

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sanchez, Juan R.	2. Court or Organization District Court - E.D. PA	3. Date of Report 05/05/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court 601 Market Street, Room 5613 Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Consultors (Trustees)	Villanova University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2004	PA State Employees Retirement System, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Temple University, part time salary	\$13,356.00
2. 2016	PA State Employees Retirement Systems, retirement	\$11,034.00
3. 2016	Villanova University Law School, part time salary	\$10,040.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	April 1-2	New York, NY	Judicial Conference	Meals, Hotel, Transportation & Conference
2. Federal Judicial Center	March 10-13	Cambridge, MA	Judicial Seminar-Law & Society	Meals, Hotel, & Transportation expenses
3. Department of Justice	December 12-15	Columbia, SC	Judicial Seminar-Basic Criminal Trial Advocacy	Meals, Hotel & Transportation
4. Administrative Office of the U.S. Courts	November 13-15	Skytop, PA	Judicial Seminar/Judicial Retreat	Meals, Hotel & Transportation
5. Administrative Office of the U.S. Courts	October 25	Washington, DC	Defender Services Subcommittee meeting	Transportation

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6.	Administrative Office of the U.S. Courts	December 7-9	New York, NY	Defender Services Committee meeting	Meals, Hotel & Transportation
7.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1 (H)									
2. -Fidelity Contrafund	A	Dividend	K	T					
3. -Sentinel Small Co	B	Dividend	J	T					
4. -Fidelity Cash Reserves	A	Dividend	J	T					
5. IRA #2 (H)									
6. -iShares China Large Cap ETF	A	Dividend			Sold	07/18/16	J		
7. -iShares Select Dividend ETF	A	Dividend	J	T	Buy	08/15/16	J		
8. -iShares Cohen & Steers REIT ETF	A	Dividend	K	T					
9. -Fidelity Contrafund	A	Dividend	K	T					
10. -Franklin Mid Cap Value Fund	A	Dividend	K	T					
11. -Keeley Small Cap Value Fund	A	Dividend	J	T					
12. -Loomis Sayles Bond Instl	B	Dividend	L	T					
13. -Fidelity Cash Reserves	A	Dividend	J	T					
14. Rental [REDACTED] -(\$81,899) West Chester, PA		None	L	R					
15. Brokerage Account #1 (H)									
16. -American Growth Fund of America	A	Dividend	J	T	Sold (part)	04/20/16	J		
17. -American Investment Company of America	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Keeley Small Cap Value Fund	A	Dividend	J	T					
19. -Ishares Select Dividend ETF	A	Dividend	J	T					
20. -Loomis Sayles Bond Instl	A	Dividend	J	T	Sold (part)	04/20/16	J		
21. -Nuveen PA Invst Qlty Mun Fd	A	Dividend	J	T					
22. -Fidelity Investments Core cash account	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, item 13. An apartment [REDACTED] was improved and was being rented in prior years. No rent received in 2016 but apartment may be rented out again. The cost was allocated from the original purchase price of the home June 4, 2007 and improvements to the apartment (additional improvements in 2016 (portion allocated to apartment), \$2,704 added to cost basis).

Part VII, item 8. Changed name to match exactly to mutual fund name shown on account statement. No change in fund from sale or purchase, just change from "iShares TR Cohen & Steers Realty Majors" to "iShares Cohen & Steers REIT". Symbol is ICF and is unchanged.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Juan R. Sanchez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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