

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baker, Mary Gordon	2. Court or Organization U.S. District Court, District of South Carolina	3. Date of Report 11/20/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address 85 Broad Street (29401) P.O. Box 835 Charleston South Carolina 29402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Personal Representative	Estate #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 09/01/18

Bank of America/Retirement pension

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Regionsbank	Mortgage on Rental Property #1, Mount Pleasant, SC Charleston County (Pt VII, line 1)	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Mt. Pleasant, SC, Charleston County, SC	E	Rent	M	W					
2. Brokerage #1 H									
3. AT&T Common Stock	D	Dividend	M	T					
4. Bank of America Corp. Common Stock	A	Dividend	J	T					
5. IRA Bank of America Corp. Common Stock	A	Dividend	K	T					
6. BP PLC common stock	A	Dividend	K	T					
7. IRA BP PLC Common Stock	A	Dividend	J	T					
8. BB&T Corp. Common Stock	A	Dividend	J	T					
9. IRA BB&T Corp. Common Stock (X)	A	Dividend	K	T					
10. Duke Energy Corp. Common Stock	C	Dividend	L	T					
11. IRA Duke Energy Corp. Common Stock	A	Dividend	K	T					
12. Enbridge Inc. common stock (X)	B	Dividend	K	T					
13. Frontier Communications Common Stock	A	Dividend	J	T					
14. Regions Financial Corp Common Stock	A	Dividend	J	T					
15. IRA Regions Financial Corp. Common Stock	A	Int./Div.	J	T					
16. SCANA Corp. Common Stock	B	Dividend			Sold	10/29/18	K	A	
17. Verizon Communications Common Stock	C	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. IRA Verizon common stock	A	Dividend	J	T					
19. Blackrock Muniassets Fund	A	Dividend	J	T					
20. IRA Blackrock Muniassets Fund (Y)									
21. IRA Cisco Systems Inc. Common Stock	A	Dividend	J	T					
22. IRA General Electric Common Stock	A	Dividend	J	T					
23. IRA General Mills Common Stock	A	Dividend	J	T					
24. IRA General Motors Common Stock	A	Dividend	J	T					
25. IRA Glaxosmithkline Common Stock	A	Dividend	J	T					
26. IRA IBM Common Stock	A	Dividend	J	T					
27. IRA Johnson and Johnson Common Stock	A	Dividend	J	T					
28. IRA JP Morgan Chase Common Stock	A	Dividend	J	T					
29. IRA PPL Corp. Common Stock	A	Dividend	J	T					
30. IRA Procter & Gamble Co. Common Stock	A	Dividend	J	T					
31. IRA Wells Fargo Common Stock	A	Dividend	J	T					
32. IRA Kayne Anderson MLP	A	Dividend	J	T					
33. IRA Virtus Small Cap Fund	A	Dividend	K	T					
34. IRA Virtus KAR Capital Growth CL	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Merrill Lynch CMA account	A	Interest			Closed	01/19/18	K		
36. Brokerage #2 H									
37. First Horizon Nat'l. Corp. common stock (X)	A	Dividend	J	T					
38. SouthState Bank common stock (X)	A	Dividend	J	T					
39. Regions Financial Corp. Common Stock	A	Dividend	K	T					
40. Pinnacle Bank common stock	A	Dividend	K	T					
41. Brokerage #3 H									
42. Invesco QQQ ETF	A	Dividend	K	T					
43. AMG Managers Bond Service	A	Dividend	J	T					
44. Chevron common stock	A	Dividend	J	T					
45. Exxon Mobil common stock	A	Dividend	J	T					
46. Franklin Income Advisor	B	Dividend	K	T					
47. Granite Point Mortgage	A	Dividend	J	T					
48. JP Morgan Mid Cap Value Fund	A	Dividend	J	T					
49. Oppenheimer Main St Mid Cap Fund	A	Dividend	J	T					
50. Oppenheimer Rochester High Yield Mun CL	A	Dividend	J	T	Buy	07/27/18	J		
51. SPDR Euro Stoxx	A	Dividend	J	T					

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52. Two Harbors Inv. Corp.	A	Dividend	J	T					
53. Brokerage #4 H									
54. IRA Two Harbors Invt Corp	A	Dividend	J	T					
55. IRA Granite Point Mortgage	A	Dividend	J	T					
56. IRA Oppenheimer Steelpath MLL	A	Dividend	J	T					
57. Brokerage #5 H									
58. IRA AT&T common stock	A	Dividend	J	T					
59. IRA Apple common stock	A	Dividend			Sold	01/10/18	K	D	
60. IRA BP PLC	B	Dividend	K	T					
61. IRA Facebook common stock	A	Dividend	J	T					
62. IRA Granite Point Mortgage	A	Dividend	J	T					
63. IRA Royal Dutch Shell Common Stock	B	Dividend	K	T					
64. IRA Two Harbors Invt Corp common stock	B	Dividend	J	T					
65. IRA SPDR Euro Stoxx 50 ETF	A	Dividend	K	T	Buy	02/02/18	K		
66. IRA SPDR S&P Regional Banking ETF	A	Dividend	J	T	Buy	02/02/18	K		
67. IRA AB Small Cap Growth Fund	A	Dividend	J	T					
68. IRA Diamond Hill Small Cap	A	Dividend	J	T					

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69. IRA Federated Kaufmann Large Cap Fund	A	Dividend	K	T					
70. IRA Franklin Income Advisor CL Fund	E	Dividend	N	T					
71. IRA John Hancock Disciplined Val Mid Cap Fund	A	Dividend	J	T					
72. IRA MFS Intl Value Fund	A	Dividend	J	T					
73. IRA James Alpha Global Real Estate Investments	A	Dividend	K	T					
74. IRA Oppenheimer Steelpath MLP Income Fund	B	Dividend	J	T					
75. IRA AIG Focused Dividend Strategy	A	Dividend	K	T					
76. IRA Templeton Emerging Mkts Small Cap Fund	A	Dividend	K	T					
77. IRA Templeton Global Bond Fund	A	Dividend	J	T					
78. IRA Touchstone Ultra Short Fund	A	Dividend	K	T					
79. Brokerage #6 H									
80. IRA Altria Group Inc.	A	Dividend	J	T					
81. IRA Coca Cola Co.	A	Dividend	J	T	Buy	05/14/18	J		
82. IRA Pinnacle Bank common stock	A	Dividend	K	T					
83. IRA Exxon Mobil Corp. Common Stock	A	Dividend	J	T					
84. IRA Ford Motor Co. New	A	Dividend	J	T	Buy	10/30/18	J		
85. IRA Granite Point Mortgage common stock	A	Dividend	J	T					

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86. IRA Regions Financial Corp. Common Stock	B	Dividend	L	T					
87. IRA Royal Dutch Shell PLC	A	Dividend	J	T					
88. IRA Snap common stock	A	Dividend	J	T					
89. IRA Southern Company	A	Dividend	J	T	Buy	01/31/18	J		
90. IRA ISHARES MSCI	A	Dividend	J	T	Buy	02/15/18	J		
91. IRA SPDR EURO STOXX 50 ETF	A	Dividend	J	T	Buy	02/15/18	J		
92. IRA SPDR S&P Intl.	A	Dividend	J	T	Buy	02/15/18	J		
93. IRA Two Harbors Invt Corp Common Stock	B	Dividend	J	T					
94. IRA Columbia Global Equity Value Mutual Fund	A	Dividend	K	T					
95. IRA Delaware Diversified Income Mutual Fund	A	Dividend	J	T					
96. IRA FMI Int'l Instl CL	A	Dividend	J	T					
97. IRA Franklin Income Advisor Mutual Fund	C	Dividend	L	T					
98. IRA Growth Fund of America Mutual Fund	A	Dividend	J	T					
99. IRA Hartford Cap Appreciation Mutual Fund	A	Dividend	J	T					
100. IRA Janus Henderson Intl Oppty Mutual Fund	A	Dividend	J	T					
101. IRA Ivy Small Cap Value Mutual Fund	A	Dividend	J	T					
102. IRA Pimco Total Return Mutual Fund	A	Dividend	J	T					

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103. IRA Principal Midcap CL P	A	Dividend	J	T					
104. IRA RS Value Mutual Fund	A	Dividend			Sold	09/07/18	J	A	
105. IRA Oppenheimer Steelpath MLP Alpha Mutual Fund	B	Dividend	J	T					
106. IRA Templeton Global Bond Fund (Mutual Fund)	A	Dividend	J	T					
107. IRA Washington Mutual Investors Fund	A	Dividend	K	T					
108. Brokerage #7 H									
109. Pinnacle Bank common stock	A	Dividend	K	T					
110. Hartford Floating Rate Mutual Fund	A	Dividend	K	T					
111. Lord Abbett High Yield Mutual Fund	A	Dividend	K	T					
112. Blackrock Total Return Instl. CL	A	Dividend	J	T					
113. Fidelity Advisor Intl Cap Apprec. CL I	A	Dividend	K	T					
114. Fidelity Advisor Real Estate Income CL I	A	Dividend			Sold	05/15/18	J	A	
115. First Eagle Global CL I	A	Dividend	J	T					
116. Jensen Quality Growth CL J	A	Dividend	K	T					
117. Lord Abbett Short Duration Income CL F	A	Dividend	J	T					
118. Parnassus Core Equity Investor CL	A	Dividend	K	T					
119. Brokerage 8H									

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120. IRA JPMorgan Chase Common Stock	A	Dividend	J	T					
121. IRA I Shares US Energy ETF Common Stock	A	Dividend	J	T					
122. Brokerage #9 H									
123. IRA First Eagle Global Fund Class I	A	Dividend	J	T					
124. IRA Jensen Quality Growth Fund Class J	A	Dividend	K	T					
125. IRA Blackrock Total Return Instl. CL	A	Dividend	J	T					
126. IRA Fidelity Advisor Intl Cap Apprec CL I	A	Dividend	K	T					
127. IRA Fidelity Advisor Real Estate Income fund	A	Dividend			Sold	05/15/18	J	A	
128. IRA Lord Abbett Short Duration Income CL F	A	Dividend	J	T					
129. IRA Parnassus Core Equity Investor CL fund	A	Dividend	K	T					
130. Equity Compass Managed Account									
131. Abbie Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
132. Activision Blizzard Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
133. Allstate Corp. common stock	A	Dividend	J	T	Buy	03/16/18	J		
134. American Express Co common stock	A	Dividend	J	T	Buy	03/16/18	J		
135. Analog Devices Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
136. Apple Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Becton Dickinson & Co. common stock	A	Dividend	J	T	Buy	03/16/18	J		
138. Bristol Myers Squibb common stock	A	Dividend	J	T	Buy	03/16/18	J		
139. Cimarex Energy Company common stock	A	Dividend	J	T	Buy	03/16/18	J		
140. Cognizant Technology Solutions Corp. common stock	A	Dividend	J	T	Buy	03/16/18	J		
141. Costco Wholesale Corp. common stock	A	Dividend	J	T	Buy	03/16/18	J		
142. East West Bancorp Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
143. Expedia Group Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
144. Fortune Brands Home & Sec. Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
145. Gaming & Leisure Properties Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
146. General Dynamics Corp. common stock	A	Dividend	J	T	Buy	03/16/18	J		
147. Ingredion Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
148. Knight Swift Transportation Holdings Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
149. Northrop Grumman Corp. common stock	A	Dividend	J	T	Buy	03/16/18	J		
150. Nucor Corp. common stock	A	Dividend	J	T	Buy	03/16/18	J		
151. PPL Corp. common stock	A	Dividend	J	T	Buy	03/16/18	J		
152. Quest Diagnostic Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
153. SS&C Technologies Holdings Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Skyworks Solutions Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
155. Sun Life Financial Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
156. TD Ameritrade Holding Corp. common stock	A	Dividend	J	T	Buy	03/16/18	J		
157. Verizon Communications Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
158. Wyndham Destinations Inc. common stock	A	Dividend	J	T	Buy	03/16/18	J		
159. TE Connectivity LTD common stock	A	Dividend	J	T	Buy	03/16/18	J		
160. Royal Caribbean Cruises Limited common stock	A	Dividend	J	T	Buy	03/16/18	J		
161. Merrill Lynch Bank Deposit Program	A	Interest			Closed	01/19/18	J		
162. Albemarle Supper Club LLC, Charleston, SC Charleston County	A	Rent	J	W					
163. IRA Dreyfus Money Mkt. Fund	A	Interest			Closed	01/03/18	J		
164. Stifel Nicholas & Co. 68 Insured Bank Deposit 8 cash-equivalent	B	Dividend	M	T					
165. MetLife S Market Account	A	Interest	K	T					
166. Pinnacle accounts	A	Interest	K	T					
167. Regions S Mkt. Fund	A	Interest			Closed	01/08/18	J		
168. Tradd Street residence, Charleston, SC PR estate (X)		None			Sold	02/28/18	O		
169. Mineral rights - Kern County, CA		None	J	W					
170. Stifel Nicholas & Co. Estate Account cash-equivalent		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Mineral rights - King County, CA		None	J	W					
172. BB&T account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. L. 34, name change from Virtus Strategic Growth Fund to Virtus KAR Capital Growth CL

VII. L. 44, name change from Powershares QQQ ETF to Invesco QQQ ETF

VII. L. 168, Real property in estate sold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary Gordon Baker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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