

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Ron	2. Court or Organization District Court, E.D. Texas	3. Date of Report 5/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 300 Willow #104 Beaumont, TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Owner 529 Acct 1	529 Acct 1
2.	Owner 529 Acct 2	529 Acct 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	State of Texas Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Self employed movie, video, & music recording producer as sole owner and operator of Take A Bow, LLC.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Mutual Fund USEXX	A	Interest	J	T					
2. USAA Mutual Fund USSPX	A	Dividend	K	T					
3. Vanguard VMMXX Money Market	A	Dividend	K	T					
4. Vanguard Windsor II Fund	E	Dividend	M	T					
5. Vanguard Mutual Funds IRA 1 (H)									
6. -Health Care Fund	D	Dividend	M	T					
7. -Windsor II Fund	E	Dividend	M	T					
8. -Explorer Fund	A	Dividend	J	T					
9. -500 Index Fund	B	Dividend	M	T					
10. Vanguard Mutual fund IRA 2 - Mid-cap Index Fund	A	Dividend	K	T					
11. Vanguard Mutual fund IRA 3 - 500 Index Fund	A	Dividend	K	T					
12. Vanguard Mutual Fund IRA 4 - 500 Index Fund	B	Dividend	L	T					
13. American Mut. Fund IRA New Perspective Fund	E	Dividend	N	T					
14. Vanguard Variable Annuity Small Co. Growth		None	L	T					
15. XOM com. stock	D	Dividend	M	T					
16. Compass Bank Accounts	B	Interest	M	T					
17. 529 Acct 1 - American Funds (H)									

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -New Perspective Fund	A	Dividend	J	T					
19. -New World Fund	A	Dividend	J	T					
20. -Capital Wrld Grwth & Income	A	Dividend	J	T					
21. 529 Acct 2 American Funds (H)									
22. -New Perspective Fund	A	Dividend	J	T					
23. -New World Fund	A	Dividend	J	T					
24. -Capital Wrld Grwth & Income	A	Dividend	J	T					
25. AMGN com. stk	D	Dividend	N	T					
26. AT&T com, stk	B	Dividend	K	T					
27. ADP, com. stk	C	Dividend	M	T					
28. CDK Global Inc. com.stk	A	Dividend	K	T					
29. CVX com. stk	B	Dividend	K	T					
30. KO com. stk	B	Dividend	K	T					
31. ETN com. stk	D	Dividend	M	T					
32. EMR com. stk	C	Dividend	L	T					
33. HD com. stk	C	Dividend	M	T					
34. LLY com. stk	B	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. MCD com. stk	B	Dividend	L	T					
36. PEP com stk	C	Dividend	L	T					
37. PFE com. stk	B	Dividend	K	T					
38. SLB com. stk	B	Dividend	K	T					
39. American Mutual Fund, New Perspective Fund	E	Dividend	N	T					
40. American Mutual Fund Small Cap World	C	Dividend	L	T					
41. Dreyfus S&P 500 Index Fund	E	Dividend	M	T					
42. Nuveen Arizona Prem. Inc. Muni. Bnd. Fund	A	Dividend			Sold	09/26/18	J		
43. Dreyfus AMT-Free Mun. Bnd Fund	C	Dividend	M	T					
44. Dreyfus Mun. Bnd. Fund	B	Dividend	L	T					
45. McCallister Academic VLG bonds	A	Interest			Redeemed	07/01/18	K		
46. Pima Cty AZ bond	A	Interest			Redeemed	12/20/18	J		
47. UBS Bank USA, Deposit Account	A	Interest	M	T					
48. UBS AG Deposit Account	A	Interest	M	T	Open	09/10/18	J		
49. Beneficiary IRA (H)									
50. -AT&T com. stk	B	Dividend	K	T					
51. CVX com. stk	D	Dividend	N	T					

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52. -XOM com.stk	E	Dividend	N	T					
53. -WRE com. stk	B	Dividend	K	T					
54. -WRI com. stka	A	Dividend	J	T					
55. -Oppenheimer Gbl Strategic Income fund	A	Dividend			Sold	12/11/18	J		
56. -Nuveen S&P 500 Dyn. Overwrite formerly Nuveen P&G	B	Dividend	J	T					
57. -Eaton Vance En. Equity Inc Fund	A	Dividend	J	T					
58. -John Hancock Inc Sec. Fund	A	Dividend	J	T					
59. -Lord AbbotBond Deb. Fund	B	Dividend	K	T					
60. -Franklin H.I. Fund Class A	A	Dividend	J	T					
61. -Franklin US Govt Sec. A	A	Dividend	J	T					
62. - Bank USA, Deposit Account	A	Interest	J	T	Distributed (part)	12/12/18	K		
63. Vanguard Health Care VHTA	A	Dividend	K	T					
64. Schwab One cash ("sweep) account	A	Interest	K	T					
65. Schwab Money Market account	A	Interest	J	T					
66. Wells Fargo Bank		None	K	T					
67. Royalty Interest, Hardin County, Texas	A	Royalty	J	W					
68. First State Bank (X)	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ron Clark**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544