

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1972
(5 U.S.C. App. 111)

1. Person Reporting (Last name, first, and middle initial) Arguello, Francisco M.	2. Contact Organization Contreras, Colorado	3. Date of Report 02/15/2014
4. Title (check all judges indicate active or senior status; non-judge judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Newsmagazine ☐ Date ☐ Other ☒ Annual ☐ Other 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 12/31/2013
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th St., A638 Denver, CO 80294	8. On the basis of the information furnished in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, including the "Other" box for each part where you have an reportable organization. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (no reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Board Member	League for Land Inclusion Issues - Volunteer
2. Chair	Arguello Dream Team - Volunteer
3.	

II. AGREEMENTS. (Reporting individual only; see pp. 13-16 of filing instructions.)

☒ NONE (no reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Name of Person Reporting

Angela C. Brumby MA

Date of Report

6/22/2013

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
2013	Boulder Valley School District	
1	Salary	
3		

B. Spouse's Non-Investment Income (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

(Include amounts not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1 2013	Boulder Valley School District - Salary	
2 2013	Boulder Valley School District - Salary	
3		
4		

IV. REIMBURSEMENTS (Spouse, education, lodging, and other amounts.)

(Include those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

DATE	DATE	LOCATION	DATE	DATE
1 Colorado Women's Inst	5/1/2013 - 5/19/2013	Wald, CO	Education	Lodging
2 Migration Education Program	6/19/2013 - 6/20/2013	Glenwood Springs, CO	Presentations on Education to Parents and Students	Lodging, mileage
3 Board of Education	11/25/2013	Glenwood Springs, CO	Education	Lodging, mileage

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Name of Filer or Reporting

Arguella, Christine M.

Date of Filing

05/12/2014

V. GIFTS. (Includes those to spouse and dependent children; see pp. 20-21 of filing instructions.)☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. University of Colorado	Reduced price for two Club Seat Football Tickets	\$2,900.00

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. AES Student Loan	Co-signor on student loans	L
2. SWBC Mortgage	Rental Property #2	M
3.		

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Name of donor or preparer

Arguello, Christine M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Description of Assets (including trust assets) Place "NO" after each asset exempt from prior disclosure	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	(1) Annual Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Inco. Bond Int. Trust (WFO)		None			Sale	01/09/13	J	A	Buy and sell
2. Inco. Bond Property (WFO)		None							
3. Chase Bank Accounts	A	Interest	J	T					
4. Wells Fargo Bank Accounts	A	Interest	R	T					
5. First Bank	A	Interest		T					
6. MetLife Insurance Policy	A	Dividend	J	T					
7. CRIZX		None	J	T	Sold (part)	01/09/13	J	A	
8. CIRZX		None			Sold	10/24/13	J	A	
9. DBLTX		None	J	T					
10. DGAGX		None	J	T					
11. EPIBX		None	J	T					
12. GHYYX		None	J	T					
13. HAINX		None	J	T	Sold (part)	05/22/13	J	A	
14. HGOIX		None	J	T	Sold (part)	07/09/13	J	A	
15. JCVAX		None	J	T	Buy (add'l)	01/09/13			
16. LMNOX		None	J	T	Buy	10/24/13	J		
17. LSHX		None	J	T					

1. Income Gain Codes	A = \$1,000 or less (See Columns D1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$15,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000	
2. Value Codes	L = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 N = \$50,001 - \$100,000 O = \$100,001 - \$250,000 P = \$250,001 - \$500,000 Q = \$500,001 - \$1,000,000 R = \$1,000,001 - \$5,000,000 S = \$5,000,001 - \$25,000,000 T = More than \$25,000,000	M = \$50,001 - \$100,000 U = \$100,001 - \$250,000 V = \$250,001 - \$500,000 W = \$500,001 - \$1,000,000 X = \$1,000,001 - \$5,000,000 Y = \$5,000,001 - \$25,000,000 Z = More than \$25,000,000	F = \$15,001 - \$50,000 G = \$50,001 - \$100,000 H = \$100,001 - \$250,000 I = \$250,001 - \$500,000 J = \$500,001 - \$1,000,000 K = \$1,000,001 - \$5,000,000 L = \$5,000,001 - \$25,000,000 M = More than \$25,000,000	N = \$15,001 - \$50,000 O = \$50,001 - \$100,000 P = \$100,001 - \$250,000 Q = \$250,001 - \$500,000 R = \$500,001 - \$1,000,000 S = \$1,000,001 - \$5,000,000 T = \$5,000,001 - \$25,000,000 U = More than \$25,000,000	V = \$15,001 - \$50,000 W = \$50,001 - \$100,000 X = \$100,001 - \$250,000 Y = \$250,001 - \$500,000 Z = \$500,001 - \$1,000,000 AA = \$1,000,001 - \$5,000,000 AB = \$5,000,001 - \$25,000,000 AC = More than \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C3)	R = Cost (Real Estate Only) S = Assessed T = Book Value	U = Assessment V = Estimated W = Liquidation	T = Cash Market		

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Name of Person Reporting

Argueta, Christine M

Date of Report

05/13/2014

VII. INVESTMENTS and TRUSTS (Income, if the person has, includes that of spouse and dependent children; see pp. 12-16 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Investment Assets (including trust assets)	B. Investment during reporting period		C. Gains, losses or cost of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Place "CV" under each asset except that prior to 1998:	Annular code 1 (A-H)	Value beginning (J-P)	Value ending Code 3 (Q-W)	Type of buy, sell, redemption)	Date entry/exit	Value beginning (J-P)	Value ending (A-H)	Identify if leveraged (if private transaction)

18. MHYIX		None	J	T					
19. NGIPX		None	J	T	Buy (add'l)	06/26/13	J		
20. NGIPX		None	J	T	Buy (add'l)	12/16/13	J		
21. ODVYX		None			Sold	06/21/13	J	A	
22. OSTIX		None	J	T	Buy	10/25/13	J		
23. PARSX		None	J	T	Sold (part)	01/09/13	J	A	
24. YACKX		None	J	T	Buy	05/22/13	J		
25. OIFIX		None	J	T	Buy (add'l)	01/22/13	J		
26. OIIEIX		None	J	T	Buy (add'l)	01/22/13	J		
27. OILGX		None	J	T	Sold (part)	01/22/13	J	A	
28. OILVX		None	J	T	Sold (part)	01/22/13	J	A	
29. OISGX		None	J	T	Sold (part)	01/22/13	J	A	
30. OISVX		None	J	T	Sold (part)	01/22/13	J	A	
31. Jackson Natl Life Ins. Co Perspective II 05/05		None	K	T					
32. Metlife Invs Ins Prods L Share Variable Annuity		None	J	T					
33. Metlife Inves Ins Prods Variable Annuity Class AA		None	K	T					
34. Nationwide Life Ins Co Destination B		None	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Arguello, Christine M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Jackson Natl Life Ins. Co Perspective II 05/05		None	J	T					
36. ECL	A	Dividend	J	T					
37. JP Morgan US GOV MMF*		None	K	T					
38. PIP		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2 - property purchased on 4/8/2011 for \$260,000

Part VII - My [REDACTED] retired in 2013 and is now vested in his Public Employee Retirement Pension [previously reported in Part VII as PERA]. Because I am now reporting this as non-investment income in Part IIIB, I have deleted it from Part VII.

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Name of Person Reporting

Arguello, Christine M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christine M. Arguello**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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