

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baer, Janet S.	2. Court or Organization Bankruptcy Court- Northern District of Illinois	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 219 S. Dearborn Street, Suite 662, Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Grantor and Trustee -12/11/2012 to present	Janet S. Baer Revocable Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. 7th Circuit Bar Association	4/30/18-5/1/18	Chicago, IL	Judicial Conference	Food
2. American Bankruptcy Institute	6/7/18-6/9/18	Lake Geneva, WI	Speaker at Regional Meeting	Hotel, Travel, Food
3. American Bankruptcy Institute	9/20/18-9/23/18	Washington, DC	Speaker at Conference	Hotel, Travel, Food
4. National Conference of Bankruptcy Judges	10/27/18-10/31/18	San Antonio, TX	Annual Meeting	Hotel, Travel, Food
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Municipal (f/k/a/Government) Money Market Fund #1	A	Interest	J	T					
2. Fidelity Municipal Money Market Fund #2	A	Interest	J	T					
3. Fidelity Government Money Market Fund #2	C	Interest	M	T					
4. Fidelity Asset Mgr 60%, f/k/a Global Balanced Fund	C	Int./Div.	L	T					
5. 1 shares (f/k/a Trust 400 Index) S & P Midcap ETF	A	Int./Div.	L	T					
6. 1 shares (f/k/a Trust 600 Index) S & P Small Cap ETF	A	Int./Div.	L	T					
7. Oakmark Equity & Income Investor Fund #1	E	Int./Div.	M	T					
8. Pimco Investment Grade Corp bond Class D Fund	A	Int./Div.	K	T					
9. T Rowe Price International Stock Fund	C	Int./Div.	L	T					
10. T Rowe Price Capital Appreciation Fund # 1	D	Int./Div.	M	T					
11. Vanguard Wellington Investor Fund	D	Int./Div.	M	T					
12. T Rowe Price Short Term Bond Fund #1	A	Int./Div.			Sold	03/12/18	K		
13. Fidelity Municipal (f/k/a/Government) Money Market Fund #3	A	Interest	J	T					
14. Fidelity Govt. Cash Reserves	A	Interest	K	T	Buy	03/12/18	K		
15. Invesco Actively Managed ETF	A	Int./Div.	K	T	Buy	03/12/18	K		
16. Ameris Bank Moultrie GA CD	B	Interest	M	T	Buy	06/27/18	M		
17. BOFI Fed Bank San Diego CA CD	B	Interest	M	T	Buy	06/27/18	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Centerstate Bank FL Wintergaven CD	B	Interest	L	T	Buy	06/27/18	L		
19. Home State Bank Jefferson Iowa CD	B	Interest	M	T	Buy	06/27/18	M		
20. Fidelity IRA									
21. -Fidelity Govn. Cash Reserves	A	Interest	J	T					
22. -Powershares DB Commodity Index Tracking FD Unit Ben Int	B	Int./Div.	K	T					
23. -Driehaus Emerging Markets Fund	A	Int./Div.			Sold	03/08/18	K		
24. -Fidelity Real Estate Investment Fund	A	Int./Div.			Sold	03/08/18	K		
25. -Ishares Core S & P Midcap ETF	A	Int./Div.			Sold	03/08/18	J		
26. -Ishares Core S & P Small Cap ETF	A	Int./Div.			Sold	03/08/18	J		
27. -Oakmark International Small Cap Investment Fund	A	Int./Div.			Sold	03/08/18	J		
28. -Fidelity Global Balanced Fund	A	Int./Div.			Sold	03/08/18	K		
29. -Ishares Core S & P Total US Stock ETF	A	Int./Div.			Sold	03/08/18	K		
30. -Powershares Optimum Yield Diversified Commodity	A	Int./Div.			Sold	03/08/18	K		
31. Wellington Fund Admiral Inherited IRA	C	Int./Div.	K	T	Distributed (part)	12/20/18	J		
32. I Shares Core U.S. Aggregate (f/k/a/Total) US Bond ETF	C	Int./Div.	M	T	Buy	03/08/18	J		
33. I Shares National Muni Bond ETF	C	Int./Div.	M	T					
34. Oakmark International Investor Cl I Fund	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Fidelity 500 Index Premium Class	B	Int./Div.	M	T					
36. Vanguard Rollover IRA									
37. -Vanguard Developed Markets Index Fund Admiral	B	Int./Div.	K	T	Sold (part)	03/12/18	K		
38. -Vanguard Emerging Markets Stock Index Fund Adm	D	Int./Div.	L	T					
39. -Vanguard Growth Index Fund Adm.	A	Int./Div.	L	T	Sold (part)	03/12/18	K		
40. -Vanguard Inflation Protect Sec Adm Fund	A	Int./Div.	M	T	Buy (add'l)	03/08/18	K		
41. -Vanguard Long Term Investment Growth Fund Adm	C	Int./Div.	K	T	Sold (part)	03/12/18	K		
42. -Vanguard Mid Cap Value Index Fund Adm	A	Int./Div.	K	T	Sold (part)	03/12/18	K		
43. -Vanguard Global Cycles Investor f/k/a Precious Metals & Mining Fund	B	Int./Div.	K	T	Buy (add'l)	03/08/18	K		
44. -Vanguard Prime Money Market Fund	A	Interest	J	T					
45. -Vanguard REIT Index Fund Adm	A	Int./Div.	L	T					
46. -Vanguard Wellington Fund Admiral	D	Int./Div.	M	T	Sold (part)	03/18/18	K		
47. -Vanguard Equity Income Fund Adm.	A	Int./Div.	L	T	Sold (part)	03/08/18	K		
48. -Vanguard Global Ex US Real Estate Index FD ETF	A	Int./Div.	K	T					
49. -Vanguard FTSE Developed MRKTS ETF	C	Int./Div.	L	T	Sold (part)	03/08/18	J		
50. -Vanguard S & P 500 Index ETF	C	Int./Div.	M	T	Buy (add'l)	03/08/18	K		
51. -Vanguard Short Term Bond ETF	C	Int./Div.	M	T	Buy (add'l)	03/08/18	K		

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52. -Vanguard Small Cap ETF	A	Int./Div.	L	T					
53. -Vanguard Total Bond Market ETF	D	Int./Div.	N	T	Buy (add'l)	03/08/18	K		
54. -Vanguard Fed Money Market Fund	A	Interest	J	T	Buy	03/08/18	J		
55. Allianz Inherited Fixed Rate Annuity	A	Int./Div.	J	T	Distributed (part)	05/01/18	J		
56. Roth IRA									
57. -Core Wells Fargo Cash Account	A	Interest	J	T					
58. -Fidelity Real Estate Investment Fund	A	Int./Div.	K	T					
59. -Fidelity Asset Manager 60% f/k/a Global Balanced Fund	B	Int./Div.	K	T					
60. -Driehaus Emerging Markets Fund	B	Int./Div.	K	T	Sold (part)	03/08/18	K		
61. -Oakmark International Small Cap Invest. Fund	A	Int./Div.			Sold	03/08/18	J		
62. -I Shares Core S & P Mid Cap ETF	A	Int./Div.	J	T					
63. -I Shares Core Total US Stock f/k/a/ S & P Small Cap ETF	A	Int./Div.	M	T					
64. -I Shares MSCI ETF	A	Int./Div.	L	T	Buy	03/08/18	L		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Janet S. Baer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544