

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabtree, Daniel D.	2. Court or Organization U.S. District Court, District of Kansas	3. Date of Report 08/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Daniel D. Crabtree United States District Court, District of Kansas Robert J. Dole Courthouse, 500 State Avenue, Room 628 Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Equity Investors, LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Salary, employment by Unified Government of Wyandotte County/Kansas City, Kansas
2. 2018	Director's Fees, paid for service as Director of Lead Bank
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Washington University in St. Louis, School of Law	August 20 to August 21, 2018	St. Louis, Missouri	Participate in Annual Law School's Convocation	Meals, Lodging, Mileage, Parking
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	David and Demi Kiersznowski	outings with close personal friends, as described in part VII	\$900.00
2.	Kansas City Royals Baseball Club	baseball tickets and charity golf tournament	\$522.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AG Mortgage Investment Trust (REIT)	A	Dividend			Sold	02/07/18	J		
2. Citigroup Inc. Com New	A	Dividend	J	T					
3. Eaton Vance Large-Cap Value Fund	C	Distribution	K	T					
4. Eaton Vance Tax-Managed Growth Fund	A	Distribution	J	T					
5. Energy Transfer Partners LP	C	Distribution	K	T					
6. Fidelity Advisor New Insights Fund	E	Distribution	M	T					
7. Great Plains Energy, Inc.	A	Dividend	J	T					
8. Growth Fund of America	C	Distribution	K	T					
9. Intel Corp	A	Dividend	K	T					
10. Invesco American Franchise Fund	C	Distribution	K	T					
11. Invesco Charter Fund	C	Distribution	K	T					
12. Invesco Gloabl Small & Mid Cap Growth Fund	C	Dividend	K	T					
13. Learning Quest Aggressive Track: Moderate Portfolio (529)		None	M	T					
14. Obsidian Energy (f/k/a Penn West Petroleum Ltd.)		None			Sold	02/07/18	J		
15. Targa Resources Corp	B	Dividend	K	T					
16. Country Club Bank (cash accounts)	A	Interest	N	T					
17. United Missouri Bank, n.a. (Health Savings Account)	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. US Bank (cash account)		None			Closed	03/01/18	J		
19. Federated Capital Reserves	C	Interest	N	T					
20. AT&T Inc. Com	B	Dividend	K	T					
21. Alibaba Group SHS ADR		None	K	T					
22. Allianz SE Spon ADR Repstg		None			Sold	02/07/18	K	D	
23. Apple Inc Com	B	Dividend	L	T					
24. Astrazeneca PLC Sponsored ADR		None			Sold	02/07/18	K	A	
25. KKR & Co LP Del Com Units	A	Distribution	K	T					
26. Kinder Morgan Inc Del Com	A	Dividend	J	T					
27. Prospect Cap Corp Com	A	Dividend			Sold	02/07/18	J	A	
28. Walgreen Botts Alliance Inc. Com		None			Sold	02/07/18	K	B	
29. Altaba		None	K	T					
30. Oppenheimer Senior Floating Rate Fund	D	Dividend	M	T					
31. Oppenheimer Steelpath MLP Alpha	D	Dividend	K	T					
32. Talmer Bank & Trust (FDIC insured deposit)	A	Interest	L	T					
33. Country Club Wealth Solutions (FDIC insured deposit); see Part VIII	C	Interest	O	T					
34. Transamerica Life Insurance Company (Flexible Premium Universal Life)	A	Interest	K	T					

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35. Fidelity Advisor Large Cap Stock Fund	D	Distribution	M	T					
36. Fidelity Advisor (Focus) Health Care Fund	C	Distribution	L	T					
37. Fidelity Advisor Consumer Staples Fund	B	Distribution	K	T					
38. Fidelity Advisor Energy Fund	A	Dividend	K	T					
39. Fidelity Advisor International Capital Appreciation Fund	A	Dividend	K	T					
40. Fidelity Advisor International Growth Fund	A	Dividend	K	T					
41. Fidelity Advisor Mid Cap Value Fund	C	Dividend	L	T					
42. VNR Financial Corp.	A	Distribution			Sold	02/07/18	J	A	
43. American Funds New Perspective Fund	B	Distribution	K	T					
44. American Funds Balanced Fund	B	Dividend	K	T					
45. Calamos Strategic Total Return Fund	B	Dividend	K	T					
46. BlackRock Equity Dividend Fund	B	Dividend	K	T					
47. AllianzGI NFJ Dividend International & Premium Strategy Fund	B	Dividend	K	T					
48. Kraneshares TR China A ETF	A	Distribution	K	T					
49. Wisdom Tree Emerging Markets High Dividend Fund ETF	A	Distribution	J	T					
50. Owens-Corning Inc.		None			Sold	06/21/18	J	A	
51. Amazon.com Inc.		None	J	T	Sold (part)	04/23/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Vanguard Mid Cap Growth Investment Fund (X)	A	Dividend	J	T					
53. Western Asset Emerging Markets Fund	B	Dividend	J	T					
54. Select Sector SPDR Fund	A	Dividend	K	T	Buy	04/13/18	K		
55. Lead Bank (cash account)		None	J	T	Open	02/01/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, line 1: The aggregate gift amount shown on this line was provided by close personal friends and the appearance by either of them (or any of their companies or associated foundations) would require that I take no official action with respect to the matter. Both individuals and their associated entities are listed on my recusal list and have been so listed since the time I was appointed. The amount shown in part V consists of the value of: rounds of golf at a golf club where they belong; and various outings to professional and college sporting events, some together as friends and others involving use of tickets that they hold. Some but not all of these outings included [REDACTED], who are close personal friends of the Kiersznowski family [REDACTED].

Part V, line 2: The aggregate gift amount shown on this line consists of outings to a Major League Baseball game and participation in a charity golf tournament benefitting the club's charitable foundation. The gift shown on this line was provided by a former client for whom I served as General Counsel before I was appointed to my current position. This baseball club is listed on my recusal list and its appearance (or the appearance of any of its owners) would require that I take no official action with respect to the matter.

Part VII, line 33: Country Club Wealth Solutions is that same entity identified as Country Club Financial Services, Inc. in my 2017 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel D. Crabtree**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544