

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stras, David R.	2. Court or Organization United States Court of Appeals for the Eighth Circuit	3. Date of Report 05/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 300 South 4th Street, Suite 344 Minneapolis, MN 55415		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Associate Justice	Minnesota Supreme Court
2. Adjunct Faculty Member	University of Iowa College of Law
3. Board Member	Minnesota Supreme Court Historical Society
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2010-2018	Judge's Retirement Plan (Minnesota State Retirement System); state-managed pension plan.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Minnesota Supreme Court, Salary	\$14,303.00
2. 2018	University of Iowa College of Law, Adjunct Teaching	\$10,000.00
3. 2018	Lexis-Nexis, Royalties for Federal Courts Casebook	\$1,193.58
4. 2018	Social Science Research Network, Compensation as Editor of Law and Politics E-Journal	\$400.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Nu-Way House Inc., Salary
2. 2018	Minnesota Independence College & Community, Salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. BYU Law School	March 8-10, 2018	Provo, UT	Attended a conference on Corpus Linguistics	Transportation, meals, lodging
2. The Federalist Society	April 18-20, 2018	New York, NY and Philadelphia, PA	Spoke to law students at Columbia and University of Pennsylvania Law Schools	Transportation, meals, lodging
3. The Federalist Society	April 23-24, 2018	Chicago, IL	Spoke to law students at the University of Chicago Law School	Transportation, meals, lodging
4. The Federalist Society	April 26-27, 2018	Washington, DC	Spoke to law students at the Antonin Scalia Law School	Transportation, meals, lodging

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5.	University of Iowa College of Law	August 12-16, 2018	Iowa City, IA	Taught a course on the United States Supreme Court	Transportation, meals, lodging
6.	The Federalist Society	September 3-7, 2018	Avon, CO	Attended a course on the Federalist and Anti-Federalist Papers	Transportation, meals, lodging
7.	George Mason Law & Economics Center	September 20-21, 2018	Arlington, VA	Attended and participated in a symposium on the 14th Amendment	Transportation, meals, lodging
8.	George Mason Law & Economics Center	October 3-4, 2018	Arlington, VA	Attended the Antonin Scalia dinner and tribute	Transportation, meals, lodging
9.	The Federalist Society	October 24-25, 2018	Charlottesville, VA	Spoke to law students at the University of Virginia School of Law	Transportation, meals, lodging
10.	The Federalist Society	November 16-18, 2018	Washington, DC	Attended the Federalist Society National Convention	Transportation, meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Lightstream	Unsecured Automobile Loan	K
2.	Lightstream	Unsecured Automobile Loan	J
3.	Fidelity	Loan on a 403(b) Account	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Minnesota College Savings Plan (H)									
2. -Managed Allocation Option Ages 9-10		None	K	T					
3. Virginia 529 College Savings (H)									
4. -2018 Portfolio		None	K	T					
5. Mutual of America 401(k) Thrift (H)									
6. -Mutual of America Equity Index Fund		None	J	T					
7. -Mutual of America Small Cap Value Fund		None	J	T					
8. Health Care Savings Plan-State of Minnesota (H)									
9. -Empower Broad International Stock Fund		None	K	T					
10. -Empower U.S. Stock Actively Managed Fund		None	K	T					
11. -Empower U.S. Stock Index Fund		None	K	T					
12. -Empower Bond Fund		None	J	T					
13. Fidelity 403(b) Account (H)									
14. -Fidelity Focused Stock Fund	D	Dividend	K	T	Sold (part)	01/22/18	J		
15. -Fidelity International Discovery Fund					Sold	01/22/18	K		
16. -Fidelity Low Priced Stock Fund	C	Dividend	K	T	Sold (part)	01/22/18	J		
17. -Fidelity Small Cap Discovery Fund	D	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fidelity Strategic Income Fund	B	Dividend	K	T	Buy (add'l)	01/22/18	J		
19. -Fidelity New Markets Inc. Fund	A	Dividend	J	T	Buy (add'l)	01/22/18	J		
20. -Fidelity Leveraged Company Stock Fund	B	Dividend	J	T					
21. -Fidelity International Small Cap Stock Fund	A	Dividend	J	T	Buy	01/22/18	J		
22. -Fidelity International Capital Appreciation Stock Fund	A	Dividend	K	T	Buy	01/22/18	K		
23. Fidelity 457(b) Account (H)									
24. -Fidelity Focused Stock Fund	A	Dividend	J	T					
25. -Fidelity International Discovery Fund	A	Dividend			Sold	01/22/18	J		
26. -Fidelity Small Cap Discovery Fund					Sold	01/22/18	J		
27. -Fidelity Strategic Income Fund	A	Dividend	J	T					
28. -Fidelity Small Cap Value Fund	A	Dividend	J	T	Buy (add'l)	01/22/18	J		
29. -Fidelity Contrafund Fund					Sold	01/22/18	J		
30. -Fidelity International Small Cap Fund	A	Dividend	J	T	Buy	01/22/18	J		
31. Vanguard 403(b) Account (H)									
32. -Vanguard Capital Opportunity Fund	A	Dividend	J	T					
33. Vanguard 457 Account (H)									
34. -Vanguard Capital Opportunity Fund	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Securian Faculty 401(a) Account (H)									
36. -Vanguard Inflation Protected Securities Fund		None	K	T					
37. -Fidelity Strategic Income		None	K	T					
38. -Vanguard Wellington Fund		None	L	T					
39. -Vanguard Primecap Fund		None	M	T					
40. -Vanguard International Value Fund		None	L	T					
41. Learning Quest 529 Account (H)									
42. -Aggressive Track: Conservative Portfolio		None	J	T	Sold (part)	08/07/18	K		
43. -Moderate Track: Very Conservative Portfolio		None	K	T					
44. E*Trade Rollover IRA (H)									
45. -BLDRS Emerging Markets 50 ADR Index Fund	A	Dividend	J	T					
46. -SPDR Gold Shares ETF		None	J	T					
47. -Harbor International Investor Fund					Sold	01/22/18	J		
48. -Janus Global Allocation Conservative Fund	A	Dividend	J	T					
49. -Neuberger Berman Genesis Fund	B	Dividend	J	T					
50. -Parnassus Core Equity Fund	B	Dividend	J	T					
51. -T. Rowe Price International Discovery	A	Dividend	J	T					

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52. -Oakmark International	A	Dividend	J	T	Buy	01/22/18	J		
53. E*Trade IRA (H)			J	T					
54. -Icon Energy Fund	A	Dividend	J	T					
55. -Primecap Odyssey Stock Fund	A	Dividend	J	T					
56. E*Trade Roth IRA #1 (H)									
57. -Litman Gregory Masters International Fund					Sold	01/22/18	J		
58. -Neuberger Berman Genesis Fund	A	Dividend	J	T					
59. -T Rowe Price International Discovery Fund	A	Dividend	J	T	Buy	01/24/18	J		
60. E*Trade Roth IRA #2 (H)									
61. -Litman Gregory Masters International Fund					Sold	01/22/18	J		
62. -Neuberger Berman Genesis Fund	A	Dividend	J	T					
63. -Hartford Schroders Emerging Markets Equity Fund	A	Dividend	J	T					
64. -T Rowe Price International Discovery	A	Dividend	J	T	Buy	01/24/18	J		
65. Janus Roth IRA #1 (H)									
66. -Janus Global Allocation Growth Fund	A	Dividend	J	T					
67. -Janus High-Yield Fund	A	Dividend	J	T					
68. -Janus Triton Fund	A	Dividend	J	T					

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69. -Janus International Value Fund	A	Dividend	J	T					
70. Janus Roth IRA #2 (H)									
71. -Janus Global Allocation Growth Fund	A	Dividend	J	T					
72. -Janus High-Yield Fund	A	Dividend	J	T					
73. -Janus Triton Fund	A	Dividend	J	T					
74. -Janus International Value Fund	A	Dividend	J	T					
75. TCF Bank Checking Account		None	J	T					
76. E*Trade Bank Checking Account	A	Int./Div.	L	T					
77. Mutual of Omaha Flexible Premium Adjustable Life Insurance Policy	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David R. Stras

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544