

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chen, Edward M.	2. Court or Organization U.S. District Court, N.D. California	3. Date of Report 11/4/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Federal Courthouse 450 Golden Gate Avenue, 16th Floor San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Employee	Trust #2 ()
2. Trustee	Trust #2 ()
3. Trustee	Trust #4 ()
4. Trustee	Trust #5 ()
5. Trustee	Trust #8 ()
6. Trustee	Trust #16 ()
7. Trustee	Trust #17 ()
8. Trustee	Trust #18 ()
9. Trustee	Trust #19 ()
10. Trustor	Trust #20 ()
11. Officer & Director	Realty Co. #1 ()
12. Manager	Limited Liability Co. #1 ()
13. Manager	Limited Liability Co. #2 ()
14. Manager	Limited Liability Co. #3 ()
15. Manager	Limited Liability Co. #4 ()
16. Manager	Limited Liability Co. #5 ()

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17. Manager

Limited Liability Co. #6 ()

18. Manager

Limited Liability Co. #7 ()

19. Manager

Limited Liability Co. #8 ()

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*☐NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 1991

ACLU Employees - Defined Benefit Pension Plan, no control

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Trust #2 (salary)	\$20,214.00
2. 2018	Limited Liability Co. #8	\$6,738.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Trust #2 (Salary)
2. 2018	Limited Liability Co. #8
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NYU School of Law -- Root-Tilden-Kern Scholarship Program	4/5/2018 - 4/8/2018	New York, New York	Panelist to interview and select RTK Scholar Recipients	Airfare, hotel, meals, ground transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Savings Bank	Co-signer on mortgage condominium investment	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #2 (Trustee) (33% Interest)					Closed	9/30/18	M	A	
2.									
3. -Interfamily note (from Trust #8) (Inadvertently included in					Redeemed	04/15/11	L	A	Trust #8
4. 2008 Report)									
5. -Bank of America (accounts)	A	Interest	M	T	Closed	09/21/18	K	A	
6. -Sterling Bank & Trust (account)	A	Interest	M	T	Closed	09/24/18	M	A	
7. -Aegon NV stock	A	Interest	J	T	Sold	06/08/18	J	A	
8.									
9. Limited Partnership #3 ()									
10. (9.5% Interest)									
11. -Commercial Property #3 (San Francisco, CA)	C	Rent	K	U					
12. -Bank of America (accounts)	A	Interest	K	T					
13. -First Republic Bank (account)	A	Interest	J	T					
14. -Condominium #1 (Kohala Coast, HI)	A	Rent	K	U					
15. -El Dorado Hospitality, LLC	A	Rent	J	U					
16. -Sterling Bank & Trust (account)	A	Interest	J	T					
17. -Cathay Bank (account)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18.									
19. Limited Partnership #5									
20.) (20.5% Interest)									
21. --Commercial Property #4 (Sacramento, CA)	D	Rent	M	U					
22. --Bank of America (accounts)	A	Interest	K	T					
23. --First Republic Bank (account)	A	Interest	K	T					
24. --East-West Bank (account)	A	Interest	K	T					
25. --Sterling Bank & Trust (account)	A	Interest	J	T					
26. --Limited Liability Company #2 (									
27. (Limited Partnership #5 owns 25% Interest)									
28. --Commercial Property #8 (San Rafael, CA)	D	Rent	M	U					
29. --Bank of America (account)	A	Interest	M	T					
30. --Sterling Bank & Trust (account)	A	Interest	K	T					
31. --East-West Bank (account)	A	Interest	J	T					
32. --First Republic Bank (account)	A	Interest	J	T					
33. --Cathay Bank (account)	A	Interest	K	T					
34.									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Bank of America (accounts) (Although dissolved, one administration	A	Interest	J	T					
36. bank account remained open)									
37.									
38. Realty Co. #1 () (stock) (33% interest)	A	Interest			Closed	03/16/17	L	A	
39. -Bank of America (accounts) (Although dissolved, one administration	A	Interest	J	T	Closed	02/05/18	J	A	
40. bank account remained open)									
41.									
42. Life Insurance Policies - New York Life Ins. Co. (Whole Life Policies)	A	Interest	J	T					
43.									
44. Trust #5 (Co-Trustee only) (No ownership)									
45. -Cathay Bank (account)	A	Interest	M	T					
46. -East-West Bank (account)	A	Interest	J	T					
47. -Sterling Bank & Trust (account)	A	Interest	M	T					
48. -First Republic Bank (account)	A	Interest	M	T					
49. -Limited Liability Co. #3 (2.4% Interest)									
50. --Commercial Property #7 (Sacramento, CA)	B	Rent	J	U					
51. --Sterling Bank & Trust (account)	A	Interest	J	T					

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52. --First Republic Bank (accounts)	A	Interest	J	T					
53. --Bank of America (accounts)	A	Interest	J	T					
54. --East West Bank (accounts)	A	Interest	J	T					
55. --Cathay Bank (accounts)	A	Interest	J	T					
56. --Limited Liability Co. #7 (██████████, ██████████ (13.3% Interest)									
57. --Commercial Property #8 (Sacramento, CA)	A	Rent	J	U					
58. --Bank of America (accounts)	A	Interest	K	T					
59. --Cathay Bank (account)	A	Interest	J	T					
60. --Limited Partnership #2 (██████████ ██████████)									
61. (0.88% Interest)									
62. --Commercial Property #2 (San Francisco, CA)	A	Rent	J	U					
63. --Bank of America (accounts)	A	Interest	J	T					
64. --Cathay Bank (account)	A	Interest	J	T					
65. --First Republic Bank (account)	A	Interest	J	T					
66. --Sterling Bank & Trust (account)	A	Interest	J	T					
67.									
68. Merrill Lynch - IRA									

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69. -Cash Account - Merrill Lynch	C	Interest	K	T					
70. -Calvert Social Investment Fund Balanced Portfolio	A	Dividend	K	T					
71. -Ariel Appreciation Fund	A	Dividend	K	T					
72. -Calvert Social Index	A	Dividend	K	T					
73. -Templeton Foreign Fund	A	Dividend	J	T					
74. -Templeton Developing	A	Dividend	J	T					
75.									
76. Merrill Lynch - IRA									
77. -Cash Account - Merrill Lynch	C	Interest	K	T					
78. -Calvert Social Investment Fund Balanced Portfolio	A	Dividend	K	T					
79. -Franklin U.S. Government Series Class A	A	Dividend	J	T					
80. -Calvert Large Cap Core	A	Dividend	J	T					
81. -Templeton Developing	A	Dividend	J	T					
82. -Templeton Foreign Fund	A	Dividend	J	T					
83.									
84. Lincoln Financial Group (403(b))									
85. -LVIP UBS Global Asset Allocation	A	Dividend	K	T					

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86. -LVIP Delaware Social Awareness	A	Dividend	K	T					
87.									
88. Bank of America (accounts)	A	Interest	L	T					
89.									
90. Sterling Bank & Trust (accounts)	A	Interest	M	T					
91.									
92. Ally Bank (account)	A	Interest	M	T					
93.									
94. Trust #16 (Trustee only) (No ownership)									
95. -Bank of America (account)	A	Interest	J	T					
96. -Sterling Bank & Trust (account)	A	Interest	J	T					
97. -Cathay Bank (account)	A	Interest	J	T					
98. -Limited Partnership #2 ()									
99. (26.69% Interest)									
100. --Commercial Property #2 (San Francisco, CA)	A	Rent	J	U					
101. --Bank of America (accounts)	A	Interest	J	T					
102. --Cathay Bank (account)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103. --First Republic Bank (account)	A	Interest	J	T					
104. --Sterling Bank & Trust (account)	A	Interest	J	T					
105.									
106. Limited Liability Co. #4 [REDACTED]									
107. --Bank of America (account)	A	Interest	L	T					
108. --Limited Partnership #1 [REDACTED]									
109. (Limited Liability Co. #4 owns 1% Interest)									
110. --Limited Liability Co. #5 [REDACTED], [REDACTED] (Limited									
111. Partnership #1 owes 25% Interest)									
112.									
113. --Bank of America (accounts)	A	Interest	J	T					
114. --First Republic Bank (account)	A	Interest	J	T					
115. --Sterling Bank & Trust (account)	A	Interest	J	T					
116. --Commercial Property #1 (San Francisco, CA)	B	Rent	J	U					
117. --Limited Partnership #2 [REDACTED]									
118. (Limited Liability Co. #4 owns 1% Interest)									
119. --Commercial Property #2 (San Francisco, CA)	D	Rent	K	U					

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120. --Bank of America (accounts)	A	Interest	J	T					
121. --Cathay Bank (account)	A	Interest	J	T					
122. --First Republic Bank (account)	A	Interest	J	T					
123. --Sterling Bank & Trust (account)	A	Rent	J	T					
124. --Limited Partnership #5 ()									
125. (LLC #4 owns 1% Interest)									
126. --Commercial Property #4 (Sacramento, CA)	C	Rent	J	U					
127. --Bank of America (accounts)	A	Interest	J	T					
128. --First Republic Bank (account)	A	Interest	J	T					
129. --East-West Bank (account)	A	Interest	J	T					
130. --Sterling Bank & Trust (account)	A	Interest	J	T					
131. --Limited Liability Company #2 () (Limited									
132. Partnership #5 owns 25% Interest)									
133. --Commercial Property #8 (San Rafael, CA)	A	Rent	J	U					
134. --Bank of America (account)	A	Interest	J	T					
135. --Sterling Bank & Trust (account)	A	Interest	J	T					
136. --East-West Bank (account)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --First Republic Bank (account)	A	Interest	J	T					
138. --Cathay Bank (account)	A	Interest	J	T					
139.									
140. Limited Liability Co. #6 () (50.5%)									
141. --Bank of America (account)	B	Interest	L	T					
142. --First Republic Bank (account)	A	Interest	K	T					
143. --Sterling Bank & Trust (account)	A	Interest	L	T					
144. --Cathay Bank (account)	A	Interest	J	T					
145. --Condominium #5 (Maui, HI)	E	Rent	M	U					
146. --Commercial Condominium (San Francisco, CA)	G	Rent	P1	U					
147. --Commercial Property #12 (San Rafael)	D	Rent	N	U					
148. --Condominium #6 (Maui, HI)	D	Rent	L	U					
149. --Cathay Bank (account) (Erroneously included twice in 2018 Report)									
150. --Commercial Property #13 (Novato, CA)	D	Rent	N	U	Buy	3/20/18	O	A	Joel Schneider and
151.									Francesca Aragon
152. --Commercial Property #14 (Goleta, CA)	A	Rent	N	U	Buy	11/9/18	N	A	The Parsons Family
153.									Living Trust

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155. Limited Liability Co. #7 (), (Manager only)									
156. (No ownership)									
157. --Commercial Property #8 (Sacramento, CA)	A	Rent	J	U					
158. --Bank of America (accounts)	A	Interest	J	T					
159. --Cathay Bank (account)	A	Interest	J	T					
160.									
161. BST Hospitality, LLC	A	Dividend	L	U					
162.									
163. Trust #17									
164. --Commercial Property #11 (Petaluma, CA)	F	Rent	N	U					
165. --Bank of America (account)	A	Interest	M	T					
166. --Sterling Bank & Trust (account)	A	Interest	K	T					
167.									
168. Trust #18 (Trustee only) (No ownership interest)									
169. --East-West Bank (account)	A	Interest	J	T					
170. --Sterling Bank & Trust (account)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Cathay Bank (account)	A	Interest	J	T					
172. --First Republic Bank	A	Interest	J	T	Closed	05/01/18	M	A	
173. --Bank of America	A	Interest	J						
174.									
175. Trust #19									
176. --Bank of America (account)	A	Interest	L	T					
177. --Limited Liability Co. #1 (), (77% Interest)									
178. --Commercial Building #9 (San Francisco, CA)	G	Rent	O	U					
179. --Bank of America (accounts)	C	Interest	N	T					
180. --First Republic Bank (account)	B	Interest	L	T					
181. --Sterling Bank & Trust (account)	B	Interest	K	T					
182. --East-West Bank (account)	B	Interest	L	T	Closed	04/12/18	N	A	
183. --Condominium #2 (Maui, HI)	E	Rent	K	U					
184. --Condominium #3 (Santa Barbara, CA)	E	Rent	K	U					
185. --Commercial Condominium (San Francisco, CA)	G	Rent	M	U					
186.									
187. Trust #20 (Trustee)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Bank of America (account)	A	Int./Div.	M	T					
189. --Limited Liability Co. #1 (), (22% Interest)									
190. --Commercial Building #9 (San Francisco, CA)	G	Rent	O	U					
191. --Bank of America (accounts)	C	Interest	L	T					
192. --First Republic Bank (account)	B	Interest	K	T					
193. --Sterling Bank & Trust (account)	B	Interest	K	T					
194. --East-West Bank (account)	B	Interest	L	T					
195. --Condominium #2 (Maui, HI)	D	Rent	K	U					
196. --Condominium #3 (Santa Barbara, CA)	D	Rent	K	U					
197. --Limited Partnership #3 (49.5% Interest)									
198. --Commercial Property #3 (San Francisco, CA)	D	Rent	M	U					
199. --Bank of America (accounts)	A	Interest	J	T					
200. --First Republic Bank (account)	A	Interest	J	T					
201. --Condominium #1 (Kohala Coast, HI)	A	Rent	M	U					
202. --El Dorado Hospitality, LLC	A	Dividend	J	U					
203. --Sterling Bank & Trust (account)	A	Interest	J	T					
204. --Cathay Bank (account)	A	Interest	J	T					

1 Income Gain Codes:	A --\$1,000 or less	B --\$1,001 - \$2,500	C --\$2,501 - \$5,000	D --\$5,001 - \$15,000	E --\$15,001 - \$50,000
(See Columns B1 and D4)	F --\$50,001 - \$100,000	G --\$100,001 - \$1,000,000	H1 --\$1,000,001 - \$5,000,000	H2 --More than \$5,000,000	
2 Value Codes	J --\$15,000 or less	K --\$15,001 - \$50,000	L --\$50,001 - \$100,000	M --\$100,001 - \$250,000	
(See Columns C1 and D3)	N --\$250,001 - \$500,000	O --\$500,001 - \$1,000,000	P1 --\$1,000,001 - \$5,000,000	P2 --\$5,000,001 - \$25,000,000	
	P3 --\$25,000,001 - \$50,000,000		P4 --More than \$50,000,000		
3 Value Method Codes	Q --Appraisal	R --Cost (Real Estate Only)	S --Assessment	T --Cash Market	
(See Column C2)	U --Book Value	V --Other	W --Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Limited Liability Co. #5 (Limited Partnership #1)									
206. owns 25% Interest)									
207. --Bank of America (accounts)	A	Interest	K	T					
208. --First Republic Bank (account)	A	Interest	J	T					
209. --Sterling Bank & Trust (account)	A	Interest	J	T					
210. --Commercial Property #1 (San Francisco, CA)	G	Rent	N	U					
211. --Limited Liability Co. #6 (49.5% Interest)									
212. --Provident Credit Union (account)	A	Interest	J	T					
213. --Bank of America (account)	B	Interest	L	T					
214. --First Republic Bank (account)	A	Interest	K	T					
215. --Sterling Bank & Trust (account)	A	Interest	L	T					
216. --Condominium #5 (Maui, HI)	D	Rent	M	U					
217. --Commercial Condominium (San Francisco, CA)	G	Rent	O	U					
218. --Sterling Bank & Trust (account)	A	Interest	L	T					
219. --Commercial Property #12 (San Rafael)	D	Rent	N	U					
220. --Condominium #6 (Maui, HI)	D	Rent	L	U					
221. --Cathay Bank (account)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Chen, Edward M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. --Limited Liability Co. #3 (26% Interest)									
223. --Commercial Property #7 (Sacramento, CA)	E	Rent	L	U					
224. --Sterling Bank & Trust (account)	A	Interest	K	T					
225. --First Republic Bank (accounts)	A	Interest	K	T					
226. --Bank of America (accounts)	A	Interest	K	T					
227. --East West Bank (accounts)	A	Interest	K	T					
228. --Cathay Bank (accounts)	A	Interest	K	T					
229.									
230. Limited Liability Co. #8 (Manager only)	A	Dividend	J	U	Open	03/20/18	J		
231. --Bank of America (accunts)	A	Interest	J	U	Open	03/20/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Values and income are based on pro-rata interests owned by filer and [REDACTED] (or in which filer [REDACTED] has a beneficial interest).

AMENDED REPORT Correcton/Clarification: LLC #4 holds an interest in each of the following entities: LP #1, LP #2, LP #5, as well as the Bank of America cash account. LP #1 holds an interest in LLC #5 with the assets held listged below that line up until the next entity listed, LP #2. The double dash is intended to assist with this reporting.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edward M. Chen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544