

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barrett, Susan D.	2. Court or Organization U.S. Bankruptcy Court, GA-S	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address P. O. Box 31267 Augusta, Georgia 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Hill Properties, LLC
2. Partner	Family Partnership
3. Trustee	Family Trust (Reported as Family Trust in VII)
4. Trustee, Member of Board of Managers, & Member of the Family Aid Committee	Tuttle-Newton Home, Inc. (No reportable assets)(See Section VIII)
5. Board Member	Wheel Movement of the CSRA, Inc.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Southeastern Bankruptcy Law, Institute, Inc.	March 21-24, 2018	Atlanta, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
2. Coastal Bankruptcy Law Institute, Inc.	April 19-20 2018	Savannah, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
3. State Bar of Georgia Bankruptcy Section	December 12-14, 2018	Greensboro, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
4. National Conference of Bankruptcy Judges	October 27-31, 2018	San Antonio, TX	Annual Conference	Airfare, Parking and Lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Checking	A	Interest	J	T					
2. Suntrust Money Market	A	Interest	J	T					
3.									
4. BROKERAGE ACCT #1 SCHWAB									
5. SCHWAB BANK (SWEEP) (X)	A	Interest	J	T					See Part VIII
6. SCHWAB US TREAS MONEY FD SWEEP (SWUXX) (Y)	A	Dividend							See Part VIII
7.					Redeemed (part)	07/25/18	K		See Part VIII
8.					Buy (add'l)	07/10/18	J		
9.					Buy (add'l)	07/03/18	J		
10.					Buy (add'l)	07/02/18	J		
11.					Redeemed (part)	06/08/18	J		See Part VIII
12.					Buy (add'l)	05/01/18	J		
13.					Redeemed (part)	04/26/18	L		See Part VIII
14.					Buy (add'l)	04/24/18	K		
15.					Redeemed (part)	04/17/18	M		See Part VIII
16.					Buy (add'l)	04/16/18	K		
17.					Buy (add'l)	04/13/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000 M ~\$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	04/11/18	L		
19.					Buy (add'l)	03/29/18	J		
20.					Buy (add'l)	03/23/18	J		
21.					Redeemed (part)	03/08/18	J		See Part VIII
22.					Redeemed (part)	01/25/18	K		See Part VIII
23. SCHWAB US TRSY MONEY INV. (SNSXX)	A	Interest	K	T	Buy	12/28/18	K		
24. US TREASURY BILL 19		None	L	T	Buy	10/22/18	L		
25. US TREASURY BILL 18	B	Interest			Redeemed	10/25/18	L		See Part VIII
26.					Buy	04/23/18	L		
27. BANK OF MONTREAL 1.4%18F	A	Interest			Redeemed	04/10/18	K		See Part VIII
28. DOVER CORP (DOV)	A	Dividend			Sold	04/11/18	K	D	
29. ISHARES SELECT DIV ETF IV (DVY)	C	Dividend	M	T					
30. ISHARES INTL SEL DIV ETF (IDV)	A	Dividend	J	T					
31. JOHNSON & JOHNSON (JNJ)	A	Dividend	K	T					
32. MICROSOFT CORP (MSFT)	A	Dividend	K	T					
33. PUTNAM SHORT DURATION INCM A (PSDTX)	B	Dividend	M	T	Sold (part)	09/17/18	J		See Part VIII
34.					Sold (part)	04/27/18	K		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	04/20/18	K		See Part VIII
36.					Buy (add'l)	04/16/18	M		
37. SCHWAB US DIV EQUITY ETF (SCHD)	D	Dividend	N	T					
38. CHARLES SCHWAB US MC ETF (SCHM)	A	Dividend	K	T					
39. SCHWAB INT'L EQUITY ETF (SCHF)	A	Dividend	J	T					
40. SCHWAB TOTAL STK MKT INDEX (SWTSX)	D	Dividend	O	T					
41.					Sold (part)	04/11/18	L	E	
42. SHERWIN WILLIAMS CO (SHW)	A	Dividend	K	T					
43. TE CONNECTIVITY LTD F (TEL)	A	Dividend			Sold	11/21/18	J		See Part VIII
44. SCHWAB EMERGING MARKETS EQUITY ETF (SCHE)	A	Dividend	J	T	Buy	08/06/18	J		
45.					Sold	07/05/18	J		See Part VIII
46.					Buy	01/23/18	K		
47.									
48. BROKERAGE ACCT. #2 (SCHWAB ROLLOVER IRA)									
49. SCHWAB BANK(SWEEP)(X)	A	Interest	J	T					See Part VIII
50. -SCHWAB US TREAS MONEY FD (SWUXX)(Y)	A	Dividend							See Part VIII
51.					Redeemed (part)	07/25/18	J		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	07/02/18	J		
53.					Redeemed (part)	05/29/18	K		See Part VIII
54.					Buy (add'l)	05/01/18	K		
55.					Redeemed (part)	04/27/18	L		See Part VIII
56.					Buy (add'l)	04/13/18	K		
57.					Buy (add'l)	03/23/18	J		
58.					Redeemed (part)	01/25/18	J		See Part VIII
59.					Redeemed (part)	01/12/18	J		See Part VIII
60. ISHARES SELECT DIV ETF IV FUND (DYY)	B	Dividend	K	T					
61. PUTNAM SHORT DURATION, INCM A (PSDTX)	B	Dividend	L	T					
62.					Buy (add'l)	12/28/18	J		
63.					Buy (add'l)	04/26/18	L		
64. SCHWAB US DIV. EQUITY ETF (SCHD)	D	Dividend	M	T					
65. SCHWAB TOTAL STK MKT (SWTSX)	B	Dividend	L	T	Sold (part)	04/11/18	K	E	
66. CHARLES SCHWAB US MC ETF (SCHM)	A	Dividend	J	T	Buy	01/23/18	J		
67. SCHWAB INT'L EQUITY ETF (SCHF)	A	Dividend	K	T	Buy (add'l)	01/10/18	J		
68. SCHWAB US SMALL CAP ETF (SCHA)	A	Dividend	K	T	Buy	05/24/18	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. VANGUARD INDUSTRIALS (VIS)	A	Dividend			Sold	04/26/18	K	D	
70.									
71. BROKERAGE ACCT.#3 (WELLS FARGO)									
72. BANK DEPOSIT SWEEP	A	Interest	K	T					
73. CHEVRON CORP (CVX)	C	Dividend	L	T					
74. DXC TECH CO (DXC)	A	Dividend	J	T					
75. EQUITY RESIDENTIAL (EQR)	D	Dividend	M	T					
76. FIREEYE IN (FEYE)		None	J	T					
77. GENERAL ELECTRIC CO. (GE)	A	Dividend			Sold	10/02/18	K		See Part VIII
78. HP INC (HPQ)	A	Dividend	J	T					
79. HEWLETT PACKARD ENTERPRISE CO (HPE)	A	Dividend	J	T					
80. MICRO FOCUS INTL PLC ADR SPONSORED ADR NEW (MFGP)	A	Dividend	J	T					
81. PALO ALTO NETWORKS (PANW)		None	K	T					
82. PERSPECTA INC. (PRSP)	A	Dividend	J	T	Spinoff (from line 74)	06/01/18	J		
83. PROCTOR & GAMBLE CO. (PG)	C	Dividend	M	T					
84. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)	A	Dividend	J	T					
85. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T					

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86.									
87. MEMBERSHIP INTEREST IN HILL PROPERTIES, LLC		None							See Part VIII
88.									
89. FAMILY INS. PSHIP (OWNS LIFE INS. POL-CASH VALUE & SMALL BANK ACCT. AT		None	N	T					
90. SUNTRUST)									
91.									
92. TRUSTEE OF FAMILY TRUST CHECKING ACCOUNT AT SUNTRUST BANK		None	J	T					
93.									
94. FAMILY TRUST ACCT. #1 (TRUSTEE) (SCHWAB BROKERAGE ACCT.)									
95. BANK SWEEP ACCT.	A	Interest	K	T					
96. ABBVIE, INC. (ABBV)	C	Dividend	L	T					
97. BB&T CORPORATION (BBT)	B	Dividend	K	T					
98. BLACKROCK, INC. (BLK)	B	Dividend	K	T					
99. DEERE & CO. (DE)	B	Dividend	L	T					
100. EXXON MOBIL CORP (XOM)	A	Dividend			Sold	03/05/18	K	B	
101. JOHNSON & JOHNSON (JNJ)	B	Dividend	L	T					

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102. KIMBERLY-CLARK CORP (KMB)	A	Dividend			Sold	03/05/18	K	D	
103. MARRIOTT INTL INC. (MAR)	A	Dividend	K	T					
104. MC DONALDS CORP (MCD)	B	Dividend	L	T					
105. MERCK & CO INC. (MRK)	A	Dividend			Sold	03/05/18	K	B	
106. MICROSOFT CORP (MSFT)	B	Dividend	M	T					
107. PAYCHEX INC (PAYX)	B	Dividend	L	T					
108. PUTNAM SHORT DURATION (PSDTX)	C	Dividend	M	T					
109.					Buy (add'l)	03/29/18	L		
110. SCHWAB US DIV EQUITY ETF (SCHD)	D	Dividend	M	T					
111. CHARLES SCHWAB US MC ETF (SCHM)	A	Dividend	J	T					
112. TECHNOLOGY SELECT SECTOR SPDR ETF (XLK)	A	Dividend	K	T					
113. TE CONNECTIVITY LTD F (TEL)	A	Dividend			Sold	11/21/18	K		See Part VIII
114. UNITED TECHNOLOGIES CORP (UTX)	B	Dividend	K	T					
115. 3M (MMM)	C	Dividend	L	T					
116.									
117. FAMILY TRUST ASSET #2 (WELLS FARGO BROKERAGE ACCT.)									
118. BANK DEPOSIT SWEEP	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. CHEVRON (CVX)	B	Dividend	K	T					
120. DXC TECHNOLOGY CO (DXC)	A	Dividend	J	T					
121. EQUITY RESIDENTIAL (EQR)	E	Dividend	O	T					
122. GENERAL ELECTRIC (GE)	A	Dividend			Sold	10/02/18	J		See Part VIII
123. HP INC (HPQ)	A	Dividend	K	T					
124. HEWLETT PACKARD ENTERPRISES CO. (HPE)	A	Dividend	K	T					
125. MICRO FOCUS INTL PLC ADR SPONSORED ADR NEW (MFGP)	A	Dividend	J	T					
126. PERSPECTA, INC. (PRSP)	A	Dividend	J	T	Spinoff (from line 120)	06/01/18	J		
127. PROCTOR & GAMBLE (PG)	D	Dividend	M	T					
128. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)	B	Dividend	K	T					
129. SUNTRUST BANKS INC (STI)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section I (Line 4) -- No reportable assets. This is a civic organization and while a "trustee" I do not have control over the assets.

Section VII (Lines 5-6, 49-50). The SWUXX fund previously reported was closed during 2018 and those funds have been diverted to other holdings duly reflected in these respective accounts. The Schwab Bank (Sweep) accounts are part of this activity.

Section VII (Lines 7, 11, 13, 15, 21-22, 25, 27, 33-35, 43, 45, 51, 53, 55, 58-59, 77, 113, 122). There is no gain to report.

Section VII (Line 87). I own a membership interest in this entity, but it did not have any activity in 2018, and does not have any reportable assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan D. Barrett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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