

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Colton, Roberta A.	2. Court or Organization U.S. Bankruptcy Court for the Middle District of Florida	3. Date of Report 04/22/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 801 N. Florida Ave. Suite 954 Tampa, Florida 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees, Member	Hillsborough County Bar Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/31/2016	Return of Capital from Former Law Firm - Trenam Law and R.A. Colton - \$41,372 payable over two years.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 3/31/2018	Return of Capital from former firm -Trenam Law	\$21,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	March 4-6, 2018	New York, New York	Moot Court Competition	Hotel, Dinner and Transportation
2. Florida Bar - Business Law Section	June 13-14, 2018	Orlando, Florida	Florida Bar Annual Meeting and Judicial Liaison Meeting	Hotel, Dinner and Transportation
3. Florida Bar - Business Law Section	November 7, 2018	Tampa, Florida	View From Bench Seminar	Dinner
4. Florida Bar - Business Law Section	September 1-3, 2018	Caper Coral, Florida	Section Retreat and Judicial Liaison Meeting	Hotel, Dinner and Transportation
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Accounts	A	Interest	M	T					
2. Ally Bank CD	A	Interest			Closed	08/02/18	L		
3. Fifth Third - HSA	A	Interest	J	T					
4. Vanguard Retirement Fund 2025	D	Dividend	O	T	Buy (add'l)	12/27/18	J		
5. Vanguard Total Stock Index Admiral Fund	C	Dividend			Sold	08/03/18	M	F	
6. Vanguard Prime Money Market Fund	A	Dividend	N	T	Buy	07/02/18	J		
7. Vanguard Prime Money Market Fund					Buy (add'l)	08/03/18	M		
8. Vanguard Prime Money Market Fund					Buy (add'l)	09/07/18	K		
9. Vanguard Prime Money Market Fund					Buy (add'l)	12/20/18	K		
10. Vanguard Variable Annuity - Equity Index	A	Dividend	K	T					
11. Vanguard Variable Annuity - Balanced Fund	A	Dividend	L	T					
12. Vanguard Variable Annuity -Growth Fund	A	Dividend	K	T					
13. Vanguard Variable Annuity - Money Market	A	Dividend	K	T					
14. Vanguard Variable Annuity -Equity Income Fund	B	Dividend	J	T					
15. Vanguard Wellsley Fund - IRA	B	Dividend	M	T					
16. Vanguard Wellsley Fund	A	Dividend	J	T	Buy	01/08/18	J		
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Schwab Money Mkt. Account	A	Interest	K	T					
19. Artisan International Value	C	Distribution	L	T	Buy (add'l)	12/18/18	L		
20. Artisan International Value	B	Dividend			Buy (add'l)	11/13/18	L		
21. Baird Short Term Bond	D	Dividend	J	T	Buy (add'l)	08/14/18	K		
22. Baird Short Term Bond					Sold	11/13/18	N	A	
23. Chiron Capital Allocation I	B	Dividend	M	T	Buy (add'l)	05/11/18	L		
24. Chiron Capital Allocation I					Buy (add'l)	08/14/18	J		
25. Chiron Capital Allocation I					Buy (add'l)	12/18/18	J		
26. Van Eck Global Hard Assets I	A	Dividend			Sold	11/13/18	L	A	
27. IVA Worldwide I	D	Distribution			Buy (add'l)	05/11/18	L		
28. IVA Worldwide I	B	Dividend			Buy (add'l)	08/14/18	J		
29. IVA Worldwide I					Sold	12/18/18	M	A	
30. Oakmark International Small Cap Fund	B	Dividend			Sold (part)	05/11/18	K	C	
31. Oakmark International Small Cap Fund	C	Distribution			Buy (add'l)	11/13/18	K		
32. Oakmark International Small Cap Fund					Sold	12/18/18	L	A	
33. Oppenheimer Developing Markets Y	A	Dividend	L	T	Buy (add'l)	11/13/18	K		
34. Pimco All Asset Instl	D	Dividend	M	T	Buy (add'l)	08/03/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Pimco All Asset Instl					Buy (add'l)	11/13/18	L		
36. Brookfield Real Assets Securities Y	B	Dividend			Sold	11/13/18	L	A	
37. SeafarerOverseas GR and Income Instl	A	Dividend			Sold (part)	05/11/18	J	B	
38. SeafarerOverseas GR and Income Instl					Sold	11/13/18	K	A	
39. Metropolitan West Total Return Bond Fund	D	Dividend			Buy (add'l)	03/09/18	J		
40. Metropolitan West Total Return Bond Fund					Buy (add'l)	05/11/18	L		
41. Metropolitan West Total Return Bond Fund					Buy (add'l)	08/03/18	J		
42. Metropolitan West Total Return Bond Fund					Sold	11/13/18	N	A	
43. Vanguard Total Bond Market Index Adm	D	Dividend	O	T	Buy (add'l)	11/13/18	N		
44. Vanguard Total Bond Market Index Adm	A	Distribution							
45. Vanguard Small Cap Index Admiral	B	Dividend	L	T	Sold (part)	05/11/18	K	D	
46. Vanguard Short Term Inf-Prot SEC IDX Admiral	B	Dividend			Sold	11/13/18	L	A	
47. Vanguard Total Int Stock Index Admiral	C	Dividend	M	T	Buy (add'l)	12/18/18	L		
48. Vanguard Total Stock Market Index Admiral	D	Dividend	N	T	Sold (part)	05/11/18	M	E	
49. Westwood Income Opportunity Instl	C	Dividend			Buy (add'l)	03/09/18	J		
50. Westwood Income Opportunity Instl					Sold	11/13/18	N	D	
51. Loomis Sayles Strategic Income Y	D	Dividend	N	T	Buy (add'l)	11/13/18	K		

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52. Loomis Sayles Strategic Income Y	B	Distribution							
53. Templeton Global Total Return Adv	D	Dividend	N	T	Buy (add'l)	11/13/18	J		
54. Templeton Global Total Return Adv					Buy (add'l)	12/18/18	J		
55. Principal Diversified Real Asset Instl	D	Dividend	M	T	Buy	11/13/18	M		
56. Vanguard Short-Term Inv. Grade Adm	B	Dividend	N	T	Buy	11/13/18	N		
57. Baird Ultra Short Bond Institutional	A	Dividend	M	T	Buy	11/13/18	M		
58. IVA International I	A	Dividend	M	T	Buy	12/18/18	M		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Note 1 Holdings have been organized to separate assests currently mangaged by a financial advisor. Blank line 17 is the separation line.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Roberta A. Colton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544