

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Foschio, Leslie G.	2. Court or Organization U.S. District - WDNY	3. Date of Report 04/18/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Recall	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 2 Niagara Square Room 724 Buffalo, NY 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Theodore Roosevelt Inaugural National Historic Site Foundation(Buffalo) (uncompensated)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/18-12/18	The Principal - Company Sponsored Pension	\$8,040.00
2. 1/18-12/18	NY State - Employee Retirement System - Vested Pension Plan	\$7,246.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD Ameritrade - IRA			N	T					
2. -Advisor Inner Circle - CIPMX	A	Dividend	J	T	Buy (add'l)	06/05/18	J		
3. -Eventide Gilead Fund	A	Dividend	J	T					
4. -3m	A	Dividend	J	T	Buy	06/05/18	J		
5. -Alphabet Inc	A	Dividend	J	T	Buy	06/05/18	J		
6. -Apple	A	Dividend	J	T					
7. -Archer Daniels Midland	A	Dividend	J	T	Buy	06/05/18	J		
8. -BRiley Financial	A	Dividend	J	T					
9. -Brighthouse	A	Dividend	J	T	Buy	06/05/18	J		
10. -CenturyLink	A	Dividend	J	T	Buy	06/05/18	J		
11. -Cleveland Biolabs		None	J	T					
12. -CVS	A	Dividend	J	T	Buy	06/05/18	J		
13. -Delta	A	Dividend	J	T	Buy	06/05/18	J		
14. -Dollar General	A	Dividend	J	T					
15. -Ford Motor	A	Dividend	J	T					
16. -General Electric	A	Dividend	J	T	Buy (add'l)	06/05/18	J		
17. -General Mills	A	Dividend	J	T	Buy	06/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -General Motors	A	Dividend	J	T					
19. -Investco Mortgage	A	Dividend	J	T					
20. -iShares 1-3 Year Credit	A	Dividend			Sold	10/22/18	J	A	
21. -iShares faln angels	A	Dividend	J	T	Buy	06/05/18	J		
22. -iShares 0-5yr hi yl	A	Dividend	J	T	Buy	06/05/18	J		
23. -SPDR Short etf	A	Dividend	J	T	Buy	06/05/18	J		
24. -Jetblue	A	Dividend	J	T	Buy (add'l)	06/05/18	J		
25. -Kellogg	A	Dividend	J	T	Buy	06/05/18	J		
26. -Kimberly Clark	A	Dividend	J	T	Buy	06/05/18	J		
27. -Metlife Pfd	A	Dividend	J	T	Buy	05/30/18	J		
28. -Microsoft	A	Dividend	J	T					
29. -Paypal		None			Sold	02/21/18	J	D	
30. -Pennymac Mtg	A	Dividend	J	T					
31. -Pepsi	A	Dividend	J	T	Buy	06/05/18	J		
32. -Procter & Gamble	A	Dividend	J	T	Buy (add'l)	06/05/18	J		
33. -Sirius	A	Dividend	J	T	Buy	06/05/18	J		
34. -Southwest	A	Dividend	J	T	Buy	06/05/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Stericycle	A	Dividend	J	T	Buy	06/05/18	J		
36. -Tjx	A	Dividend			Buy	06/05/18	J		
37.					Sold	09/06/18	J	B	
38. -Tower International	A	Dividend			Sold	01/11/18	J	A	
39. -Tyson Foods	A	Dividend	J	T	Buy	06/05/18	J		
40. -Walmart	A	Dividend	J	T					
41. -Anixter	A	Interest	J	T	Buy	04/23/18	J		
42. -Arcelormittal 3/01/21 Bond	A	Interest	J	T					
43. -Avenet Inc 12/01/21	A	Interest	J	T					
44. -Block Financial 11/01/22 Bond	A	Interest	J	T					
45. -Dun & Bradstreet 6/15/20	A	Interest	J	T	Buy	04/23/18	J		
46. -Equifax 7/1/28	A	Interest	J	T	Buy	02/23/18	J		
47. -Ford Motor 10/1/28	A	Interest	J	T	Buy	02/28/18	J		
48. -Freeport-McMoran 11/14/21 Bond	A	Interest	J	T					
49. -FS KKR 5/15/22	A	Interest	J	T	Buy	04/23/18	J		
50. -General Electric 12/31/19 Bond	A	Interest	J	T					
51. -Goodyear 3/15/27	A	Interest	J	T	Buy	02/23/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Huntsman Intl 11/15/20	A	Interest	J	T	Buy	04/23/18	J		
53. -International Game Tech 6/15/20	A	Interest			Buy	04/23/18	J		
54.					Sold	10/22/18	J	A	
55. -jp Morgan 12/31/2199 Bond 48126hac4	A	Interest	J	T					
56. -JP Morgan 12/31/2199 Bond 48127faa1	A	Interest	J	T					
57. -Newell Brands 4/1/23	A	Interest	J	T	Buy	02/23/18	J		
58. -Nordstrom 3/15/28	A	Interest	J	T	Buy	02/23/18	J		
59. -Omega Health 4/1/27	A	Interest	J	T	Buy	02/23/18	J		
60. -Pennant Inv 10/01/19 Bond	A	Interest	J	T					
61. -Petrobras Glbl 1/17/22	A	Interest	J	T	Buy	04/23/18	J		
62. -Petrobras Glbl 02/23/18	A	Interest	J	T	Buy	02/23/18	J		
63. -Popular 7/1/19 Bonds	A	Interest			Sold	10/15/18	J	A	
64. -SAIC 12/1/20	A	Interest	J	T	Buy	04/23/18	J		
65. -Signet UK 6/15/24	A	Interest	J	T	Buy	02/23/18	J		
66. -Teva Pharma 10/01/26	A	Interest	J	T	Buy	02/23/18	J		
67. -The ADT Corp 10/15/21 Bonds	A	Interest	J	T	Buy (add'l)	04/23/18	J		
68. -Toyota 12/29/20	A	Interest	J	T	Buy	06/28/18	J		

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69. -Transocean 11/15/20 Bonds	A	Interest	J	T					
70. -Tupperware 6/1/21	A	Interest	J	T	Buy	04/23/18	J		
71. -Under Armour 6/15/26	A	Interest	J	T	Buy	02/23/18	J		
72. -Valley National Bank 09/27/23	A	Interest	J	T	Buy	02/23/18	J		
73. -Washington Post 02/01/19 Bond	A	Interest			Sold	06/29/18	J	A	
74. -Wells Fargo 12/31/2199	A	Interest	J	T					
75. -Wyndham 3/1/22	A	Interest	J	T	Buy	04/23/18	J		
76. -Wyndham 3/1/23	A	Interest	J	T	Buy	02/23/18	J		
77. -Xerox 3/17/22	A	Interest	J	T	Buy	04/23/18	J		
78. -XLIT 3/31/25	A	Interest	J	T	Buy	02/23/18	J		
79.									
80. First Great West Life Insurance - Whole Life		None	M	T					
81.									
82.									
83.									
84.									
85.									

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86.									
87.									
88.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Assets in the Security Benefit IRA on Lines 39-48 were sold and that account was transferred to the TD Ameritrade IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Leslie G. Foschio

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544