

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HODGES, GEORGE R.	2. Court or Organization U.S. BANKRUPTCY COURT	3. Date of Report 08/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, RETIRED/RECALLED	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address UNITED STATES COURTHOUSE 401 WEST TRADE STREET CHARLOTTE, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Inter Vivos Life Insurance Trust 11/22/89 (no reportable holdings)
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Nationwide Ins. Co.	Triangle Properties Assoc. LLC secured by shopping center in Hickory, NC	PI
2.	Nationwide Ins. Co.	Note by JM Wallace Land Co. secured by shopping center in Iredell County, NC	PI
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BB&T (cash)	A	Interest	N	T					88, 125
2. John Hancock Whole Life Insurance Policy		None	M	T					124
3. NY Life Fixed Annuity	D	Interest	M	T					127
4. JM Wallace Property Investments, LLC (X)		None	P1	U					
5. Triangle Properties Assoc., LLC	G	Distribution	N	U					98
6. J.M. Wallace Land Co., LLC	G	Distribution	N	U					99
7. The Mason Wallace Co., LLC	G	Distribution	N	U					101
8. East Mecklenburg Co.	G	Distribution	O	U					100
9. Indian Land, SC Investment Real Estate		None	N	W					102
10. TRUST ACCT #1 (H)									
11. INTER VIVOS TRUST Dtd 11-22-89 (Y)									123
12. IRA #1 (H)									
13. IRA - BB&T (cash) (f/k/a variable rate time investment)	A	Dividend	K	T					87
14. BROKERAGE #1 (H)									
15. BOA/ML BANK DEPOSIT (cash)	B	Interest	O	T					2, 13
16. ALTRIA GROUP INC (MO)	C	Dividend	K	T					6
17. BERKSHIRE HATHAWAY B (BRKB)		None	M	T					18

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. BRISTOL-MYERS SQUIBB CO (BMY)	B	Dividend	L	T					3
19. CISCO SYSTEMS INC COM (CSCO)	D	Dividend	M	T					34
20. COCA COLA COM (KO)	A	Dividend	K	T					7
21. CSX CORP (CSX)	B	Dividend	M	T					15
22. DUKE ENERGY CORP NEW (DUK)	A	Dividend	K	T					10
23. EMERSON ELEC CO (EMR)	B	Dividend	L	T					35
24. ENBRIDGE INC (ENB)	C	Dividend	L	T					83
25. INTEL CORP (INTC)	B	Dividend	L	T					79
26. INTL BUSINESS MACHINES (IBM)	B	Dividend	K	T					16
27. JOHNSON AND JOHNSON COM (JNJ)	C	Dividend	L	T					20
28. KINDER MORGAN INC. DEL (KMI)	B	Dividend	K	T					82
29. LINCOLN NTL CORP IND NPV (LNC)	B	Dividend	L	T					5
30. MERCK AND CO INC (MRK)	C	Dividend	M	T					8
31. MICROSOFT CORP (MSFT)	D	Dividend	O	T					9
32. NUCOR CORPORATION (NUE)	B	Dividend	L	T					36
33. ORACLE CORP \$0.01 DEL (ORCL)	B	Distribution	M	T					4
34. PHILIP MORRIS INTL INC (PM)	C	Dividend	L	T					12

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. SONOCO PRODUCTS CO (SON)	C	Dividend	M	T					1
36. UNITED TECHS CORP (UTX)	B	Dividend	K	T					81
37. AMERICAN BALANCED FUND INC CL A (ABALX)	D	Dividend	M	T					21
38. AMERICAN FUNDAMENTAL INVESTORS INC CL A (ANCFX)	D	Dividend	M	T					22
39. FIRST EAGLE OVERSEAS FD CL A (SGOVX)	C	Dividend	L	T					23
40. FIRST EAGLE GLOBAL FD CL A (SGENX)	D	Dividend	M	T					24
41. JP MORGAN INCOME BUILDER FD CL C (JNBCX)	B	Dividend	L	T					25
42. IRA #2 (H)									
43. Pacific Index Choice 8 Fixed Index Annuity (X)		None	K	T					
44. TRUST ACCT #2 (H)									
45. Merrill Lynch CMA (cash)	D	Interest	O	T					89
46. AT&T (T)	D	Dividend	M	T					106
47. Baxter Int'l. (BAX)	B	Dividend	M	T					112
48. Bristol Myers Squibb (BMY)	D	Dividend	M	T					113
49. Caterpillar Inc. (CAT)	C	Dividend	M	T					129
50. Chevron Corp. (CVX)	D	Dividend	M	T					109
51. Cigna Corp (CI)		None	J	T					

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52. Coca Cola Co. (KO)	D	Dividend	N	T					90
53. Conoco Phillips (COP)	C	Dividend	M	T					111
54. CSX Corp. (CSX)	A	Dividend	L	T					130
55. Dominion Energy (D)	D	Dividend	M	T					114
56. Duke Energy Corp. (DUK)	D	Dividend	M	T					91
57. Emerson Electric (EMR)	B	Dividend	K	T					117
58. Enbridge (ENB)	D	Dividend	L	T					116
59. Express Scripts Hldg (ESRX)		None			Merged (with line 51)	12/24/18	K	E	110
60. Exxon/Mobil Corp. (XOM)	E	Dividend	O	T					92
61. General Electric Co. (GE)	C	Dividend	L	T					93
62. Intel (INTC)	B	Dividend	L	T					131
63. IBM (IBM)	C	Dividend	L	T					118
64. International Paper Co. (IP)	C	Dividend	L	T					94
65. Johnson & Johnson (JNJ)	A	Dividend	K	T					132
66. KinderMorgan (KMI)	B	Dividend	K	T					134
67. Lockheed Martin (LMT)	D	Dividend	N	T					120
68. Lowes Cos. (LOW)	D	Dividend	N	T					121

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69. McDonalds Corp. (MCD)	D	Dividend	N	T					104
70. Merck Co. (MRK)	D	Dividend	N	T					97
71. Microsoft (MSFT)	D	Dividend	O	T					103
72. Nucor Corp. (NUE)	B	Dividend	L	T					119
73. Pepsico (PEP)	D	Dividend	M	T					122
74. Pfizer (PFE)	D	Dividend	N	T					108
75. Phillips 66 (PSX)	C	Dividend	M	T					107
76. Price T. Rowe Grp. (TROW)	B	Dividend	K	T					135
77. Schlumberger, Ltd. (SLB)	B	Dividend	K	T					96
78. Sonoco Products (SON)	D	Dividend	M	T					95
79. United Techs Corp. (UTX)	A	Dividend	K	T					133
80. Verizon Comm. (VZ)	D	Dividend	M	T					105
81. Fst. Eagle Global FD (SGIIX)	E	Dividend			Sold	12/26/18	N		128
82. iShares MSCI ACWI ETF (ACWI)		None	N	T	Buy	12/26/18	N		
83. TRUST ACCT #3 (H)									
84. BANK OF AMERICA/ML BANK DEPOSIT (CASH)	A	Interest	M	T					139
85. ABBOTT LABS (ABT)		None			Buy	11/12/18	J		

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86.					Sold	12/28/18	J		
87. ACCENTURE PLC (ACN)	A	Dividend			Buy	03/27/18	J		
88.					Sold	12/26/18	J		
89. ACTIVISION BLIZZARD (ATVI)		None			Buy	11/12/18	J		
90.					Sold	12/26/18	J		
91. AES CORP (AES)	A	Dividend	J	T	Buy	01/23/18	J		
92. AIA GROUP LTD (AAGIY)	A	Dividend	K	T	Sold (part)	11/13/18	J	B	141
93. AIRBUS SE (EADSY)	A	Dividend	K	T					142
94. ALPHABET INC SHS CL C (GOOG)		None	J	T					144
95. AMADEUS IT GROUP SA (AMADY)	A	Dividend			Sold	05/18/18	J	D	145
96. AMAZON COM INC (AMZN)		None	K	T					146
97. AMERICAN TOWER REIT INC (AMT)	A	Dividend	J	T					147
98. AON PLC (AON)	A	Dividend			Buy	09/27/18	J		
99.					Sold	12/26/18	J		
100. APPLE INC (AAPL)	A	Dividend	K	T					148
101. ASAHI KASEI CORP (AHKSY)	A	Dividend	J	T					149
102. ASML HLDG NV NY REG SHS (ASML)	A	Dividend	K	T					150

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103. ASSA ABLOY AB ADR (ASAZY)		None			Sold	01/26/18	J	A	151
104. ASTRAZENCA PLC SPND ADR (AZN)	A	Dividend	K	T					152
105. BANK NEW YORK MELLON (BK)	A	Dividend	J	T	Buy (add'l)	01/26/18	J		153
106.					Sold (part)	12/26/18	J		
107. BNP PARIBAS SPONSORD ADR (BNPQY)		None			Sold	05/18/18	J	C	154
108. BOEING COMPANY (BA)	A	Dividend	J	T	Sold (part)	06/07/18	J	D	155
109. BRITISH AMN TOBACO SPADR (BTI)	A	Dividend			Buy (add'l)	05/17/18	J		156
110.					Sold	12/19/18	J		
111. BROADCOM LTD (AVGO)	A	Dividend	J	T	Buy (add'l)	07/25/18	J		157
112.					Buy (add'l)	09/27/18	J		
113.					Sold (part)	11/12/18	J	B	
114.					Sold (part)	12/26/18	J		
115. CARLSBERG AS SPONSOREDAD (CABGY)	A	Dividend	K	T					158
116. CERNER CORP (CERN)		None			Sold	02/27/18	K	B	159
117. CHARTER COMM (CHTR)		None			Buy	11/12/18	J		
118.					Sold	12/26/18	J		
119. CHEVRON CORP (CVX)	A	Dividend	K	T					160

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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HODGES, GEORGE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. CME GROUP INC (CME)	B	Dividend	K	T	Sold (part)	11/12/18	J	C	161
121. COMCAST CORP NEW CL A (CMCSA)	A	Dividend	J	T					163
122. COSTCO WHOLESALE (COST)	A	Dividend			Buy	07/25/18	J		
123.					Sold	12/26/18	J		
124. CROWN CASTLE REIT INC (CCI)	A	Dividend	J	T					164
125. CSX CORP (CSX)	A	Dividend	J	T					165
126. DANAHER CORP DEL (DHR)	A	Dividend	J	T					166
127. DANONE-SPONS (DANOY)	A	Dividend	J	T					167
128. DNB ASA SP (DNHBY)	A	Dividend			Buy	02/28/18	J		
129.					Sold	12/26/18	J		
130. DEERE CO (DE)	A	Dividend	J	T	Buy (add'l)	01/26/18	J		168
131.					Sold (part)	12/26/18	J		
132. DIAGEO PLC (DEO)		None	J	T	Buy	11/12/18	J		
133.					Buy (add'l)	11/13/18	J		
134. DOLLAR GENERAL CORP (DG)	A	Dividend			Sold	05/17/18	J	B	169
135. DOWDUPONT INC COM (DWDPT)		None			Sold	01/26/18	J	C	171
136. EATON CORP (ETN)	A	Dividend			Buy	06/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	08/21/18	J	A	
138. ENBRIDGE INC (ENB)	B	Dividend			Buy (add'l)	03/27/18	J		174
139.					Sold (part)	07/25/18	K		
140.					Sold (part)	07/26/18	J	A	
141.					Sold	12/26/18	J		
142. EOG RESOURCES INC (EOG)	A	Dividend	J	T					175
143. EQUIFAX INC (EFX)	A	Dividend			Buy	01/26/18	J		
144.					Sold	12/26/18	J		
145. ERICSSON AMERICAN (ERIC)		None	J	T	Buy	05/17/18	J		
146.					Buy (add'l)	05/18/18	J		
147. ESSILOR INTL SA (ESLOY)	A	Dividend	J	T	Buy (add'l)	12/20/18	J		176
148. FACEBOOK INC (FB)		None			Buy	08/21/18	J		
149.					Sold	12/26/18	J		
150. FANUC LTD (FANUY)	A	Dividend			Sold (part)	01/29/18	J	D	178
151.					Sold	03/28/18	J		
152. GENMAB A/S (GMXAY)		None	J	T	Buy	12/20/18	J		
153. GILEAD SCIENCES (GILD)	A	Dividend			Buy	01/23/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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HODGES, GEORGE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	12/26/18	J		
155. GODADDY INC SHS (GDDY)		None	K	T	Sold (part)	09/27/18	J	C	183
156. HDFC BANK LTD (HDB)	A	Dividend	J	T					186
157. HEXCEL CORP (HXL)		None			Sold	01/26/18	J	D	187
158. HILTON WORLDWIDE HOLDINGS (HLT)	A	Dividend	K	T					188
159. INCYTE CORP (INCY)		None			Buy	01/23/18	J		
160.					Buy (add'l)	03/27/18	J		
161.					Sold (part)	11/12/18	J		
162.					Sold	11/13/18	J		
163. INTEL CORP (INTC)	A	Dividend			Buy	01/26/18	J		
164.					Sold	12/26/18	J		
165. INTERCONTINENTAL EXCHANGE (ICE)	A	Dividend			Buy	02/27/18	J		
166.					Sold	12/26/18	J		
167. IMPERIAL BRANDS (IMBBY)	A	Dividend			Buy	01/23/18	J		
168.					Sold	06/07/18	J		
169. JACK HENRY & ASSOC INC (JKHY)	A	Dividend	J	T	Sold (part)	08/21/18	J	C	192
170. JARDINE MATHESON (JMHLY)		None	J	T	Buy	11/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	11/14/18	J		
172. JPMORGAN CHASE & CO (JPM)	A	Dividend	K	T					193
173. KBC GROUPE SA SHS (KBCSY)	A	Dividend			Buy (add'l)	01/29/18	J		194
174.					Sold (part)	07/26/18	J	A	
175.					Sold	11/14/18	J		
176. KEYENCE CORP 6861 (KYCCF)	A	Dividend	J	T	Sold (part)	01/29/18	J	C	195
177. LOREAL CO (LRLCY)	A	Dividend	K	T					196
178. LAS VEGAS SANDS CORP (LVS)	B	Dividend	J	T	Sold (part)	12/26/18	J		197
179. LVMH MOET HENNESSY ADR (LVMUY)	A	Dividend	J	T					198
180. MARSH & MCLENNAN COS INC (MMC)	A	Dividend			Sold	12/26/18	J		199
181. MICROSOFT CORP (MSFT)	A	Dividend	J	T					200
182. MONDELEZ INTERNATIONAL (MDLZ)	A	Dividend			Buy	02/27/18	J		
183.					Sold	12/26/18	J		
184. MONSANTO CO NEW DEL (MON)	A	Dividend			Sold	02/27/18	J	B	201
185. MOODY'S CORP (MCO)	A	Dividend	J	T					202
186. MURATA MFG CO (MRAAY)	A	Dividend			Buy	01/23/18	J		
187.					Sold	12/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. NASPERS LTD SHS (NPSNY)	A	Dividend	J	T					204
189. NESTLE S A (NSRGY)	A	Dividend	K	T					205
190. NEWELL BRANDS (NWL)	A	Dividend			Buy	01/23/18	J		
191.					Sold	03/27/18	J		
192.					Buy	05/17/18	J		
193.					Buy (add'l)	05/18/18	J		
194.					Sold	09/27/18	J		
195. NORTHRUP GRUMMAN (NVO)	A	Dividend			Buy	08/21/18	J		
196.					Sold	12/26/18	J		
197. NORWEGIAN CRUISE LINE (NCLH)		None			Sold	02/27/18	J	C	209
198. NOVO NORDISK A S ADR (NVO)	A	Dividend	K	T					210
199. NUTRIEN LTD (NTR)	A	Dividend	J	T					1
200. OCCIDENTAL PETE CORP CAL (OXY)	A	Dividend			Sold	02/27/18	K	A	212
201. PERNOD-RICARD SA (PDRDY)	A	Dividend	K	T					213
202. PHILIP MORRIS (PM)	A	Dividend			Buy	02/27/18	J		
203.					Sold	12/26/18	J		
204. POTASH CORP SASKATCHEWAN (POT)	A	Dividend			Merged (with line 199)	01/02/18	J		214

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. QUALCOMM INC (QCOM)	A	Dividend			Sold (part)	09/27/18	J	C	215
206.					Sold	11/12/18	J	A	
207. RECKITT BENCKISER GROUP (RBGLY)	A	Dividend	J	T	Buy (add'l)	12/20/18	J		217
208.					Sold (part)	12/26/18	J		
209. ROCHE HLDG LTD (RHHBY)		None			Buy (add'l)	01/23/18	J		220
210.					Sold	02/28/18	K		
211. ROYAL CARIBBEAN CRUISES (RCL)	A	Dividend			Sold (part)	05/17/18	J	A	221
212.					Sold	05/18/18	J	B	
213. ROYAL DUTCH SHEL PLC (RDSB)	B	Dividend	K	T					222
214. RYANAIR HOLDINGS (RYAAY)		None			Buy	01/26/18	J		
215.					Buy (add'l)	01/29/18	J		
216.					Sold (part)	11/12/18	J		
217.					Sold	11/13/18	J		
218. SAFRAN SA (SAFRY)	A	Dividend	K	T	Sold (part)	07/26/18	J	C	223
219.					Buy (add'l)	11/13/18	J		
220.					Sold (part)	12/26/18	J		
221. SAMPO PLC (SAXPY)	A	Dividend	J	T	Sold (part)	12/26/18	J		224

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. SAP SE (SAP)	A	Dividend	J	T					225
223. SCHLUMBERGER LTD (SLB)	A	Dividend			Buy (add'l)	01/26/18	J		226
224.					Buy (add'l)	09/27/18	J		
225.					Sold (part)	11/12/18	J		
226.					Sold	12/26/18	J		
227. SEATTLE GENETICS (SGEN)		None			Buy	01/23/18	J		
228.					Sold	12/26/18	J		
229. SEMPRA ENERGY (SRE)	A	Dividend	J	T					229
230. SEVEN AND I HOLDINGS CO (SVNDY)		None			Sold	01/29/18	J	A	230
231. SHIN-ETSU CHEM UNSPON (SHECY)	A	Dividend			Buy	03/28/18	J		
232.					Buy (add'l)	07/26/18	J		
233.					Sold	11/13/18	J		
234.					Buy	12/20/18	J		
235.					Sold	12/26/18	J		
236. SMC CORP (SMECF)	A	Dividend	J	T	Sold (part)	01/29/18	J	C	233
237. SOFTBANK GROUP CORP (SFTBY)	A	Dividend	J	T	Sold (part)	12/20/18	J	B	234
238. STARBUCKS CORP (SBUX)	A	Dividend			Buy	01/23/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	07/25/18	J		
240. STATE STREET CORP (STT)	A	Dividend			Buy	02/27/18	J		
241.					Sold	12/26/18	J		
242. TAIWAN S MANUFCTRING ADR (TSM)	B	Dividend	K	T	Buy (add'l)	07/25/18	J		239
243.					Sold (part)	11/12/18	J	C	
244.					Sold (part)	12/26/18	J		
245. UNITEDHEALTH GROUP (UNH)	A	Dividend			Buy	01/26/18	J		
246.					Sold	12/26/18	J		
247. VISA INC CL A SHRS (V)	A	Dividend	K	T					243
248. VODAFONE GROUP PLC SHS (VOD)	A	Dividend			Sold	09/27/18	J		244
249. VTECH HOLDINGS LTD SHS (VTKLY)	A	Dividend			Sold	12/26/18	J		245
250. WABTEC (WAB)	A	Dividend			Buy	09/27/18	J		
251.					Sold	12/26/18	J		
252. WELLS FARGO & CO NEW DEL (WFC)	A	Dividend			Sold	11/12/18	J		247
253. YUM BRANDS (YUM)	A	Dividend	J	T	Buy	06/07/18	J		
254. ISHARES RUSSELL 1000 (IWB)		None	M	T	Sold	01/23/18	K	C	250
255.					Buy	12/26/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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HODGES, GEORGE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. ISHARES TR CORE MSCI EAF (IEFA)		None	M	T	Sold	01/23/18	K	C	251
257.					Buy	12/26/18	L		
258. TRUST ACCT #4 (H)									
259. BANK OF AMER/ML BANK DEPOSIT (CASH)	A	Interest	J	T					137
260. WINSTON-SALEM NC WTR & SWR SYS REV REV REF BDS ()	A	Interest			Buy	02/07/18	J		
261.					Sold	09/26/18	J		
262. CAPE FEAR PUB UTIL AUTH N C WTR & SWR SYS REV ()	A	Interest			Sold	10/03/18	K		137
263. VIRGINIA ST RES AUTH INFRA REV REV A ST GTD ()	A	Interest			Sold	10/19/18	K		137
264. NC MPA NO 1 CATAWBA ELEC REV REF BDS A ()	A	Interest	J	T					137
265. NORTH CAROLINA ST GRANT ANTIC REV VEHICLE ()	A	Interest	K	T					137
266. NORTH CAROLINA ST LTD OBLIG SER C RF ()	A	Interest	K	T					137
267. SAN ANTONIO TEX WTR REV SER A RF SEP12 ()	A	Interest	K	T	Buy	02/13/18	K		
268. NORTH CAROLINA MCC HCF REV REV REF BDS DUKE A ()	A	Interest			Sold	05/24/18	J		137
269. OHIO ST WTR DEV AUTH WTR POLLUTN CTL REV LN FD A ()	A	Interest	K	T					137
270. SALT RIV PJ AGRIC IPD AZ REV BDS SER A ()	A	Interest	K	T					137
271. NEW YORK ST URBAN DEV CORP REV INCOME TAX A-1 ()	A	Interest	K	T	Buy	01/22/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
272. CHARLOTTE NC WTR & SWR SYS REV REF BDS 2015 ()	A	Interest	K	T	Buy	01/31/18	K		
273. PHOENIX ARIZ CIVIC IMPT CORP ARPT REV JR LIEN D ()	A	Interest	K	T					137
274. MINNESOTA PUB FACS AUTH ST REVOLVING FD REV A ()	A	Interest	K	T					137
275. RALEIGH NC COMB ENTERPRISE SYS REV REV B ()	A	Interest	K	T					137
276. FLORIDA ST DEPT TRANSN-RIGHT- OF-WAY B RF ()	A	Interest	K	T					137
277. METROPOLITAN TRANSN AUTH N Y REV REV REF BDS F ()	A	Interest	K	T					137
278. VIRGINIA CBA VA ED FCS REV 21T REF BDS SER E ()	A	Interest	K	T					137
279. NORTH CAROLINA ST LTD OBLIG SER B RF ()	A	Interest	J	T					137
280. ORLANDO FLA UTILS COMMN UTIL SYS REV BDS SER A ()	A	Interest	K	T					137
281. WISCONSIN ST GO REF BDS SER 3 DEC17 ()	A	Interest	K	T					137
282. NC MPA NO 1 CATAWBA ELEC REV REV REF BDS SER A ()	A	Interest	J	T					137
283. NORTH CAROLINA CAP FACS FIN AGY EDL FACS REV ()	A	Interest	K	T	Buy	01/04/18	K		
284. NORTH CAROLINA ST LTD OBLIG SER B RF ()	A	Interest	K	T					137
285. FLORIDA ST BRD ED PUB ED CAP OUTLAY SER A RF ()	A	Interest	J	T					137
286. WASHINGTON ST MOTOR VEHICLE FUEL TAX GO H ()	A	Interest	K	T	Buy	05/31/18	K		
287. MASSACHUSETTS ST GO CONSOLIDATED LN BDS B ()	A	Interest	J	T	Buy	02/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
288. CHARLOTTE NC WTR & SWR SYS REV REF BDS 2018 ()		None	K	T	Buy	10/19/18	K		
289. UNIVERSITY OKLA REVS GEN REV REF BDS SER A MAY16 ()	A	Interest	K	T	Buy	01/03/18	K		
290. UTAH ST GO BDS 2018 FEB18 ()	A	Interest	K	T	Buy	02/07/18	K		
291. CONNECTICUT ST SPL TAX OBLIG REV TRANSN SER A ()	A	Interest	K	T	Buy	01/25/18	K		
292. NEW YORK NY CY TFA BLG AID REV BDS 3A S ST GTD ()		None	K	T	Buy	10/18/18	K		
293. NEW HAMPSHIRE HEALTH & ED FACS AUTH REV BDS A ()	A	Interest	J	T					137
294. CHARLOTTE-MECKLENBURG HSP NC RV CAROLINAS SER A RF ()	A	Interest			Sold	05/07/18	J		137
295. MINNEAPOLIS MINN HEALTH CARE SYS REV BDS SER A ()	A	Interest	K	T	Buy	10/03/18	K		
296. TRUST ACCT #5 (H)									
297. MERRILL LYNCH BANK DEPOSIT PROGRAM (cash)	A	Interest	J	T					86
298. NORTH CAROLINA ST GRANT ANTIC REV VEHICLE RF ()	A	Interest			Sold	08/10/18	K		86
299. NORTH CAROLINA ST LTD OBLIG SER B RF ()	A	Interest			Sold	09/19/18	K		86
300. NC MPA NO 1 CATAWBA ELEC REV REF BDS A ()	A	Interest	J	T					86
301. OREGON ST DPT ADMIN LOTTERY RV REV BDS SER C ()	B	Interest			Buy	02/08/18	K		
302.					Sold	12/07/18	K		
303. CAPE FEAR PUB UTIL AUTH NC WTR & SWR SYS REV A ()	A	Interest	K	T					86
304. NORTH CAROLINA MCC HCF REV REV REF BDS DUKE ()		None			Sold	05/22/18	K		86

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
305. KANSAS ST DEPT TRANSN HWY REV SER A RF ()	A	Interest	K	T					86
306. NEW YORK ST URBAN DEV CORP REV INC TAX A-1 ()	A	Interest	K	T	Buy	01/22/18	K		
307. TENNESSEE ST GO BDS A ()	A	Interest	K	T					86
308. TALLAHASSEE FLA ENERGY SYS REV REF REV BDS ()	B	Interest	K	T					86
309. RALEIGH NC COMB ENTERPRISE SYS REV A RF ()	A	Interest	K	T					86
310. UNIVERSITY TEX UNIV REVS REV FIN SYS BDS SER J ()	A	Interest	K	T	Buy	02/12/18	K		
311. SUSTAINABLE EGY UTIL INC DEL EGY EFFICIENCY REV ()	A	Interest	K	T					86
312. TEXAS ST GO MOBILITY FD REF BDS 2014 ()	A	Interest	K	T	Buy	01/09/18	K		
313. NORTH CAROLINA CAP FACS FIN AGY EDL FACS REV RF ()	A	Interest	K	T					86
314. VIRGINIA CBA VA ED FCS REV 21T REF BDS SER E ()	A	Interest	K	T					86
315. WISCONSIN ST GO REF BDS SER 3 ()	A	Interest	K	T					86
316. NORTH CAROLINA ST LTD OBLIG SER B RF ()	A	Interest	K	T					86
317. UTAH ST GO BDS 2018 FEB18 ()	A	Interest	K	T	Buy	02/07/18	K		
318. NORTHSIDE TEX INDPT SCH DIST ULTD TAX PSF GTD ()	A	Interest	K	T	Buy	05/19/18	K		
319. METROPOLITAN TRANSN AUTH N Y REV REV REF BDS B ()	A	Interest	J	T	Buy	08/17/18	J		
320. WISCONSIN ST TRANSN REV REF BDS SER 2 ()	A	Interest	K	T	Buy	01/08/18	K		
321. OHIO ST HIGHER EDU GO BDS LT SER C NOV15 ()	A	Interest	K	T	Buy	08/23/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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322. MICHIGAN ST HOSP FIN AUTH REV REF BDS SER C ()	A	Interest	K	T	Buy	05/31/18	K		
323. WASHINGTON ST GO REF BDS R SER D NOV14 ()		None	K	T	Buy	09/20/18	K		
324. CONNECTICUT ST SPL TAX OBLIG REV TRANSN SER A ()	A	Interest	J	T	Buy	01/25/18	J		
325. LOUISVILLE-JEFF KY MET GVT RV REV BDS NORTON A ()		None	J	T	Buy	12/10/18	J		
326. NEW JERSEY ST TPK AUTH TPK REV SER E ()	A	Interest	K	T	Buy	02/14/18	K		
327. PHOENIX ARIZ CIVIC IMPT CORP ARPT REV JR LIEN D ()	A	Interest	J	T					86
328. ILLINOIS ST TOLL HWY AUTH TOLL HIGHWAY REV A ()		None	K	T	Buy	12/10/18	K		
329. CHARLOTTE-MECKLENBURG HSP NC RV REF REV BDS SER A ()	A	Interest			Sold	05/07/18	J		86
330. IRA #3 (H)									
331. Bank of America NA (cash account) (Y)									26
332. American Capital Wld Fund (CWGIX)	D	Interest	L	T	Sold (part)	11/20/18	J	A	27
333. American Cap Inc. Bldr. (CAIBX)	B	Interest	L	T	Sold (part)	11/20/18	J	A	28
334. American Growth Fund (AGTHX)	D	Interest	L	T	Sold (part)	11/20/18	J	B	29
335. IRA #4 (H)									
336. BOA RASP (cash) (Y)									41
337. US Treasury Note ()	A	Interest	J	T	Buy	02/08/18	K		
338.					Sold (part)	05/23/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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339.					Sold (part)	12/27/18	J	A	
340. US Treasury Note ()	A	Interest	K	T	Buy	02/08/18	L		
341.					Sold (part)	04/20/18	K		
342.					Sold (part)	12/27/18	J		
343. US Treasury Note ()	A	Interest	J	T	Buy	04/24/18	J		
344.					Sold (part)	12/27/18	J	A	
345. US Treasury Bond ()	B	Interest	K	T	Buy	02/08/18	K		
346.					Buy (add'l)	10/09/18	J		
347.					Sold (part)	12/27/18	K	A	
348. US Treasury Bond ()	A	Interest	K	T	Buy	02/08/18	K		
349.					Sold (part)	12/27/18	J	A	
350. US Treasury Inflation Bond ()	A	Interest			Buy	02/08/18	J		
351.					Sold	04/24/18	J		
352. FHLMC GO 8785 04%2047 ()	A	Interest			Buy	09/26/18	J		
353.					Sold	12/27/18	J		
354. FHLMC GO 8741 03%2047 ()	B	Interest	K	T	Buy	02/12/18	L		
355.					Sold (part)	12/27/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
356. FHLMC G0 8776 04 50%2047 [REDACTED]	B	Interest	K	T	Buy	02/09/18	K		
357.					Sold (part)	12/27/18	K		
358. FNMA PMA3058 04%2047 [REDACTED]	A	Interest			Buy	07/19/18	J		
359.					Sold	12/27/18	J		
360. FNMA PMA3210 03 50%2047 [REDACTED]	A	Interest			Buy	04/20/18	K		
361.					Buy (add'l)	06/21/18	J		
362.					Buy (add'l)	09/26/18	J		
363.					Sold	12/27/18	K		
364. FNMA PMA3238 03 50%2048 [REDACTED]	C	Interest	L	T	Buy	02/09/18	M		
365.					Sold (part)	12/27/18	K		
366. FNMA PMA3239 04%2048 [REDACTED]	B	Interest	K	T	Buy	02/09/18	L		
367.					Sold (part)	12/27/18	K		
368. FNMA PMA3275 03%2048 [REDACTED]	A	Interest			Buy	09/26/18	J		
369.					Sold	12/27/18	J		
370. FNMA PMA3444 04 50%2048 [REDACTED]	A	Interest	J	T	Buy	08/23/18	J		
371.					Buy (add'l)	09/26/18	J		
372.					Buy (add'l)	10/25/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

08/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
373.					Buy (add'l)	12/18/18	J		
374. McDonald's Corp SER MTN 02.750% DEC 09 2020 ()	A	Interest	J	T	Buy	02/08/18	J		
375.					Buy (add'l)	02/27/18	J		
376.					Sold (part)	12/27/18	J		
377. USD United Mexican SER GMTN 3.500% JAN 21 2021 ()	A	Interest	J	T	Buy	02/08/18	K		
378.					Sold (part)	12/27/18	J		
379. Verizon Communications GLB 05.150% SEP 15 2023 ()	B	Interest	J	T	Buy	02/08/18	K		
380.					Sold (part)	12/27/18	J		
381. BP Capital Markets PLC Company Cuarant GLB ()	A	Interest	J	T	Buy	02/08/18	K		
382.					Sold (part)	12/27/18	J		
383. General Elec Cap Corp 05.875% JAN 14 2038 ()	A	Interest	J	T	Buy	02/27/18	K		
384.					Buy (add'l)	10/22/18	J		
385.					Sold (part)	12/27/18	J		
386. Enterprise Products Oper Comp Guarnt ()	A	Interest	J	T	Buy	02/08/18	J		
387.					Buy (add'l)	11/08/18	J		
388.					Sold (part)	12/27/18	J		
389. Microsoft Corp GLB 03.700% AUG 08 2046 ()	A	Interest	J	T	Buy	02/12/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

08/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
390.					Sold (part)	12/27/18	J		
391. Abbvie Inc (ABBV)	A	Dividend	J	T	Buy	02/07/18	K		
392.					Sold (part)	02/23/18	J	A	
393.					Sold (part)	03/19/18	J	A	
394.					Buy (add'l)	10/09/18	J		
395.					Buy (add'l)	11/19/18	J		
396.					Sold (part)	12/28/18	J		
397. Abbott Labs (ABT)		None	J	T	Buy	12/28/18	J		
398. AECOM (ACM)		None			Buy	02/07/18	J		
399.					Buy (add'l)	02/26/18	J		
400.					Sold	12/28/18	J		
401. AerCap Holdings (AER)		None			Buy	02/07/18	J		
402.					Sold	03/12/18	J		
403. Air Products & Chem (APD)		None	J	T	Buy	12/28/18	J		
404. Allergan PLC (AGN)	A	Dividend	J	T	Buy	02/07/18	J		
405.					Sold (part)	12/28/18	J		
406. Altria Group (MO)	B	Dividend	K	T	Sold (part)	01/11/18	J	B	42

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
407.					Sold (part)	01/12/18	J	B	
408.					Sold (part)	01/16/18	J	B	
409.					Sold (part)	01/17/18	J	A	
410.					Buy (add'l)	01/31/18	J		
411.					Buy (add'l)	05/11/18	J		
412.					Sold (part)	05/30/18	J	A	
413.					Sold (part)	12/28/18	J		
414. AMC Networks (AMCX)		None	J	T	Buy	02/07/18	J		
415. Amcor Ltd (AMCRY)	A	Dividend			Buy	02/07/18	K		
416.					Buy (add'l)	09/24/18	J		
417.					Sold	12/28/18	K		
418. American International Group (AIG)	A	Dividend			Buy	02/07/18	J		
419.					Sold (part)	08/28/18	J		
420.					Sold	10/22/18	J		
421. Amgen Inc (AMGN)		None	J	T	Buy	12/28/18	J		
422. Anadarko Pete Corp (APC)	A	Dividend	J	T	Buy	02/07/18	J		
423.					Buy (add'l)	12/28/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

08/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
424. Anheuser Busch Inbev (BUD)	A	Dividend			Buy	02/07/18	J		
425.					Sold	10/01/18	J		
426. Ansell LTD (ANSLY)	A	Dividend			Buy	02/07/18	J		
427.					Sold	12/28/18	J		
428. Anta Sports Prod (ANPDY)	A	Dividend			Buy	02/07/18	J		
429.					Sold (part)	04/19/18	J	A	
430.					Sold	12/28/18	J		
431. Arris Intl Ltd (ARRS)		None			Buy	02/07/18	J		
432.					Sold (part)	09/27/18	J	A	
433.					Sold	10/01/18	J	A	
434. Astrazeneca PLC (AZN)	A	Dividend			Buy	02/07/18	K		
435.					Sold (part)	10/09/18	J	A	
436.					Sold (part)	11/19/18	J	A	
437.					Sold	12/28/18	J		
438. Autodesk Inc (ADSK)		None	J	T	Buy	02/07/18	J		
439. Automatic Data Processing (ADP)		None	J	T	Buy	12/28/18	J		
440. BAE Systems PLC (BAESY)	A	Dividend			Buy	10/11/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

441.					Buy (add'l)	12/18/18	J		
442.					Sold	12/28/18	J		
443. Bausch Health Companies (BHC)		None			Buy	10/01/18	J		
444.					Buy (add'l)	10/31/18	J		
445.					Sold	12/28/18	J		
446. Berkshire Hath. (BRKB)		None			Buy (add'l)	01/31/18	J		71
447.					Sold	02/07/18	K	C	
448. Biogen Inc (BIIB)		None	J	T	Buy	02/07/18	J		
449.					Buy (add'l)	05/03/18	J		
450.					Buy (add'l)	12/28/18	J		
451. British American Tobacco (BTI)	A	Dividend			Buy	02/07/18	K		
452.					Buy (add'l)	03/12/18	J		
453.					Sold (part)	05/31/18	J		
454.					Buy (add'l)	09/24/18	J		
455.					Sold	12/28/18	K		
456. Broadcom Ltd (AVGO)	A	Dividend	J	T	Buy	02/07/18	J		
457.					Buy (add'l)	02/16/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

458.					Buy (add'l)	02/22/18	J		
459.					Sold (part)	09/11/18	J		
460.					Buy (add'l)	12/28/18	J		
461. Block H&R (HRB)		None			Buy	02/07/18	J		
462.					Sold	03/05/18	J		
463. Caesars Entertainment (CZR)		None			Buy	02/07/18	J		
464.					Sold (part)	05/17/18	J		
465.					Sold	12/28/18	J		
466. Chubb Ltd (CB)	A	Dividend			Buy	02/07/18	J		
467.					Sold	07/31/18	J		
468. CA Inc. (CA)		None			Buy (add'l)	01/31/18	J		76
469.					Sold	02/07/18	K	A	
470. Carnival Corp. Pr. (CCL)		None			Buy (add'l)	01/31/18	J		43
471.					Sold	02/07/18	K	D	
472. Chevron Corp. (CVX)		None	J	T	Buy (add'l)	01/31/18	J		44
473.					Sold	02/07/18	K	B	
474.					Buy	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

475. Cinn. Fin. Crp. (CINF)	A	Dividend			Buy (add'l)	01/31/18	J		45
476.					Sold	02/07/18	K	C	
477. Cisco Sys. Inc. (CSCO)	A	Dividend	K	T	Buy (add'l)	01/31/18	J		46
478.					Buy (add'l)	02/07/18	J		
479.					Sold (part)	02/23/18	J	B	
480.					Sold (part)	10/09/18	J	B	
481.					Sold (part)	12/28/18	J		
482. Citrix Systems Inc (CTXS)	A	Dividend	J	T	Buy	02/07/18	J		
483. Coca Cola Com (KO)	B	Dividend			Buy (add'l)	01/31/18	J		47
484.					Buy (add'l)	02/07/18	J		
485.					Buy (add'l)	06/04/18	J		
486.					Sold (part)	12/03/18	J	A	
487.					Sold	12/28/18	K		
488. Comcast Corp (CMCSA)	A	Dividend	K	T	Buy	02/07/18	K		
489.					Buy (add'l)	07/09/18	J		
490.					Sold (part)	12/28/18	J		
491. Commscope Hldg Co (COMM)		None			Buy	02/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
492.					Sold (part)	02/08/18	J		
493.					Sold (part)	02/16/18	J		
494.					Sold	02/22/18	J	A	
495. Covanta Hldg Corp (CVA)		None			Buy	02/07/18	J		
496.					Sold	02/26/18	J		
497. Cree Inc (CREE)		None	J	T	Buy	02/07/18	J		
498. Crown Castle REIT (CCI)		None	J	T	Buy (add'l)	01/31/18	J		66
499.					Sold	02/07/18	K	A	
500.					Buy	12/28/18	J		
501. Crown Holdings (CCK)		None			Buy	10/22/18	J		
502.					Buy (add'l)	10/29/18	J		
503.					Sold	12/28/18	J		
504. Delta Air Lines (DAL)	A	Dividend			Buy	02/07/18	J		
505.					Sold	03/01/18	J		
506. Deutsche Post AG (DPSGY)	A	Dividend			Buy	02/07/18	J		
507.					Buy (add'l)	03/20/18	J		
508.					Buy (add'l)	09/24/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

509.					Sold	12/28/18	K		
510. Diageo SPSD (DEO)	A	Dividend			Buy (add'l)	01/31/18	J		72
511.					Sold (part)	02/07/18	J	B	
512.					Sold	12/28/18	J		
513. Discovery Communication (DISCA)		None	J	T	Buy	02/07/18	J		
514. Dolby Laboratories (DLB)	A	Dividend	J	T	Buy	02/07/18	J		
515. Dominion Energy (D)		None			Buy (add'l)	01/31/18	J		48
516.					Sold	02/07/18	J	A	
517. Dowdupont Inc (DWDP)	A	Dividend			Buy	02/07/18	J		
518.					Sold	03/14/18	J		
519.					Buy	04/05/18	J		
520.					Buy (add'l)	08/03/18	J		
521.					Buy (add'l)	10/22/18	J		
522.					Sold	12/28/18	J		
523. Duke Energy (DUK)		None			Buy (add'l)	01/31/18	J		51
524.					Sold	02/07/18	J	A	
525. Eli Lilly (LLY)		None	J	T	Buy (add'l)	01/31/18	J		52

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

526.					Sold	02/07/18	K	B	
527.					Buy	12/28/18	J		
528. EQT Corp (EQT)	A	Dividend			Buy	02/07/18	J		
529.					Sold (part)	04/05/18	J		
530.					Sold	10/01/18	J		
531. Exxon Mobil Corp (XOM)		None	J	T	Buy	12/28/18	J		
532. Fluor Corp (FLR)	A	Dividend	J	T	Buy	02/07/18	J		
533.					Buy (add'l)	12/28/18	J		
534. Fortune Brands Home and Sec (FBHS)	A	Dividend			Buy	08/28/18	J		
535.					Buy (add'l)	08/31/18	J		
536.					Sold	12/28/18	J		
537. Freeport McMoran (FCX)	A	Dividend	J	T	Buy	02/07/18	J		
538.					Buy (add'l)	12/28/18	J		
539. Gaming and Leisure (GLPI)	A	Dividend			Buy	02/07/18	J		
540.					Sold	05/08/18	J		
541. GCI Liberty Inc (GLIBA)		None	J	T	Sold (part)	09/04/18	J		
542.					Sold (part)	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

543. Gen.Electric (GE)	A	Dividend			Buy (add'l)	01/31/18	J		77
544.					Sold	02/07/18	J		
545. General Mills (GIS)	A	Dividend			Buy (add'l)	01/31/18	J		73
546.					Sold	02/07/18	K		
547. Gen. Dynamics (GD)	A	Dividend			Buy (add'l)	01/31/18	J		53
548.					Sold	02/07/18	K	D	
549. Genuine Parts Co (GPC)	A	Dividend			Buy	02/07/18	K		
550.					Sold	12/28/18	K		
551. Gildan Activewear (GIL)	A	Dividend			Buy	09/17/18	J		
552.					Sold	12/28/18	J		
553. Gilead Sciences (GVDNY)	A	Dividend			Buy	02/07/18	J		
554.					Sold	05/04/18	J		
555. Givaudan SA (GVDNY)	A	Dividend			Buy	02/07/18	J		
556.					Sold (part)	05/18/18	J		
557.					Sold (part)	05/22/18	J		
558.					Sold	05/24/18	J		
559. Glaxosmithkline PLC (GSK)	A	Dividend			Buy	02/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
560.					Buy (add'l)	06/04/18	J		
561.					Sold	12/28/18	K		
562. Hasbro Inc. (HAS)	A	Dividend	J	T	Buy (add'l)	01/31/18	J		54
563.					Sold	02/07/18	J	B	
564.					Buy	12/28/18	J		
565. Heineken NV (HEINY)	A	Dividend			Buy	02/07/18	J		
566.					Sold	12/28/18	J		
567. Home Depot (HD)		None	J	T	Buy	12/28/18	J		
568. Honeywell Intl (HON)		None	J	T	Buy	12/28/18	J		
569. HP Inc (HPQ)		None	J	T	Buy	12/28/18	J		
570. Illinois Tool Works (ITW)		None	J	T	Buy	12/28/18	J		
571. Intel Corp. (INTC)	A	Dividend			Buy (add'l)	01/31/18	J		55
572.					Sold	02/07/18	K	D	
573. Imperial Brands (IMBBY)	B	Dividend			Buy	02/07/18	K		
574.					Buy (add'l)	02/26/18	J		
575.					Sold (part)	06/04/18	J		
576.					Buy (add'l)	08/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

577.					Sold	12/28/18	K		
578. Intl Paper Co (IP)	A	Dividend			Buy	02/07/18	J		
579.					Buy (add'l)	09/24/18	J		
580.					Buy (add'l)	12/03/18	J		
581.					Sold	12/28/18	J		
582. Ionis Pharmaceuticals (IONS)		None	J	T	Buy	02/07/18	J		
583. Iqvia Holdings (IQV)		None			Buy	03/12/18	J		
584.					Sold (part)	07/19/18	J	A	
585.					Sold (part)	10/04/18	J	A	
586.					Sold	12/28/18	J		
587. Japan Tobacco (JAPAF)	A	Dividend	J	T	Buy	02/08/18	K		
588.					Sold (part)	06/05/18	J		
589. Johnson and Johnson (JNJ)	A	Dividend	J	T	Buy	02/07/18	J		
590.					Buy (add'l)	07/09/18	J		
591.					Sold (part)	09/21/18	J	A	
592.					Sold (part)	12/17/18	J		
593.					Sold (part)	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
594. Johnson Controls (JCI)	A	Dividend	J	T	Buy	02/07/18	J		
595.					Buy (add'l)	12/28/18	J		
596. Keysight Technologies (KEYS)		None			Buy	02/07/18	J		
597.					Sold	02/22/18	J	A	
598. Kinder Morgan (KMI)	A	Dividend			Buy (add'l)	01/31/18	J		
599.					Sold	02/07/18	J		
600. Kone Oyj (KNYJF)	A	Dividend	K	T	Buy	02/08/18	K		
601.					Buy (add'l)	06/04/18	J		
602.					Buy (add'l)	07/18/18	J		
603. Koninkl Phil NV (PHG)	A	Dividend			Buy	02/07/18	J		
604.					Buy (add'l)	05/18/18	J		
605.					Buy (add'l)	05/22/18	J		
606.					Buy (add'l)	06/04/18	J		
607.					Sold (part)	11/05/18	J	A	
608.					Sold (part)	11/09/18	J	A	
609.					Sold	12/28/18	J		
610. Lennar Corp (LEN)		None			Buy	02/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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611.					Buy (add'l)	05/17/18	J		
612.					Buy (add'l)	06/26/18	J		
613.					Sold	12/28/18	J		
614. Liberty Interactive Corp QVC (QVCA)		None			Buy	02/07/18	J		
615.					Merged (with line 720)	04/10/18	J		
616. Liberty Interactive Corp Ser A (LVNTA)		None			Buy	02/07/18	J		
617.					Merged (with line 541)	03/12/18	J		
618. Liberty Media Corp Formula One (FWONK)		None			Buy	02/07/18	J		
619.					Sold (part)	06/28/18	J	A	
620.					Buy (add'l)	11/05/18	J		
621.					Sold	12/28/18	J		
622. Liberty Media Corp Del Sirius XM (LSXMK)		None	J	T	Buy	02/07/18	J		
623. LKQ Corp (LQK)		None			Buy	02/07/18	J		
624.					Buy (add'l)	03/01/18	J		
625.					Buy (add'l)	04/27/18	J		
626.					Buy (add'l)	05/11/18	J		
627.					Buy (add'l)	11/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
628.					Sold	12/28/18	J		
629. Lockheed Martin (LMT)		None	J	T	Buy	12/28/18	J		
630. Lowes Cos. (LOW)	A	Dividend			Buy (add'l)	01/31/18	J		57
631.					Sold	02/07/18	K	D	
632. Lyondell Basell Ind (LYB)		None	J	T	Buy	12/28/18	J		
633. L3 Technologies (LLL)	A	Dividend	J	T	Buy	02/07/18	J		
634.					Buy (add'l)	12/28/18	J		
635. Macquarie Infrastructure (MIC)	A	Dividend			Buy	02/28/18	J		
636.					Buy (add'l)	03/01/18	J		
637.					Sold (part)	08/03/18	J	A	
638.					Sold	08/07/18	J	A	
639. Marsh & McLennan Cos (MMC)		None	J	T	Buy	12/28/18	J		
640. Maxim Integrated (MXIM)		None	J	T	Buy	12/28/18	J		
641. McCormick & Co (MKC)		None	J	T	Buy	12/28/18	J		
642. McDonalds Corp (MCD)		None	K	T	Buy	12/28/18	K		
643. Medtronic PLC (MDT)	A	Dividend	J	T	Buy	02/07/18	J		
644.					Buy (add'l)	04/11/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
645.					Buy (add'l)	04/17/18	J		
646.					Sold (part)	12/28/18	J		
647. Merck & Co. (MRK)	A	Dividend			Buy (add'l)	01/31/18	J		74
648.					Sold	02/07/18	K	B	
649. Metlife Inc (MET)	A	Dividend			Buy	02/07/18	J		
650.					Sold	12/28/18	J		
651. Microsoft (MSFT)	A	Dividend	J	T	Buy (add'l)	01/31/18	J		58
652.					Sold (part)	02/07/18	K	D	
653.					Sold (part)	08/31/18	J	A	
654.					Buy (add'l)	12/28/18	J		
655. Mondelez Intl (MDLZ)		None	J	T	Buy	12/28/18	J		
656. National Oilwell Varco (NOV)	A	Dividend	J	T	Buy	02/07/18	J		
657.					Buy (add'l)	12/28/18	J		
658. Nestle (NSRGY)	A	Dividend			Buy	02/07/18	K		
659.					Buy (add'l)	02/23/18	J		
660.					Buy (add'l)	06/01/18	J		
661.					Sold (part)	11/30/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

662.					Sold	12/28/18	K		
663. Newmarket Corp. (NEU)	A	Dividend			Buy (add'l)	01/31/18	J		59
664.					Sold	02/07/18	J	A	
665. Nextera Energy Inc (NEE)		None	K	T	Buy	12/28/18	K		
666. Norfolk So. (NSC)	A	Dividend			Buy (add'l)	01/31/18	J		78
667.					Sold	02/07/18	K	D	
668. Norwegian Cruise Line (NCLH)		None			Buy	02/07/18	J		
669.					Sold (part)	10/08/18	J		
670.					Sold	12/28/18	J		
671. Novartis (NVS)	A	Dividend			Buy	02/07/18	K		
672.					Buy (add'l)	06/04/18	J		
673.					Sold (part)	11/12/18	J	A	
674.					Sold	12/28/18	K		
675. Novo Nordisk (NVO)	A	Dividend			Buy	02/07/18	J		
676.					Sold	12/28/18	J		
677. Now Inc (DNO)		None	J	T	Buy	02/07/18	J		
678. NRG Energy (NRG)	A	Dividend			Buy	02/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
679.					Buy (add'l)	02/12/18	J		
680.					Sold (part)	10/08/18	J	A	
681.					Sold	12/28/18	J		
682. Nuance Comm (NUAN)		None	J	T	Buy	02/07/18	J		
683. Nucor Corp (NUE)	A	Dividend	J	T	Buy	02/07/18	J		
684.					Buy (add'l)	12/28/18	J		
685. nVent Electric (NVT)	A	Dividend			Spinoff (from line 695)	05/03/18	J		
686.					Sold	09/27/18	J	A	
687. Packaging Corp America (PKG)	A	Dividend			Buy	02/07/18	J		
688.					Buy (add'l)	07/27/18	J		
689.					Sold	12/28/18	J		
690. Paychex Inc. (PAYX)	A	Dividend	J	T	Buy (add'l)	01/31/18	J		60
691.					Sold	02/07/18	K	C	
692.					Buy	07/09/18	J		
693.					Buy (add'l)	07/10/18	J		
694.					Buy (add'l)	12/28/18	J		
695. Pentair (PNR)	A	Dividend	J	T	Buy	02/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
696. Pepsico Inc (PEP)	A	Dividend	J	T	Buy	02/07/18	K		
697.					Buy (add'l)	06/04/18	J		
698.					Sold (part)	11/12/18	J	A	
699.					Sold (part)	12/28/18	J		
700. Pfizer Inc. (PFE)	A	Dividend	J	T	Buy (add'l)	01/31/18	J		61
701.					Sold (part)	02/07/18	J	B	
702.					Buy (add'l)	03/19/18	J		
703.					Buy (add'l)	06/04/18	J		
704.					Sold (part)	09/21/18	J	A	
705.					Sold (part)	12/28/18	J		
706. Philip Morris (PM)	A	Dividend			Buy (add'l)	01/31/18	J		49
707.					Buy (add'l)	02/07/18	J		
708.					Buy (add'l)	05/11/18	J		
709.					Sold (part)	05/30/18	J		
710.					Sold	12/28/18	J		
711. Phillips 66 (PSX)		None	J	T	Buy	12/28/18	J		
712. Procter & Gamble (PG)	A	Dividend			Buy	02/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
713.					Buy (add'l)	05/11/18	J		
714.					Sold (part)	09/21/18	J	A	
715.					Sold	12/28/18	K		
716. Qorvo Inc (QRVO)		None			Buy	02/07/18	J		
717.					Sold (part)	03/08/18	J		
718.					Sold (part)	05/29/18	J	A	
719.					Sold	12/28/18	J		
720. Qurate Retail Group QVC (QRTEA)		None	J	T	Buy (add'l)	12/28/18	J		
721. Realty Incm Crp Md (O)		None	J	T	Buy	12/28/18	J		
722. Relx PLC (RELX)		None			Buy	11/16/18	J		
723.					Sold	12/28/18	J		
724. Roche Hldg (RHHBY)	A	Dividend			Buy	02/07/18	J		
725.					Sold	05/18/18	J		
726. Rogers Comm (RCI)	A	Dividend			Buy	02/07/18	J		
727.					Buy (add'l)	02/28/18	J		
728.					Sold (part)	06/01/18	J	A	
729.					Sold	12/28/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
730. Royal Caribbean Cruises (RCL)	A	Dividend			Buy	06/14/18	J		
731.					Buy (add'l)	07/31/18	J		
732.					Sold	12/28/18	J		
733. Sabre Corp (SABR)	A	Dividend			Buy	02/07/18	J		
734.					Sold (part)	06/13/18	J		
735.					Sold (part)	06/14/18	J	A	
736.					Sold	12/28/18	J		
737. Sanofi ADR (SNY)	B	Dividend			Buy	02/07/18	K		
738.					Sold (part)	07/17/18	J	A	
739.					Sold	12/28/18	K		
740. Sands China Ltd (SCHYY)		None			Buy	02/07/18	J		
741.					Sold	04/12/18	J	A	
742. Schneider Elec Se (SBGSY)		None			Buy	05/18/18	J		
743.					Buy (add'l)	05/22/18	J		
744.					Buy (add'l)	05/31/18	J		
745.					Buy (add'l)	12/03/18	J		
746.					Sold	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
747. Seagate Tech PLC (STX)	A	Dividend	J	T	Buy	02/07/18	J		
748.					Buy (add'l)	12/28/18	J		
749. Sempra Energy (SRE)		None	J	T	Buy	12/28/18	J		
750. SGS SA (SGSOY)	A	Dividend			Buy	02/07/18	J		
751.					Buy (add'l)	06/05/18	J		
752.					Sold (part)	07/19/18	J		
753.					Sold	12/28/18	J		
754. Simon Property Group (SPG)		None	J	T	Buy	12/28/18	J		
755. Snap On Inc (SNA)		None			Buy	02/07/18	J		
756.					Sold	02/09/18	J		
757. Sonic Healthcare Ltd (SKHHY)	A	Dividend			Buy	02/07/18	J		
758.					Sold	12/28/18	J		
759. Spirit Realty Capital (SRC)	A	Dividend			Buy	02/07/18	J		
760.					Sold (part)	05/03/18	J	A	
761.					Sold	06/07/18	J	A	
762. Starbucks Corp (SBUX)		None	J	T	Buy	12/28/18	J		
763. Target Corp. (TGT)		None			Buy (add'l)	01/31/18	J		50

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
764.					Sold	02/07/18	K	C	
765. Taiwan S Mfg ADR (TSM)	A	Dividend			Buy	02/07/18	K		
766.					Sold (part)	09/21/18	J	A	
767.					Sold	12/28/18	J		
768. TE Connectivity Ltd (TEL)	A	Dividend	J	T	Buy	02/07/18	J		
769.					Buy (add'l)	12/28/18	J		
770. Telus Corp (TU)	A	Dividend			Buy	02/07/18	K		
771.					Buy (add'l)	03/06/18	J		
772.					Sold	12/28/18	K		
773. Tempur Sealy Intl (TPX)		None			Buy	02/07/18	J		
774.					Sold (part)	08/28/18	J		
775.					Sold	12/28/18	J		
776. Texas Instruments (TXN)		None	K	T	Buy	11/12/18	J		
777.					Buy (add'l)	12/28/18	J		
778. Twitter Inc (TWTR)		None	J	T	Buy	02/07/18	J		
779. Ulta Beauty Inc (ULTA)		None			Buy	02/28/18	J		
780.					Sold (part)	05/01/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
781.					Sold (part)	05/23/18	J	A	
782.					Sold	07/31/18	J	A	
783. Unilever NV (UN)	A	Dividend			Buy	02/07/18	K		
784.					Sold (part)	07/06/18	J	A	
785.					Buy (add'l)	10/09/18	J		
786.					Sold	12/28/18	K		
787. United Parcel Svc (UPS)	A	Dividend			Buy	01/11/18	J		
788.					Buy (add'l)	01/12/18	J		
789.					Buy (add'l)	01/16/18	J		
790.					Buy (add'l)	01/17/18	J		
791.					Buy (add'l)	01/18/18	J		
792.					Buy (add'l)	01/31/18	J		
793.					Buy (add'l)	02/05/18	J		
794.					Buy (add'l)	02/05/18	J		
795.					Sold (part)	02/07/18	J		
796.					Sold	12/28/18	J		
797. United Techs Corp (UTX)	A	Dividend			Buy	02/07/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
798.					Sold	12/28/18	J		
799. United Health Group (UNH)	A	Dividend	K	T	Buy	02/07/18	K		
800. Valero Energy Corp (VLO)		None	J	T	Buy	12/28/18	J		
801. Verizon Commun. (VZ)	A	Dividend	J	T	Buy (add'l)	01/31/18	J		64
802.					Sold	02/07/18	K	A	
803.					Buy	04/18/18	J		
804. Vertex Pharm Inc (VRTX)		None	J	T	Buy	02/07/18	J		
805. VICI Properties Inc (VICI)	A	Dividend			Buy	02/07/18	J		
806.					Buy (add'l)	05/08/18	J		
807.					Sold (part)	07/09/18	J	A	
808.					Sold (part)	11/27/18	J	A	
809.					Sold	12/28/18	J		
810. Weatherford Intl (WFT)		None	J	T	Buy	02/07/18	J		
811. WEC Energy Group (WEC)		None	J	T	Buy	12/28/18	J		
812. Williams Companies (WMB)		None	J	T	Buy	12/28/18	J		
813. Western Digital (WDC)	A	Dividend	J	T	Buy	02/07/18	J		
814.					Buy (add'l)	08/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
815.					Buy (add'l)	12/28/18	J		
816. Wyndham Destinations (WYND)	A	Dividend			Buy	06/07/18	J		
817.					Sold	06/15/18	J		
818. 3M (MMM)	A	Dividend	J	T	Buy	02/07/18	J		
819.					Buy (add'l)	05/18/18	J		
820.					Sold (part)	12/28/18	J		
821. 58 Com Inc (WUBA)		None			Buy	06/28/18	J		
822.					Sold	12/28/18	J		
823. Del. Value Fd. (DDVIX)		None			Buy (add'l)	01/31/18	L		65
824.					Sold	02/07/18	M	E	
825. Eaton Vance ATL (EISMX)		None			Buy (add'l)	01/31/18	L		67
826.					Sold	02/07/18	M	E	
827. Fuller & Thaler Behvrl Sm Cap Eq Fd I (FTHSX)		None	L	T	Buy	12/28/18	L		
828. iShares Edge MSCI Min Vol EAFE (EFAV)		None	M	T	Buy	12/28/18	M		
829. iShares Edge MSCI Min Vol Emerg Mkts (EEMV)		None	L	T	Buy	12/28/18	L		
830. iShares Russell (IWF)		None			Sold	02/07/18	M	F	68
831. iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	C	Dividend			Buy	02/07/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
832.					Buy (add'l)	07/09/18	J		
833.					Sold	12/28/18	M		
834. Lord Abbett Short Duration Inc Fd F (LDLFX)		None	N	T	Buy	12/28/18	N		
835. MFS Int'l Value (MINIX)		None			Buy (add'l)	01/31/18	L		69
836.					Sold	02/07/18	M	E	
837. Neuberger Berman Intl Eq Fd I (NBHIX)		None	M	T	Buy	12/28/18	M		
838. T Rowe Price Emerg Mkts Stock Fd I (PRMSX)		None	L	T	Buy	12/28/18	L		
839. Western Asset Bd. (WATFX)	B	Dividend			Buy (add'l)	01/05/18	J		75
840.					Buy (add'l)	01/31/18	N		
841.					Sold	02/07/18	O		
842. Western Asset Smash Series C Fund (LMLCX)	D	Dividend	L	T	Buy	02/08/18	M		
843.					Buy (add'l)	03/29/18	J		
844.					Buy (add'l)	05/23/18	K		
845.					Buy (add'l)	06/04/18	J		
846.					Buy (add'l)	07/11/18	J		
847.					Buy (add'l)	12/13/18	J		
848.					Buy (add'l)	12/21/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
849.					Sold (part)	12/27/18	L		
850. Western Asset Smash Series M Fund (LMSMX)	D	Dividend	M	T	Buy	02/08/18	N		
851.					Sold (part)	11/20/18	J		
852.					Buy (add'l)	12/21/18	J		
853.					Sold (part)	12/27/18	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For ease of future reporting, Part VII of this report has been reorganized by account, in correspondence with related brokerage statements. As a result, more than one entry in Part VII may correspond with a single entry in the prior report. Where necessary for clarity, corresponding line numbers of the prior report are included in Column D(5) for ease of review and/or to account for name or symbol changes. This is not indicative of reportable transactions.

Income is received from several closely held corporations including East Mecklenburg Corp. ("S" corporation), The Mason Wallace Co., LLC, J.M. Wallace Land Co., LLC, and Triangle Properties Associates, LLC, and real property owned in common. This is in the nature of investment income and is reported in Part VII. The corporations buy, sell and own real estate, but the stockholders do not control any of those decisions or assets individually.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Trust Accounts #4 and #5 are expanded reporting of the portfolios listed on lines 137 and 86 of the prior report, respectively. This expanded reporting is not indicative of additional transactions; corresponding reportable transactions for this reporting period are included under each header.

Part VII, lines 30-33, 37-40, and 126 (2017): These assets became unreportable during this reporting cycle; there are no corresponding reportable transactions.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ GEORGE R. HODGES**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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