

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Metcalf, William C.	2. Court or Organization U.S. District Court, Western District of North Carolina	3. Date of Report 08/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge--Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 100 Otis Street Asheville, North Carolina 28801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Senior Principal	Law Firm--Van Winkle, Buck, Wall, Starnes, and Davis PA
2. Vice President	Law Firm--Van Winkle, Buck, Wall, Starnes, and Davis PA
3. Director	Law Firm--Van Winkle, Buck, Wall, Starnes, and Davis PA
4. Member	11 North Market Street, LLC (real estate holding company associated with law firm)
5. Vice-President	11 North Market Street, LLC (real estate holding company associated with law firm)
6. Co-Trustee	Trust #2

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	Shareholders Agreement--Van Winkle, Buck, Wall, Starnes, and Davis PA
2. 2006	Operating Agreement--11 North Market Street, LLC
3. 2018	Stock Redemption Agreement with Van Winkle, Buck, Wall, Starnes, and Davis PA (law firm share repurchase)
4. 2018	Membership Interest Redemption Agreement with 11 North Market Street, LLC (membership interest repurchase)
5. 1997	Van Winkle Law Firm Profit Sharing Plan (former law firm's 401K plan)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Van Winkle, Buck, Wall, Starnes, and Davis PA (wages)	\$159,848.19
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	J
2.	HomeTrust Bank	Mortgage Loan Guaranty Agreement for 11 North Market Street, LLC Loan (released in 2018)	None
3.	HomeTrust Bank	Commercial Guaranty for 11 North Market Street, LLC Loan (released in 2018)	None
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. HomeTrust Bank (cash)	A	Interest	K	T					
2. First Citizens Bank (cash)	A	Interest	L	T					
3. Wells Fargo Corporation Common Stock (WFC)	B	Dividend	K	T					
4. Brokerage Account #1 (H)									
5. Raymond James Bank Deposit Program (cash)	A	Interest	J	T					
6. Russell Tax Managed US Mid and Small Cap Fund (RTSSX)		None	K	T					
7. Russell Tax Managed US Large Cap Fund (RETSX)	B	Dividend	M	T					
8. Russell Global Real Estate Securities Fund (RRESX)	A	Dividend	J	T					
9. Russell Tax Exempt Bond Fund (RLVSX)	B	Dividend	K	T					
10. Russell Global Infrastructure Fund (RGISX)	A	Dividend	J	T					
11. Russell Tax Exempt High Yield Bond Fund (RTHSX)	B	Dividend	K	T					
12. Russell Tax Managed International Equity Fund (RTNSX)	B	Dividend	L	T					
13. IRA #1 (H)									
14. Russell Lifepoints Growth Strategy (RALCX)	A	Dividend	J	T					
15. 401k Account #1 (H)									
16. DFA Emerging Markets Core Equity I (DFCEX)		None	K	T					
17. DFA Inflation Protected Securities I (DIPSX)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DFA International Real Estate Sec I (DFITX)		None	K	T					
19. DFA International Small Company I (DFISX)		None	K	T					
20. DFA Large Cap International I (DFALX)		None	L	T					
21. DFA One-Year Fixed Income I (DFIHX)		None	L	T					
22. DFA Real Estate Securities I (DFREX)		None	K	T					
23. DFA US Large Cap Growth Instl (DUSLX)		None	M	T					
24. DFA US Large Cap Value III (DFUVX)		None	M	T					
25. DFA US Small Cap Growth (DSCGX)		None	L	T					
26. DFA US Targeted Value I (DFFVX)		None	L	T					
27. Vanguard Total Bond Mkt Index (VBMFX)		None	L	T					
28. Trust #1 (H)									
29. Federated Govt Obli Fd-Prm (GOFXX) (cash equivalent)	A	Dividend	K	T					
30. American Funds Europac Growth F3 (FEUPX)	A	Dividend	K	T	Buy (add'l)	11/19/18	J		
31. American Funds New World F3 (NFFFX)	A	Dividend	J	T					
32. Blackrock Equity Dividend-Kd (MKDVX)	B	Dividend	K	T	Sold (part)	11/16/18	J		
33.					Buy (add'l)	11/28/18	J		
34. Credit Suisse Comm RET Strategy Fund (CRSOX)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Delaware Small Cap Value Fund-R6 (DVZRX)	A	Dividend	J	T	Buy	11/19/18	J		
36. Franklin Mutual Shares-R6 (FMSHX)	A	Dividend	J	T					
37. Harbor Capital Appreciation-Rtr (HCAIX)	A	Dividend	J	T					
38. Hartford Equity Income-F (HQIVX)	B	Dividend	J	T					
39. iShares-Msci EAFE Intl Index K (BTMKX)	A	Dividend	J	T	Buy	11/16/18	J		
40. Intl Growth & Income Fd-F2 (IGFFX)	A	Dividend			Sold	11/16/18	J		
41. Ivy Mid Cap Growth Fund-N (WMGAX)	A	Dividend	J	T	Sold (part)	11/16/18	J		
42. Jensen Quality Growth-Y (JENYX)	A	Dividend	J	T	Sold (part)	11/16/18	J		
43. JPMorgan Mid Cap Value-R6 (JMVYX)	A	Dividend	J	T	Sold (part)	11/16/18	J		
44. Morgan Stanley Ins Global Re-A (MRLBX)	A	Dividend	J	T					
45. Oakmark International-Inst (OANIX)	B	Dividend	J	T	Buy (add'l)	11/16/18	J		
46. Primecap Odyssey Stock Fund (POSKX)	A	Dividend	J	T					
47. American Funds Capital World Bond Fund (RCEBX)	A	Dividend	J	T	Buy	11/16/18	J		
48. Baird Aggregate Bond Fund--Inst (BAGIX)	A	Dividend	K	T					
49. Dodge & Cox Income Fund Com (DODIX)	B	Dividend	K	T					
50. Dreyfus Bond Market Index (DBIRX)	A	Dividend			Sold	11/16/18	K		
51. iShares US Aggregate Bond Index Fund (BMOPX)	A	Dividend	K	T	Buy	11/16/18	K		

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52. JPMorgan Core Bond Fund (JCBPX)	A	Dividend	K	T					
53. JPMorgan High Yield Fund (JRJKX)	A	Dividend	J	T					
54. Pioneer Bond Fund (PBFKX)	A	Dividend	K	T					
55. PGIM Total Return Bond Fund (PDBAX)	A	Dividend	J	T					
56. Trust #2 (H)									
57. Edward Jones Money Market Fund (JNSXX) (cash equivalent)	A	Dividend	L	T					
58. NC Cap Facs Fin Agy Edl Facs 4.50%		None	K	T					
59. Univ NC Sys Pool Rev Ser B 4.75%	A	Interest	K	T					
60. NC St Cap Impt Ltd Oblig Rev 3.50%	A	Interest	K	T					
61. Durham NC Ltd Oblig Ser A Rev 3.50%	A	Interest	K	T					
62. Raleigh Durham NC Arpt Auth 4.00%	A	Interest	J	T					
63. High Pt NC Comb ENT Sys Rev 3.25%	A	Interest	J	T					
64. NC Med Care Commn Rfdg Rev 4.75%	B	Interest	L	T					
65. New Hanover Cnty NC Ltd Oblg A 3.25%	A	Interest	K	T					
66. NC Cap Facs Fin Agy Rev 4.375%	A	Interest	K	T					
67. Monrie N C Ltd Oblig Ser 2018 3.125%		None	K	T					
68. Charlotte NC COP Convtn Fac Pj 5.00%	A	Interest	K	T					

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69. Orange Cnty N C 3.00%		None	K	T					
70. Surry Cnty N C GO Ser 2018 3.375%	A	Interest	K	T					
71. NC TPK Auth Rev Monroe Connect 4.25%		None	K	T					
72. Buncombe Cnty NC Ltd Oblig Rev 3.375%	A	Interest	J	T					
73. NC Cap Facs Fin Agy Solid Wste 4.625%	A	Interest	K	T					
74. Beaufort Cnty NC Ltd Oblig 3.75%	A	Interest	K	T					
75. Western Carolina Univ N C Rev 3.625%	A	Interest	J	T					
76. BB&T Corp (BBT)	A	Dividend	K	T					
77. Exxon Mobil Corp (XOM)	A	Dividend	K	T					
78. Pfizer Inc (PFE)	A	Dividend	L	T					
79. Walgreens Boots Alliance Inc (WBA)	A	Dividend	K	T					
80. Wells Fargo & Co (WFC)	A	Dividend	K	T					
81. American AMCAP A (AMCPX)	C	Dividend	L	T					
82. American Cap Wrld Grw & Inc A (CWGIX)	D	Dividend	M	T					
83. American Europacific Growth A (AEPGX)	A	Dividend	K	T					
84. American Fundamental INV A (RFE BX)	E	Dividend	N	T					
85. American Growth Fd of Amer A (AGTHX)	E	Dividend	M	T					

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86. American Inc Fund of Amer A (AMECX)	D	Dividend	M	T					
87. Franklin NC Tax-Free Income A (FQNCX)	B	Dividend	L	T					
88. Edward Jones Polaris II A-Class Platinum Annuity (fixed contract)		None	N	T					
89. Edward Jones Choiceplus Assurance A-Share Annuity (fixed contract)		None	M	T					
90. Edward Jones Hartford Leaders Edge Annuity (fixed contract)		None	M	T					
91. Edward Jones Protective Values/Advantage Annuity (fixed contract)		None	M	T					
92. 529 Account #1 (H)									
93. American VA 529 College Enrollment FD (CENFX)	A	Dividend	J	T	Sold (part)	11/21/18	J		
94. American VA 529 Global Balanced Fund (CBFAX)	A	Dividend	J	T					
95. American VA 529 Global Balanced Fd C1 C (CBFCX)	A	Dividend	J	T					
96. 529 Account #2 (H)									
97. American VA 529 College 2018 Fund (CENCX)	A	Dividend	K	T					
98. American VA 529 College Enrollment Fd C1 A (CENAX)	B	Dividend	L	T					
99. 529 Account #3 (H)									
100. American VA 529 College 2027 Fund (CSTAX)	C	Dividend	L	T					
101. American VA 529 College 2027 Fund C1 F1 (CTSEFX)	A	Dividend	J	T					
102. UTMA Custodial Account #1 (H)									

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103. America Inc Fund of America (AMECX)	C	Dividend	K	T					
104. UTMA Custodial Account #2 (H)									
105. America Inc Fund of America (AMECX)	C	Dividend	K	T					
106. Former Law Firm-Related Assets (H)									
107. Van Winkle Law Firm stock	B	Dividend			Redeemed	10/31/18	J		
108. 11 North Market Street, LLC membership interest (2017-2018)	C	Distribution			Redeemed	10/31/18	N		
109. 11 North Market Street, LLC Promissory Note		None	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Metcalf, William C.

Date of Report

08/07/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 109, Column D(5): Van Winkle, Buck, Wall, Starnes, and Davis, P.A.

I received a cash payment in connection with the repurchase of stock I owned previously in my former law firm. I also owned a membership interest in a limited liability company that held the firm's real property. As noted in Part VII, I received a promissory note in connection with the repurchase of that membership interest by the company.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 41, 52 and 55 of the amended initial report are not reportable in this cycle. There are no corresponding reportable transactions.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Metcalf, William C.

Date of Report

08/07/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William C. Metcalf

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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