

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hedfield J. Baum	2. Court or Organization U.S. Bankruptcy Ct	3. Date of Report 7/3/13
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) (re-called) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/12 to 12/31/12
7. Chambers or Office Address 230 N. First Ave Phoenix AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. see attached	
2.	
3.	
4.	
5.	

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FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. see attached				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1 see attached									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									

1 Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2 Value Codes
(See Columns C1 and D3)

I = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3 Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessments
W = Estimated

T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

n/A

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Redfield T. Baum

December 31, 2012

I. POSITIONS

Trustee Trust #2

Director Arizona State University College of Law
Alumni Association

III. NON-INVESTMENT INCOME

None

IV. REIMBURSEMENT

ABI Las Vegas, Nev., Sept. 6-9, Southwest Regional
Bankruptcy Program-(Meals, hotel and
transportation)

NCBJ Miami, Fla. April 2-4, mid-year Board meeting
(meals, hotel and transportation)

San Diego Ca., Oct.22-25; annual conference
(meals, hotel and transportation)

[NCBJ-National Conference of Bankruptcy Judges; ABI-American
Bankruptcy Institute]

V. GIFTS

None

VII. INVESTMENTS AND TRUSTS--income, value, transactions.

A	B.	C.	D.
Common Stock	amt	type	val. mthd
Amer. Tel & Telg.	D	div	N T
Bank of New York	B	div	L T
Berkshire Hathaway	0		K T
Bristol Meyers Squibb	B	div	L T
Comerica	A	div	K T
Conoco Phillips	B	div	K T
Cognizant	0		J T
Comcast Corp. A	A	div	J T
Citigroup	A	div	J T

Insured Bank Program	A	div	K	T
Dun Bradstreet	A	div	J	T
Eastman Chemical Co	A	div	J	T
Inder Morgan	A	div	J	T[merger El Paso E.]
Express Scripts Holdings	A	Div	J	T[merger Medco]
Exxon Mobil	D	div	O	T
Frontier Comm	A	div	J	T
Gap	A	div	J	T
Gartner Group	O		J	T
General Electric	E	div	O	T
Hewlett Packard	A	div	J	T
Hospira	A	div	J	T
Johnson & Johnson	C	div	L	T
LSI	O		J	T
Merck	B	div	K	T
Moodys(S)	A	div	J	T
Pfizer	B	div	K	T
Phillips 66	A	div	J	T[spin off Conoco]
CenturyLink	A	div	J	T
Royal Dutch	D	div	M	T
Schlumberger	A	div	L	T
Sempra Energy(S)	A	div	J	T
S.W. Gas	A	div	J	T
Southwest Airlines(S)	A	div	J	T
Three M	A	div	J	T
Travelers	A	div	J	T
Verigy Ltd	O		J	T
Verizon	C	div	L	T
Vodafone Group	B	div	K	T
Windstream	A	div	J	T
Zimmer Holdings	A	div	J.	T
Zweig Total Return	A	div	J	T

Bank Accounts(Checking)

JPMorgan Chase Bank	A	int	J	T
Phoenix, Az. [name change same account]				

Individual Retirement Accounts

Stifel Nicklaus

Insured Bank Program	A	div	L	T
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(IRA common stock):

ATT	A	div	J	T
Abbott Lab	A	div	J	T
Alcatellucent	0		J	T
Becton Dickinson	A	div	J	T
Bemis Co	A	div	J	T
Berkshire Hathaway	0		M	T
Bristol Meyers Squibb	A	div	J	T
Coke	A	div	J	T
Emerson Electric	A	div	J	T
Express Scripts Hold.	A	Div	J	T[merger Medco]
Diebold	A	div	K	T
Hewlett Packard	A	div	K	T
Intel	A	div	J	T
J.W.Smucker	A	div	J	T
Johnson & Johnson	A	div	J	T
Merck	A	div	J	T
Medco	0		J	T
Proctor & Gamble	A	div	K	T
Pfizer	A	div	J	T
Sanofi Aventis	A	div	J	T
UPS	A	div	J	T

(IRA Mutual Fund) :

American Cap Fund	A	div	K	T
American Mutual Fund	A	div	K	T
CapitalWorldFund	A	div	K	T
EuroPacificFund	A	div	K	T
GrowthFund	A	div	K	T
Invest.Co.AmericanFund	A	div	K	T
NewPerspect.Fund	A	div	K	T
SmallCapWorldFund	A	div	K	T
Wash.MutualInvest.Fund	A	div	K	T

Assets trusts:

Trust #2

Comerica stock	C	div	m	T
Stifel Nicklaus[Insured Bank Program}	A	div	J	T

Transactions

A. Stocks Sold [all partial sales]

	date	value	gain
Coamerica	3/2/12	J	A
ConocoPhillips	3/2/12	J	A
El Paso Corp	5/31/12	J	A
Kinder Morgan	5/31/12	J	A
Moodys	3/12/12	J	A