

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Foote, Elizabeth E.	2. Court or Organization U.S. District Court Western District of Louisiana	3. Date of Report 11/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 300 Fannin Street Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	-law office building partnership
2. Board of Trustees Member	Louisiana State University/Paul M. Hebert Law Center
3. Power of Attorney	POA #1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/01/10	Termination of Partnership Agreement-
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 08/01/2016	LSU - teaching course	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Louisiana State Employees Retirement System- retired judge
2. 2016	[REDACTED], share of partnership profits
3. 2016	[REDACTED]
4. 2016	LSU - teaching stipened

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express	credit card	J
2. US Treasury & LA Dept of Revenue	income tax liability- ESTIMATED	J
3. Mastercard	credit card	J
4. Discover Card	credit card	J
5. Dan Boyd	guarantee on debt of HD Foote LLC	L

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Wells Fargo- Roth IRA (H)									
2. ----- Templeton World Fund	C	Int./Div.	L	T					
3. ----- Washington Mutual Investment Fund	A	Int./Div.	K	T					
4. Red River Bank Checking	A	Interest	K	T					
5. Red River Bank Checking #2	A	Interest	J	T					
6. Capital One Savings #1	C	Interest	O	T					
7. American Funds 529 Plan Account (FBO David)									
8. -----American Funds Money Market		None	J	T					
9. -----American Balanced Fund	C	Dividend	L	T					
10. Wells Fargo Roth IRA (H)									
11. -----John Hancock Regional Bank	A	Int./Div.	L	T					
12. -----Templeton World Fund	C	Int./Div.	L	T					
13. -----Washington Mutual Invs Fund	A	Int./Div.	K	T					
14. Chase Investment (H)									
15. -----JP Morgan US Treas Plus MMKT	A	Dividend	K	T					
16. -----JPMorgan Chase & Co Common	B	Dividend	L	T					
17. -----AllianceBer Global Thematic Grth	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -----JPMorgan Intrepid Mid Cap	A	Dividend	J	T					
19. -----JPMorgan Large Cap Grth		None	K	T					
20. Capital One Savings #2	C	Interest	O	T					
21. Fidelity & Guaranty Life "Indexed Rewards" - Fixed Rate Annuity	E	Int./Div.	N	T					
22. Chase Investment-Invesco Global Small & Mid		None	J	T					
23. Yuma Exploration-Royalty Interest- [REDACTED], Louisiana	B	Royalty	K	W					
24. Timber land-[REDACTED], Louisiana		None	N	W					
25. 1/2 interest land-[REDACTED], Louisiana		None	J	W					
26. 1/2 interest land - [REDACTED], Louisiana		None	J	W					
27. 1/6 interest [REDACTED] Company		None	K	W					
28. 1/3 interest [REDACTED] Company (power of attorney)		None	K	W					
29. Metropolitan Life Insurance Company - variable policy									
30. ----MetLife Stck Index Port	A	Int./Div.	K	T					
31. ----Barclays Ag Bd Idx Port	A	Int./Div.	J	T					
32. ----MSCI EAFE Index	A	Int./Div.	J	T					
33. ----Russell2000 Index Port	A	Int./Div.	J	T					
34. Red River Bank- Checking #3	A	Interest	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35. Vanguard-Prime Money Mkt Fund	A	Int./Div.	J	T					
36. John Hancock-Law Firm 401K, Lifestyle Balanced Fund	B	Int./Div.	N	T					
37. Wells Fargo- Roth IRA line 1: Invesco Amer. Franchise		None	L	T					
38. American Funds, Uniform Gift to Minor- Capital World Growth	A	Int./Div.	J	T					
39. American Funds, Uniform Gift to Minor- Wash Mutual Invs	A	Int./Div.	J	T					
40. Red River Bank- Checking #4	B	Int./Div.	K	T					
41. FTJ Fundchoice IRA - iShares North Amer Tech-Software ETF	A	Dividend	K	T	Buy (add'l)	11/22/16	J		
42. FTJ Fundchoice IRA-SPDR Technology Select Sector	B	Dividend	L	T	Buy (add'l)	11/22/16	J		
43. FTJ Fundchoice IRA-iShares Dow Jones US Broker Dealers	A	Dividend			Buy (add'l)	01/22/16	J		
44.					Sold (part)	02/25/16	J	A	
45.					Sold	04/15/16	J	A	
46. FTJ Fundchoice IRA-SPDR Financial Select Sector	B	Dividend	L	T	Buy (add'l)	01/22/16	J		
47.					Sold (part)	11/22/16	J	A	
48. FTJ Fundchoice IRA-SPDR Health Care Select Sector	A	Dividend	L	T	Sold (part)	01/22/16	J	A	
49.					Buy (add'l)	11/22/16	J		
50. FTJ Fundchoice IRA-WisdomTree Japan Hedged Equity	A	Dividend			Sold (part)	01/08/16	K	A	
51.					Sold	01/22/16	K	A	

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52. FTJ Fundchoice IRA-TD Bank USA FDIC Insured Deposit	D	Int./Div.	K	T					
53. Aviva Life and Annuity Co.- fixed rate annuity	E	Int./Div.	O	T					
54. Vanguard Acct. #1 (H)									
55. ----- Vanguard Mid-Cap Growth	B	Dividend	N	T					
56. ----- Vanguard Small-Cap Growth	C	Dividend	N	T					
57. ----- Vanguard Total Stock	E	Dividend	P1	T					
58. Vanguard SEP IRA: Vanguard REIT Index	B	Int./Div.	M	T	Buy (add'l)	09/20/16	L		
59. NR Seandic Springs	C	Int./Div.	K	T					
60. FTJ Fundchoice IRA- SPDR Consumer Discretionary	A	Dividend			Sold	04/15/16	K	C	
61. FTJ Fundchoice IRA - Vanguard Energy Index		None			Sold	02/25/16	K	A	
62. 1/14 interest - [REDACTED] and [REDACTED]		None	K	W	Sold (part)	02/01/16	J	D	H.D. Foote Lumber Company
63. FTJ Fundchoice IRA - iShares Russell 2000	A	Dividend			Buy	01/08/16	K		
64.					Buy (add'l)	01/22/16	K		
65.					Sold	08/18/16	K	D	
66. FTJ Fundchoice IRA - SPDR Energy Select Sector	B	Dividend	K	T	Buy	02/25/16	K		
67.					Sold (part)	11/22/16	J	A	
68. FTJ Fundchoice IRA - SPDR S&P Bank	A	Dividend	K	T	Buy	08/16/16	J		

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69.					Buy (add'l)	09/28/16	J		
70.					Buy (add'l)	10/12/16	J		
71. FTJ Fundchoice IRA - iShares Nasdaq Biotech Index	A	Dividend	K	T	Buy	08/16/16	J		
72.					Buy (add'l)	09/28/16	J		
73.					Buy (add'l)	11/22/16	J		
74. FTJ Fundchoice IRA - Real Estate Select Sector (X)		None			Sold	09/28/16	J	A	
75. FTJ Fundchoice IRA -iShares Dow Jones US Home	A	Dividend	K	T	Buy	11/22/16	J		
76.					Buy (add'l)	12/19/16	J		
77. FTJ Fundchoice IRA -iShares Short Maturity Bond		None	J	T	Buy	12/27/16	J		
78. American Funds 529 Plan Account (FBO Paul) (X)									
79. -----American Funds Money Market		None	K	T					
80. -----Cash Management Trust of America		None			Closed	12/30/16	J		

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 21: Description of asset has been updated to better describe annuity. This is a fixed rate annuity fund called the index rewards fund. No specific investments are owned. This product is no longer available for purchase and will not be found in the list of products offered by Fidelity.

Line 29 - 33 : Description of asset has been updated to better describe life insurance policy and the specific funds reported separately. In prior year all funds were combined and reported as one asset.

Line 41: FTJ Fundchoice IRA - iShares Goldman Sachs Software Index name has changed to iShares North American Tech-Software ETF.

Line 53: Description of asset has been updated to better describe annuity.

Line 62: 1/14 interest land located in [REDACTED], Louisiana and 1/14 interest in land located in [REDACTED], Louisiana was given to spouse by his mother in 2015. This asset is [REDACTED] separate property and in no way associated with Judge Elizabeth Foote's assets. The [REDACTED] land was sold in 2016. Only [REDACTED] land owned at 12/31/16.

Line 78 - 80: American Funds 529 Plan Account (FBO [REDACTED]) In prior years this account was reported with line 7 - 9 American Funds 529 Plan Account (FBO [REDACTED])

Line 79: This is a non-interest bearing fund, no income is being earned.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Elizabeth E. Foote**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544