAL BOND MARKET ETF (BND)	A	Dividend		T	Sold (part)	05/03/17	J	A	
163.					Sold	06/07/17	J	A	
164. VANGUARD DIVIDEND APPRECIATION ETF (VIG)	A	Dividend	K	T	Sold (part)	01/12/17	J	A	
165.					Sold (part)	03/08/17	J	A	
166.					Buy (add'l)	07/12/17	J		
167. VANGUARD FTSE Developed Markets ETF (VEA)	B	Dividend	L	T	Buy (add'l)	01/12/17	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
168.					Buy (add'l)	02/07/17	J		
169.					Buy (add'l)	05/03/17	J		
170.					Buy (add'l)	06/06/17	J		
171.					Buy (add'l)	07/12/17	J		
172.					Sold (part)	10/05/17	J	A	
173.					Buy (add'l)	11/02/17	K		
174. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	B	Dividend	L	T	Buy (add'l)	07/12/17	J		
175.					Buy (add'l)	10/05/17	J		
176.					Buy (add'l)	11/30/17	J		
177. VANGUARD FTSE EUROPE ETF (VGK)	A	Dividend	J	T	Buy	06/06/17	K		
178.					Buy (add'l)	07/12/17	J		
179.					Sold (part)	08/03/17	J	A	
180. VANGUARD TOTAL STOCK MKT ETF (VTI)	A	Dividend	K	T	Buy (add'l)	02/07/17	J		
181.					Sold (part)	06/06/17	J	B	
182.					Buy (add'l)	07/12/17	J		
183.					Sold (part)	08/03/17	J	C	
184.					Buy (add'l)	10/05/17	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C7)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

HH = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

II2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
185.					Sold (part)	11/02/17	J	C	
186. VANGUARD RUSSELL 2000 ETF IV (VTWO)	A	Dividend	L	T					
187. WESTERN ASSET CORE PLUS BD FD I (WACPX)	C	Dividend	M	T	Sold (part)	11/02/17	K	A	
188. WISDOMTREE DIVIDEND EX FINANCIALS FUND (DTN)	B	Dividend	K	T					
189. ABBVIE INC 2%18 DUE 11/06/18	A	Interest	K	T					
190. AMAZON COM INC 2.6%19 DUE 12/05/19	A	Interest	K	T					
191. GOLDMAN SACHS BK US 2%20 CD DUE 4/22/20	A	Interest	K	T					
192. SIMON PTY GRP 4.125%21 DUE 12/01/21	B	Interest	K	T					
193. STATE BK INDIA CD DUE 06/22/17	A	Interest		T	Matured	06/22/17	L	A	
194. CHARLESTON CO SC EDL EXCE 5%23REV DUE 12/01/23	B	Interest	K	T					
195. DALLAS FORT WORTH REV DUE 11/01/22	A	Interest	K	T					
196. FORSYTH CNTY GA SD 5%20GO UTX DUE 02/01/20	B	Interest	K	T					
197. GEORGIA ST 5%20GO LTX DUE 09/01/20	B	Interest	K	T					
198. HAWAII, STATE OF 5%28 VP DUE 05/01/28	A	Interest	K	T	Buy	07/07/17	K		
199. HOUSTON TEX INDPT 5%23GO LTX DUE 02/15/23	B	Interest	K	T					
200. IN FIN AUTH HLTH SY 5%27 HOSP HLTH DUE 11/01/27 XTRO	A	Interest	L	T	Buy	12/19/17	L		
201. JEA FLA Wtr & Swr 5% 21 REV DUE 10/01/21	A	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
202. KY ST TPK AUTH 5%26 ROAD TRAN DUE 07/01/26	A	Interest	L	T	Buy	08/02/17	L		
203. LOS ANGELES CALIF GO U/LTX DUE 07/01/17	A	Interest		T	Matured	07/03/17	K	A	
204. MA ST WTR POLL ABAT 5%25AUTH POOL DUE 08/01/25	B	Interest	K	T					
205. MET T AUTH NY REV 5%26 TRAN COMB DUE 11/15/26	B	Interest	K	T					
206. Minnesota PUB FACS 5% 20 REV DUE 03/01/20	A	Interest	K	T					
207. Minnesota ST 4% 18GO UTX DUE 08/01/18	A	Interest	K	T					
208. NC ST LTD OBG REV 5% 5/1/2025	B	Interest	L	T					
209. New Jersey Turnpike NJ REV 5% 1/1/25	B	Interest	K	T					
210. NEW YORK N Y CITY 5%17REV DUE 06/15/17	A	Interest		T	Matured	06/15/17	K	A	
211. PENNSYLVANIA ST 5%20GO UTX DUE 07/01/20	B	Interest	K	T					
212. PORT SEATTLE WA 5%28 PA TRAN DUE 05/01/28	A	Interest	K	T	Buy	07/27/17	K		
213. SAN FRANCISCO CALIF GO U/LTX SCH IMPT DUE 06/15/24	A	Interest	K	T					
214. Texas ST 5% 19GO DUE 10/01/19	A	Interest	K	T					
215. Univ Cincinnati OH REV 5% 6/1/23	B	Interest	L	T					
216. VIRGINIA COLLEGE BL 5%26 FAC EDUC DUE 02/01/26	A	Interest	L	T	Buy	12/13/17	L		
217. VIRGINIA ST PUB SCH 5%17GO DUE 08/01/17	A	Interest		T	Matured	08/01/17	K	A	
218. WASHINGTON ST FOR 5%19GO UTX DUE 07/01/19 (see Part VIII)	A	Interest	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 17 of 22

Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
219. WISCONSIN ST TRANSN 5%24REV DUE 07/01/24	B	Interest	K	T					
220. SCH MUNI MONEY FUND SWEEP SHARES (SWXXX)	A	Dividend	K	T					
221. King & Spalding LLP Cash Balance Plan	A	Dividend		T	Closed	01/26/17	K	A	
222. Allianz GI FIXED INCOME SHARES SERIES C (FXICX)	A	Dividend		T	Sold	02/06/17	L	A	
223. Allianz GI FIXED INCOME SHARES SERIES M (FXIMX)	A	Dividend		T	Sold	02/06/17	M	A	
224. FHLMC Q02173 4.5% 41 DUE 07/01/41	A	Interest		T	Sold	02/07/17	J	A	
225. FHLMC G07961 3.5%45 DUE 03/01/45	A	Interest		T	Sold	02/07/17	J	A	
226. FHLMC G08623 3.5%45 DUE 01/01/45	A	Interest		T	Sold	02/07/17	J	A	
227. FHLMC G08659 3.5%45 DUE 08/01/45	A	Interest		T	Sold	02/07/17	J	A	
228. FHLMC G08669 4%45 DUE 09/01/45	A	Interest		T	Sold	02/07/17	J	A	
229. FHLMC C09071 4%45 DUE 02/01/45	A	Interest		T	Sold	02/07/17	J	A	
230. FHLMC V81760 4%45 DUE 05/01/45	A	Interest		T	Sold	02/07/17	J	A	
231. FHLMC G08682 4%45 DUE 12/01/45	A	Interest		T	Sold	02/07/17	J	A	
232. FHLMC G08726 3%46 DUE 10/01/46	A	Interest		T	Sold	02/07/17	J	A	
233. FHLMC Q25332 4.5%44 DUE 03/01/44	A	Interest		T	Sold	02/07/17	J	A	
234. FANNIE MAE 1.875%26 DUE 09/24/26	A	Interest		T	Sold	02/07/17	J	A	
235. FANNIE MAE 2.625%24 DUE 09/06/24	A	Interest		T	Sold	02/07/17	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 18 of 22

Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rem, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
236. FNMA PL 190404 4.5% 40 DUE 05/01/40	A	Interest		T	Sold	02/07/17	J	A	
237. FNMA PL AH6231 4.5% 41 Due 3/01/41	A	Interest		T	Sold	02/07/17	J	A	
238. FNMA PL AV4892 4.5% 43 Due 12/01/43	A	Interest		T	Sold	02/07/17	J	A	
239. FNMA PL AV9316 4.5% 44 Due 3/01/44	A	Interest		T	Sold	02/07/17	J	A	
240. FNMA PL 310104 5.5%37 DUE 08/01/37	A	Interest		T	Sold	02/07/17	J	A	
241. FNMA PL 310151 5.5%34 DUE 11/01/34	A	Interest		T	Sold	02/07/17	J	A	
242. FNMA PL AL5213 4.5%44 DUE 04/01/44	A	Interest		T	Sold	02/07/17	J	A	
243. FNMA PL AL6745 4%44 DUE 08/01/44	A	Interest		T	Sold	02/07/17	J	A	
244. FNMA PL AS0907 3.5%28 DUE 11/01/28	A	Interest		T	Sold	02/07/17	J	A	
245. FNMA PL AS4168 4%44 DUE 12/01/44	A	Interest		T	Sold	02/07/17	J	A	
246. FNMA PL AS4783 3.5%45 DUE 04/01/45	A	Interest		T	Sold	02/07/17	J	A	
247. FNMA PL AS5629 3.5%45 DUE 08/01/45	A	Interest		T	Sold	02/07/17	J	A	
248. FNMA PL AS6408 3.5%46 DUE 1/01/46	A	Interest		T	Buy	01/11/17	J		
249.					Sold	02/07/17	J	A	
250. FNMA PL AS6520 3.5%46 DUE 01/01/46	A	Interest		T	Sold	02/07/17	J	A	
251. FNMA PL AV6368 3.5%29 DUE 01/01/29	A	Interest		T	Sold	02/07/17	J	A	
252. FNMA PL AW3616 4%44 DUE 06/01/44	A	Interest		T	Sold	02/07/17	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes:

(See Columns C1 and D3)

3. Value Method Codes:

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$300,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 19 of 22

Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
253. FNMA PL AW8992 4.5%44 DUE 07/01/44	A	Interest		T	Sold	02/07/17	J	A	
254. FNMA PL AX3008 4%44 DUE 10/01/44	A	Interest		T	Sold	02/07/17	J	A	
255. FNMA PL AX3195 4%44 DUE 09/01/44	A	Interest		T	Sold	02/07/17	J	A	
256. FNMA PL AX7296 4.5%44 DUE 12/01/44	A	Interest		T	Sold	02/07/17	J	A	
257. FNMA PL AX7732 3.5%45 DUE 03/01/45	A	Interest		T	Sold	02/07/17	J	A	
258. FNMA PL AB3387 4%41 DUE 08/01/41	A	Interest		T	Sold	02/07/17	J	A	
259. FNMA PL BA2889 4%45 DUE 11/01/45	A	Interest		T	Buy	01/11/17	J		
260.					Sold	02/07/17	J	A	
261. US TREASURY 2%11/21 BOND DUE 11/15/21	A	Interest		T	Sold	02/07/17	K	A	
262. US TREASURY 3.125%08/44 BOND DUE 08/15/44	A	Interest		T	Sold	02/07/17	J	A	
263. UST INFL IDX 2.5% 01/29 DUE 01/15/29	A	Interest		T	Sold	01/26/17	J	A	
264. US TREASURY 2.875% 11/46 BOND DUE 11/15/46	A	Interest		T	Buy	01/30/17	J		
265.					Sold	02/07/17	J	A	
266. Trust #2									
267. - Wells Fargo cash acc't	A	Interest	K	T					
268. - Cornerstone Bank cash acc't	B	Interest	M	T					
269. - Coca-Cola common stock	B	Dividend	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$300,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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FINANCIAL DISCLOSURE REPORT

Page 20 of 22

Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
270. - Fidelity Bank cash acc't	B	Interest	N	T					
271. - SunTrust Bank cash acc't	A	Interest	M	T					
272. Estate #1 (X)									
273. - Associated Credit Union cash acct	A	Interest		T	Closed	11/14/17	K	A	
274. - Atlanta Postal Credit Union cash acct	A	Interest		T	Closed	12/26/17	J	A	
275. - Wells Fargo cash accts	A	Interest	M	T					
276. - MetLife, Inc. common stock	A	Dividend	J	T					
277. - Brighthouse Financial, Inc. common stock	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 21 of 22

Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of reports.)*

- 1) Part VII, line 218: Line 194 of the 2016 Report incorrectly indicated that this bond had been redeemed.
- 2) Part VII, line 272: Filer was appointed executor on 10/19/17.

FINANCIAL DISCLOSURE REPORT

Page 22 of 22

Name of Person Reporting

Carnes, Julie E.

Date of Report

5/8/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Julie E. Carnes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544