

Judiciary Branch Personnel

Financial Disclosure Report (AO Form 10)

Filer's Information

Benitez, Roger T

Report Year: 2018

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Roger T Benitez [electronically signed on 06/11/2019 by Roger T Benitez in JEPS]

Certifying Official- I conclude that, based on the information contained in this report and to the best of my knowledge, this report is in compliance with the Ethics in Government Act, as amended, and other applicable laws and regulations.

General Comments:

COMMENT

Scottrade merged or was purchased by TD Ameritrade.

I. Positions

#	ORGANIZATION NAME	ORGANIZATION TYPE	POSITION HELD
1	Thomas Jefferson School of Law	University/College	Board of Trustees- Corporate Secretary
2	Association of Business Trial Lawyers of San Diego	Lawyer Education	Board of Trustees

II. Agreements

None

III A. Filer's Non-Investment Income

None

III B. Spouse's Non-Investment Income

#	SOURCE	DESCRIPTION
1	California State Teachers Retirement	

IV. Reimbursements

None

V. Gifts

None

VI. Liabilities

#	CREDITOR	TYPE	VALUE
1	Quicken Home Loans	Mortgage on rental property	\$100,001 - \$250,000
2	Bank of America	Revolving Credit card	\$1 - \$15,000

VII. Investments and Trusts

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Parcel 4 Salton City, Ca		None	\$1 - \$15,000	Estimated			
Parcel 5 San Diego, CA	\$15,001 - \$50,000	Rent	\$500,001 - \$1,000,000	Estimated			
Note (X)- Steve and Anna Seibert	\$15,001 - \$50,000	Interest	\$100,001 - \$250,000	Cash Market			
Northern Life Annuity/ING now VOYA TSA group1	\$1,001 - \$2,500	Interest	\$50,001 - \$100,000	Cash Market			
Ameritrade Account #1							
Sweep Account - TD Bank	\$1,001 - \$2,500	Interest	\$100,001 - \$250,000	Cash Market			
Annaly Capital- NLY	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
Annaly Capital- NLY					Sold	01/16/2018	\$15,000 or less
Annaly Capital- NLY					Purchased	07/12/2018	\$15,000 or less
Annaly Capital- NLY					Purchased	07/10/2018	\$15,000 or less
Annaly Capital- NLY					Purchased	08/23/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Annaly Capital- NLY					Purchased	08/24/2018	\$15,000 or less
Annaly Capital- NLY					Purchased	12/03/2018	\$15,000 or less
Annaly Capital- NLY					Purchased	12/06/2018	\$15,000 or less
Annaly Capital- NLY					Purchased	10/25/2018	\$15,000 or less
Apple Common Stock- AAPL	\$1,000 or less	Dividend	None	Cash Market			
Apple Common Stock- AAPL					Purchased	01/19/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Sold	01/25/2018	\$50,001 - \$100,000
Apple Common Stock- AAPL					Purchased	02/06/2018	\$15,000 or less
Apple Common Stock- AAPL					Purchased	09/19/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Sold	11/30/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Purchased	11/28/2018	\$15,000 or less
Apple Common Stock- AAPL					Sold	09/28/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Purchased	10/12/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Sold	05/31/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Purchased	08/23/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Purchased	08/24/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Apple Common Stock- AAPL					Purchased	09/05/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Purchased	09/10/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Sold	09/28/2018	\$50,001 - \$100,000
Apple Common Stock- AAPL					Purchased	10/12/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Purchased	10/25/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Sold	11/15/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Sold	11/19/2018	\$15,001 - \$50,000
Apple Common Stock- AAPL					Sold	11/30/2018	\$15,001 - \$50,000
CVS Health Corporation common stock CVS	\$1,000 or less	Dividend	None	Cash Market			
CVS Health Corporation common stock CVS					Sold	04/05/2018	\$15,000 or less
Qwest Corp Preferential Rate- preferred stock-cty	\$1,000 or less	Interest, Gain	None	Cash Market			
Qwest Corp Preferential Rate- preferred stock-cty					Sold	08/24/2018	\$15,000 or less
Facebook Inc. CLA common stock FB	\$1,000 or less	Dividend	None	Cash Market			
Facebook Inc. CLA common stock FB					Purchased	07/30/2018	\$15,001 - \$50,000
Facebook Inc. CLA common stock FB					Purchased	08/03/2018	\$15,001 - \$50,000
Facebook Inc. CLA common stock FB					Purchased	08/08/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Facebook Inc. CLA common stock FB					Sold	08/20/2018	\$50,001 - \$100,000
Wal mart Inc. common stock WMT	\$1,000 or less	Dividend	None	Cash Market			
Wal mart Inc. common stock WMT					Sold	03/01/2018	\$15,000 or less
International Business Machines common stock IBM	\$1,000 or less	Dividend, Gain	None	Cash Market			
International Business Machines common stock IBM					Sold	01/19/2018	\$50,001 - \$100,000
Qualcom Inc. common stock QCOM	\$1,000 or less	Dividend	None	Cash Market			
Qualcom Inc. common stock QCOM					Purchased	02/20/2018	\$15,001 - \$50,000
Qualcom Inc. common stock QCOM					Purchased	04/12/2018	\$15,000 or less
Qualcom Inc. common stock QCOM					Purchased	04/04/2018	\$15,000 or less
Qualcom Inc. common stock QCOM					Purchased	03/27/2018	\$15,000 or less
Qualcom Inc. common stock QCOM					Sold	04/16/2018	\$15,001 - \$50,000
Bank of America- common BAC	\$1,000 or less	Dividend, Gain	None	Cash Market			
Bank of America- common BAC					Purchased	01/02/2018	\$15,000 or less
Bank of America- common BAC					Purchased	01/24/2018	\$15,000 or less
Bank of America- common BAC					Purchased	02/06/2018	\$15,001 - \$50,000
Bank of America- common BAC					Sold	02/05/2015	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Bank of America- common BAC					Purchased	01/31/2018	\$15,000 or less
Bank of America- common BAC					Purchased	03/27/2018	\$15,000 or less
Bank of America- common BAC					Purchased	03/23/2018	\$15,000 or less
Bank of America- common BAC					Sold	04/13/2018	\$15,001 - \$50,000
Bank of America- common BAC					Purchased	04/04/2018	\$15,000 or less
Alibaba Group Holding LTD- common-BABA	None	None	\$100,001 - \$250,000	Cash Market			
Alibaba Group Holding LTD- common-BABA					Purchased	01/24/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	01/29/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Sold	03/26/2018	\$50,001 - \$100,000
Alibaba Group Holding LTD- common-BABA					Sold	05/07/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	04/26/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	06/07/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	06/08/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Sold	06/14/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	06/21/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	06/26/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Alibaba Group Holding LTD- common-BABA					Purchased	07/09/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	07/13/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Sold	07/25/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	08/03/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Sold	08/08/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Sold	08/16/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Sold	08/17/2018	\$50,001 - \$100,000
Alibaba Group Holding LTD- common-BABA					Purchased	08/30/2018	\$50,001 - \$100,000
Alibaba Group Holding LTD- common-BABA					Purchased	09/04/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	09/11/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	09/19/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Sold	10/25/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	11/28/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	12/03/2018	\$15,001 - \$50,000
Alibaba Group Holding LTD- common-BABA					Purchased	12/06/2018	\$15,001 - \$50,000
Dixerion Mining-common stock NUGT	\$1,000 or less	Gain	None	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Dixerion Mining-common stock NUGT					Purchased	01/04/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Sold	01/18/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Purchased	01/19/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Sold	01/25/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Purchased	02/06/2018	\$15,001 - \$50,000
Dixerion Mining-common stock NUGT					Sold	04/12/2018	\$15,001 - \$50,000
Dixerion Mining-common stock NUGT					Purchased	05/16/2018	\$15,001 - \$50,000
Dixerion Mining-common stock NUGT					Sold	06/14/2018	\$15,001 - \$50,000
Dixerion Mining-common stock NUGT					Purchased	07/12/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Sold	07/25/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Purchased	08/21/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Purchased	08/22/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Purchased	08/24/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Purchased	09/10/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Sold	11/06/2018	\$15,000 or less
Dixerion Mining-common stock NUGT					Sold	11/07/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Dixerion Mining-common stock NUGT					Sold	11/21/2018	\$15,000 or less
General Electric Company common stock GE	\$1,000 or less	Dividend	None	Cash Market			
General Electric Company common stock GE					Purchased	01/31/2018	\$15,000 or less
General Electric Company common stock GE					Purchased	02/06/2018	\$15,000 or less
General Electric Company common stock GE					Sold	03/27/2018	\$15,000 or less
Micron Technology common stock MU	None	None	\$15,000 or less	Cash Market			
Micron Technology common stock MU					Purchased	04/11/2018	\$15,000 or less
Micron Technology common stock MU					Purchased	04/13/2018	\$15,000 or less
Micron Technology common stock MU					Sold	04/16/2018	\$15,001 - \$50,000
Micron Technology common stock MU					Purchased	04/26/2018	\$15,001 - \$50,000
Micron Technology common stock MU					Sold	05/09/2018	\$15,001 - \$50,000
Micron Technology common stock MU					Purchased	06/21/2018	\$15,001 - \$50,000
Micron Technology common stock MU					Purchased	06/25/2018	\$15,000 or less
Micron Technology common stock MU					Sold	08/03/2018	\$15,000 or less
Micron Technology common stock MU					Sold	08/10/2018	\$15,000 or less
Chevron Corporation common stock CVX	\$1,000 or less	Dividend	None	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Chevron Corporation common stock CVX					Purchased	01/12/2018	\$15,000 or less
Chevron Corporation common stock CVX					Sold	04/27/2018	None
Marathon Oil Corp	\$1,000 or less	Gain	None	Cash Market			
Marathon Oil Corp					Purchased	04/12/2018	\$15,001 - \$50,000
Marathon Oil Corp					Sold	04/16/2018	\$15,001 - \$50,000
Marathon Oil Corp					Purchased	05/07/2018	\$15,001 - \$50,000
Marathon Oil Corp					Sold	05/07/2018	\$15,001 - \$50,000
Regions Financial Corp	\$1,000 or less	Gain	\$15,000 or less	Cash Market			
Regions Financial Corp					Purchased	04/16/2018	\$15,000 or less
Regions Financial Corp					Purchased	04/17/2018	\$15,000 or less
Regions Financial Corp					Purchased	04/18/2018	\$15,000 or less
Regions Financial Corp					Purchased	04/19/2018	\$15,000 or less
Regions Financial Corp					Purchased	04/26/2018	\$15,000 or less
Regions Financial Corp					Purchased	04/27/2018	\$15,000 or less
Regions Financial Corp					Purchased	04/07/2018	\$15,000 or less
Regions Financial Corp					Purchased	05/29/2018	\$15,000 or less
Regions Financial Corp					Purchased	05/31/2018	None

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Regions Financial Corp					Sold	06/06/2018	\$15,001 - \$50,000
Regions Financial Corp					Purchased	06/08/2018	\$15,000 or less
Regions Financial Corp					Purchased	06/14/2018	\$15,000 or less
Regions Financial Corp					Purchased	07/10/2018	\$15,001 - \$50,000
Regions Financial Corp					Purchased	10/25/2018	\$15,000 or less
Regions Financial Corp					Sold	10/31/2018	\$15,000 or less
Brookfield Infastructure Partners	\$1,000 or less	Gain	None	Cash Market			
Brookfield Infastructure Partners					Purchased	06/04/2018	\$15,001 - \$50,000
Brookfield Infastructure Partners					Sold	06/15/2018	\$15,001 - \$50,000
Brookfield Infastructure Partners					Purchased	07/10/2018	\$15,000 or less
Brookfield Infastructure Partners					Sold	08/14/2018	\$15,000 or less
Vascular Biogenics Ltd. - Ordinary Shares	None	None	None	Cash Market			
Vascular Biogenics Ltd. - Ordinary Shares					Purchased	01/02/2018	\$15,000 or less
Vascular Biogenics Ltd. - Ordinary Shares					Sold	01/18/2018	\$15,000 or less
The AES Corp	\$1,000 or less	Dividend	None	Cash Market			
The AES Corp					Purchased	01/26/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
The AES Corp					Purchased	01/31/2018	\$15,000 or less
The AES Corp					Sold	03/26/2018	\$15,000 or less
Ford Motor Co	None	None	None	Cash Market			
Ford Motor Co					Purchased	01/26/2018	\$15,000 or less
Ford Motor Co					Purchased	01/29/2018	\$15,000 or less
Ford Motor Co					Purchased	01/31/2018	\$15,000 or less
Ford Motor Co					Sold	12/23/2018	\$15,000 or less
PPL Corp	\$1,000 or less	Dividend, Gain	None	Cash Market			
PPL Corp					Purchased	04/03/2018	\$15,000 or less
PPL Corp					Purchased	05/16/2018	\$15,000 or less
PPL Corp					Sold	06/22/2018	\$15,001 - \$50,000
Chesapeake Energy Corp	None	None	None	Cash Market			
Chesapeake Energy Corp					Purchased	01/29/2018	\$15,000 or less
Chesapeake Energy Corp					Sold	03/26/2018	\$15,000 or less
Goldcorp Inc	None	None	None	Cash Market			
Goldcorp Inc					Purchased	04/04/2018	\$15,000 or less
Goldcorp Inc					Sold	04/27/2018	\$15,000 or less
Intel Corp	\$1,000 or less	Gain	None	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Intel Corp					Purchased	04/11/2018	\$15,000 or less
Intel Corp					Sold	04/17/2018	\$15,000 or less
Under Armour	\$1,000 or less	Gain	None	Cash Market			
Under Armour					Purchased	04/11/2018	\$15,000 or less
Under Armour					Sold	04/26/2018	\$15,000 or less
Bristol-Myers Squibb	\$1,000 or less	Gain	None	Cash Market			
Bristol-Myers Squibb					Purchased	04/18/2018	\$15,001 - \$50,000
Bristol-Myers Squibb					Sold	06/14/2018	\$15,001 - \$50,000
Nuance Communications, Inc. - Common Stock	None	None	None	Cash Market			
Nuance Communications, Inc. - Common Stock					Purchased	04/27/2018	\$15,000 or less
Nuance Communications, Inc. - Common Stock					Purchased	05/07/2018	\$15,000 or less
Nuance Communications, Inc. - Common Stock					Sold	06/22/2018	\$15,000 or less
Fluor	\$1,000 or less	Dividend	None	Cash Market			
Fluor					Purchased	05/22/2018	\$15,000 or less
Fluor					Sold	06/08/2018	\$15,000 or less
Campbell Soup Co	\$1,000 or less	Gain	None	Cash Market			
Campbell Soup Co					Purchased	05/31/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Campbell Soup Co					Purchased	06/07/2018	\$15,000 or less
Campbell Soup Co					Purchased	05/30/2018	\$15,000 or less
Campbell Soup Co					Sold	06/14/2018	\$15,000 or less
The Intergroup of Companies	\$1,000 or less	Dividend, Gain	None	Cash Market			
The Intergroup of Companies					Purchased	05/30/2018	\$15,000 or less
The Intergroup of Companies					Purchased	05/31/2018	\$15,000 or less
The Intergroup of Companies					Sold	06/06/2018	\$15,001 - \$50,000
Haliburton Company	None	None	None	Cash Market			
Haliburton Company					Purchased	06/25/2018	\$15,000 or less
Haliburton Company					Purchased	07/27/2018	\$15,000 or less
Haliburton Company					Sold	08/10/2018	\$15,001 - \$50,000
Starbucks Corp	\$1,000 or less	Gain	None	Cash Market			
Starbucks Corp					Purchased	06/25/2018	\$15,000 or less
Starbucks Corp					Sold	06/27/2018	\$15,000 or less
Lam Research Corp	\$2,501 - \$5,000	Gain	None	Cash Market			
Lam Research Corp					Purchased	06/25/2018	\$15,001 - \$50,000
Lam Research Corp					Purchased	07/09/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Lam Research Corp					Sold	07/27/2018	\$15,001 - \$50,000
Public Service Enterprise Grp	\$1,000 or less	Gain	None	Cash Market			
Public Service Enterprise Grp					Purchased	07/10/2018	\$15,001 - \$50,000
Public Service Enterprise Grp					Sold	08/17/2018	\$15,001 - \$50,000
The Charles Schwab Corp	\$1,000 or less	Gain	None	Cash Market			
The Charles Schwab Corp					Purchased	07/12/2018	\$15,001 - \$50,000
The Charles Schwab Corp					Sold	07/25/2018	\$15,001 - \$50,000
The 3M Company	\$1,000 or less	Gain	None	Cash Market			
The 3M Company					Purchased	08/06/2018	\$15,001 - \$50,000
The 3M Company					Sold	09/11/2018	\$15,001 - \$50,000
Amazon.com, Inc. - Common Stock	None	None	None	Cash Market			
Amazon.com, Inc. - Common Stock					Purchased	08/16/2018	\$50,001 - \$100,000
Amazon.com, Inc. - Common Stock					Sold	09/26/2018	\$50,001 - \$100,000
Amazon.com, Inc. - Common Stock					Purchased	10/12/2018	\$15,001 - \$50,000
Amazon.com, Inc. - Common Stock					Sold	11/16/2018	\$15,001 - \$50,000
Advanced Micro Devices Inc	None	None	\$15,001 - \$50,000	Cash Market			
Advanced Micro Devices Inc					Purchased	12/06/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
AmeriTrade Brokerage Account - 2 (H) 3							
Sweep account- TD Bank	\$1,000 or less	Interest	\$15,001 - \$50,000	Cash Market			
Under Armour	\$1,000 or less	Gain	None	Cash Market			
Under Armour					Purchased	04/11/2018	\$15,000 or less
Under Armour					Sold	06/06/2018	\$15,000 or less
Direxion Daily Gold Miners common stock NUGT	\$1,000 or less	Gain	\$15,000 or less	Cash Market			
Direxion Daily Gold Miners common stock NUGT					Purchased	08/21/2018	\$15,000 or less
Direxion Daily Gold Miners common stock NUGT					Purchased	08/28/2018	\$15,000 or less
Direxion Daily Gold Miners common stock NUGT					Purchased	09/04/2018	\$15,000 or less
Direxion Daily Gold Miners common stock NUGT					Purchased	09/05/2018	\$15,000 or less
Direxion Daily Gold Miners common stock NUGT					Sold	09/19/2018	\$15,001 - \$50,000
Micron Technology Inc	None	None	None	Cash Market			
Micron Technology Inc					Purchased	06/06/2018	\$15,001 - \$50,000
Micron Technology Inc					Purchased	06/14/2018	\$15,000 or less
Micron Technology Inc					Sold	07/27/2018	\$15,000 or less
Micron Technology Inc					Sold	08/08/2018	\$15,000 or less
Micron Technology Inc					Sold	08/09/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Brookfield Infrastructure Partners	None	None	None	Cash Market			
Brookfield Infrastructure Partners					Purchased	07/10/2018	\$15,000 or less
Brookfield Infrastructure Partners					Sold	08/14/2018	\$15,000 or less
Regions Financial Corp	None	None	None	Cash Market			
Regions Financial Corp					Purchased	06/21/2018	\$15,000 or less
Regions Financial Corp					Sold	07/13/2018	\$15,000 or less
Apple- common stock AAPL	\$5,001 - \$15,000	Dividend, Gain	None	Cash Market			
Apple- common stock AAPL					Sold	06/08/2018	\$15,001 - \$50,000
Apple- common stock AAPL					Purchased	09/08/2018	\$15,001 - \$50,000
Apple- common stock AAPL					Sold	09/28/2018	\$15,001 - \$50,000
Apple- common stock AAPL					Purchased	10/12/2018	\$15,001 - \$50,000
Apple- common stock AAPL					Purchased	11/28/2018	\$15,000 or less
Apple- common stock AAPL					Sold	11/30/2018	\$15,001 - \$50,000
Bank of America- common stock BAC	\$1,000 or less	Dividend, Gain	None	Cash Market			
Bank of America- common stock BAC					Sold	04/13/2018	\$15,000 or less
Alibaba Group Holding	None	None	\$15,000 or less	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Alibaba Group Holding					Purchased	08/30/2018	\$15,001 - \$50,000
Alibaba Group Holding					Sold	09/10/2018	\$15,001 - \$50,000
Alibaba Group Holding					Purchased	09/19/2018	\$15,000 or less
Annaly Capitol	\$1,000 or less	Dividend	None	Cash Market			
Annaly Capitol					Purchased	08/28/2018	\$15,000 or less
Annaly Capitol					Sold	11/07/2018	\$15,000 or less
The Intergroup of Companies	\$1,000 or less	Gain	None	Cash Market			
The Intergroup of Companies					Purchased	07/27/2018	\$15,000 or less
The Intergroup of Companies					Purchased	08/24/2018	\$15,000 or less
The Intergroup of Companies					Sold	08/24/2018	\$15,001 - \$50,000
D.R. Horton, Inc	None	None	None	Cash Market			
D.R. Horton, Inc					Purchased	06/11/2018	\$15,000 or less
D.R. Horton, Inc					Sold	07/10/2018	\$15,000 or less
ETRADE Brokerage Account (H) - ETrasde Bank							
ETrade Bank	\$1,001 - \$2,500	Interest	\$15,001 - \$50,000	Cash Market			
Alibaba Group Holding Limited common stock BABA	\$2,501 - \$5,000	Gain	\$15,000 or less	Cash Market			
Alibaba Group Holding Limited common stock BABA					Sold	02/02/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Alibaba Group Holding Limited common stock BABA					Purchased	06/07/2018	\$15,000 or less
Alibaba Group Holding Limited common stock BABA					Purchased	06/08/2018	\$15,000 or less
Alibaba Group Holding Limited common stock BABA					Sold	06/14/2018	\$15,001 - \$50,000
Alibaba Group Holding Limited common stock BABA					Purchased	09/25/2018	\$15,000 or less
Alibaba Group Holding Limited common stock BABA					Sold	09/27/2018	\$15,000 or less
Alibaba Group Holding Limited common stock BABA					Purchased	10/01/2018	\$15,000 or less
Alibaba Group Holding Limited common stock BABA					Purchased	10/04/2018	\$15,000 or less
Alibaba Group Holding Limited common stock BABA					Sold	10/22/2018	\$15,000 or less
Alibaba Group Holding Limited common stock BABA					Sold	11/16/2018	\$15,000 or less
Alibaba Group Holding Limited common stock BABA					Purchased	11/27/2018	\$15,001 - \$50,000
Alibaba Group Holding Limited common stock BABA					Purchased	11/28/2018	\$15,001 - \$50,000
Direxion Daily Gol Miners Inde	\$1,000 or less	Gain, Dividend	None	Cash Market			
Direxion Daily Gol Miners Inde					Purchased	01/04/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Sold	01/18/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	01/29/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	02/06/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Direxion Daily Gol Miners Inde					Purchased	05/09/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	05/22/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	05/29/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	06/08/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Sold	06/14/2018	\$15,001 - \$50,000
Direxion Daily Gol Miners Inde					Purchased	06/15/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Sold	07/05/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	07/13/2018	\$15,001 - \$50,000
Direxion Daily Gol Miners Inde					Sold	07/27/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Sold	08/01/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	08/16/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	08/21/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	09/05/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Purchased	09/14/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Sold	09/17/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Sold	09/19/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Direxion Daily Gol Miners Inde					Purchased	10/01/2018	\$15,000 or less
Direxion Daily Gol Miners Inde					Sold	10/01/2018	\$15,000 or less
Annaly Capital Management Inc	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
Annaly Capital Management Inc					Purchased	05/07/2018	\$15,000 or less
Annaly Capital Management Inc					Purchased	06/22/2018	\$15,000 or less
Annaly Capital Management Inc					Purchased	07/10/2018	\$15,000 or less
Annaly Capital Management Inc					Sold	10/01/2018	\$15,000 or less
Annaly Capital Management Inc					Purchased	10/02/2018	\$15,000 or less
Annaly Capital Management Inc					Purchased	10/08/2018	\$15,000 or less
Apple Inc.	\$2,501 - \$5,000	Dividend, Gain	None	Cash Market			
Apple Inc.					Purchased	08/03/2018	\$15,001 - \$50,000
Apple Inc.					Purchased	09/19/2018	\$15,000 or less
Apple Inc.					Sold	09/20/2018	\$15,000 or less
Apple Inc.					Purchased	09/21/2018	\$15,000 or less
Apple Inc.					Sold	09/24/2018	\$15,000 or less
Apple Inc.					Sold	09/25/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Charle Schwab Corp	\$1,000 or less	Dividend, Gain	None	Cash Market			
Charle Schwab Corp					Purchased	07/09/2018	\$15,001 - \$50,000
Charle Schwab Corp					Purchased	07/13/2018	\$15,000 or less
Charle Schwab Corp					Sold	07/25/2018	\$15,001 - \$50,000
Charle Schwab Corp					Sold	08/31/2018	\$15,000 or less
Bank of America Corp	\$1,000 or less	Dividend, Gain	None	Cash Market			
Bank of America Corp					Purchased	01/02/2018	\$15,000 or less
Bank of America Corp					Purchased	01/25/2018	\$15,000 or less
Bank of America Corp					Purchased	01/30/2018	\$15,000 or less
Bank of America Corp					Sold	02/05/2018	\$15,001 - \$50,000
Bank of America Corp					Purchased	02/06/2018	\$15,000 or less
Bank of America Corp					Sold	04/13/2018	\$15,001 - \$50,000
Southwest Airlines Co	\$1,001 - \$2,500	Gain	None	Cash Market			
Southwest Airlines Co					Purchased	10/29/2018	\$15,000 or less
Southwest Airlines Co					Purchased	11/06/2018	\$15,000 or less
Southwest Airlines Co					Sold	11/07/2018	\$15,000 or less
Southwest Airlines Co					Sold	11/30/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Southwest Airlines Co					Sold	12/11/2018	\$15,000 or less
Johnson and Johnson	None	None	None	Cash Market			
Johnson and Johnson					Purchased	11/21/2018	\$15,000 or less
Johnson and Johnson					Purchased	11/26/2018	\$15,000 or less
Johnson and Johnson					Sold	11/27/2018	\$15,001 - \$50,000
Axciom Corp	\$1,000 or less	Gain	None	Cash Market			
Axciom Corp					Purchased	07/09/2018	\$15,000 or less
Axciom Corp					Sold	07/13/2018	\$15,000 or less
Amazon.com, Inc. - Common Stock	\$1,001 - \$2,500	Dividend, Gain	\$15,001 - \$50,000	Cash Market			
Amazon.com, Inc. - Common Stock					Purchased	08/03/2018	\$15,001 - \$50,000
Amazon.com, Inc. - Common Stock					Sold	09/26/2018	\$15,001 - \$50,000
Amazon.com, Inc. - Common Stock					Purchased	10/12/2018	\$15,001 - \$50,000
Arconic Inc	\$1,000 or less	Gain	None	Cash Market			
Arconic Inc					Purchased	07/17/2018	\$15,000 or less
Arconic Inc					Sold	07/27/2018	\$15,000 or less
Chesapeake Energy Corp	\$1,000 or less	Gain	None	Cash Market			
Chesapeake Energy Corp					Purchased	05/07/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Chesapeake Energy Corp					Sold	05/09/2018	\$15,000 or less
EQT Corp	\$1,000 or less	Dividend	None	Cash Market			
EQT Corp					Purchased	07/30/2018	\$15,000 or less
EQT Corp					Sold	09/11/2018	\$15,000 or less
eBay Inc.	\$1,000 or less	Gain	None	Cash Market			
eBay Inc.					Purchased	07/27/2018	\$15,000 or less
eBay Inc.					Sold	07/30/2018	\$15,000 or less
Exelexis Inc	None	None	None	Cash Market			
Exelexis Inc					Sold	03/26/2018	\$15,000 or less
First Data Corp	\$1,000 or less	Gain	None	Cash Market			
First Data Corp					Purchased	05/21/2018	\$15,000 or less
First Data Corp					Sold	06/08/2018	\$15,000 or less
Halliburton Company	\$1,000 or less	Gain	None	Cash Market			
Halliburton Company					Purchased	06/19/2018	\$15,001 - \$50,000
Halliburton Company					Purchased	06/21/2018	\$15,000 or less
Halliburton Company					Sold	06/22/2018	\$15,001 - \$50,000
International Flavors and Fragrances Inc	\$1,000 or less	Dividend, Gain	None	Cash Market			
International Flavors and Fragrances Inc					Purchased	05/29/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
International Flavors and Fragrances Inc					Sold	07/11/2018	\$15,001 - \$50,000
Interpublic Group of Companies	None	None	None	Cash Market			
Interpublic Group of Companies					Purchased	07/09/2018	\$15,000 or less
Interpublic Group of Companies					Purchased	07/27/2018	\$15,000 or less
Interpublic Group of Companies					Sold	08/08/2018	\$15,000 or less
L Brands Inc	None	None	None	Cash Market			
L Brands Inc					Purchased	06/15/2018	\$15,000 or less
L Brands Inc					Sold	07/06/2018	\$15,000 or less
Marithon Oil Corp	\$1,000 or less	Gain	None	Cash Market			
Marithon Oil Corp					Purchased	04/13/2018	\$15,000 or less
Marithon Oil Corp					Sold	05/29/2018	\$15,000 or less
Micron Technology Inc	\$1,001 - \$2,500	Gain	None	Cash Market			
Micron Technology Inc					Purchased	04/12/2018	\$15,000 or less
Micron Technology Inc					Purchased	05/15/2018	\$15,000 or less
Micron Technology Inc					Sold	06/07/2018	\$15,000 or less
Nisource Inc	None	None	None	Cash Market			
Nisource Inc					Purchased	05/22/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Nisource Inc					Sold	06/19/2018	\$15,000 or less
PayPal Holdings Inc	None	None	None	Cash Market			
PayPal Holdings Inc					Purchased	03/15/2018	\$15,000 or less
PayPal Holdings Inc					Sold	03/26/2018	\$15,000 or less
Qualcom Inc	\$1,001 - \$2,500	Gain	None	Cash Market			
Qualcom Inc					Sold	01/12/2018	\$15,001 - \$50,000
Qualcom Inc					Purchased	05/07/2018	\$15,001 - \$50,000
Qualcom Inc					Purchased	05/14/2018	\$15,000 or less
Qualcom Inc					Sold	05/22/2018	\$15,001 - \$50,000
Starbucks Corp	None	None	None	Cash Market			
Starbucks Corp					Purchased	06/25/2018	\$15,000 or less
Starbucks Corp					Sold	07/17/2018	\$15,000 or less
3M Company	\$1,000 or less	Dividend	None	Cash Market			
3M Company					Purchased	08/08/2018	\$15,000 or less
3M Company					Sold	11/14/2018	\$15,000 or less
Under Armour Inc	\$1,000 or less	Gain	None	Cash Market			
Under Armour Inc					Purchased	04/12/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Under Armour Inc					Sold	04/27/2018	\$15,000 or less
Kohl's Corp	None	None	\$15,000 or less	Cash Market			
Kohl's Corp					Purchased	12/07/2018	\$15,000 or less
Advanced Micro Devices	\$2,501 - \$5,000	Gain	None	Cash Market			
Advanced Micro Devices					Purchased	10/29/2018	\$15,000 or less
Advanced Micro Devices					Sold	11/06/2018	\$15,000 or less
Advanced Micro Devices					Sold	11/16/2018	\$15,000 or less
Advanced Micro Devices					Purchased	11/21/2018	\$15,000 or less
Advanced Micro Devices					Sold	11/27/2018	\$15,001 - \$50,000
Regions Financial Corp	\$1,001 - \$2,500	Gain, Interest	None	Cash Market			
Regions Financial Corp					Purchased	11/21/2018	\$15,000 or less
Regions Financial Corp					Sold	11/30/2018	\$15,000 or less
Regions Financial Corp					Purchased	10/26/2018	\$15,000 or less
Regions Financial Corp					Purchased	10/31/2018	None
General Electric Co	None	None	None	Cash Market			
General Electric Co					Purchased	11/02/2018	\$15,000 or less
General Electric Co					Sold	11/26/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
General Electric Co					Sold	12/03/2018	\$15,000 or less
General Electric Co					Sold	12/11/2018	\$15,000 or less
Hanes Brands	\$1,000 or less	Gain	None	Cash Market			
Hanes Brands					Purchased	11/19/2018	\$15,000 or less
Hanes Brands					Sold	11/26/2018	\$15,000 or less
Weyerhaeuser Company	\$1,001 - \$2,500	Gain	None	Cash Market			
Weyerhaeuser Company					Purchased	12/06/2018	\$15,000 or less
Weyerhaeuser Company					Sold	12/11/2018	\$15,000 or less
Walmart Corp	\$1,000 or less	Dividend	None	Cash Market			
Honeywell Intl Inc	None	None	\$15,000 or less	Cash Market			
Honeywell Intl Inc					Purchased	11/15/2018	\$15,000 or less
Trust- - - (H)							
--Wells Fargo- aggregate	\$1,001 - \$2,500	Interest	\$100,001 - \$250,000	Cash Market			
--Parcel 6- San Diego, CA	\$15,001 - \$50,000	Rent	\$250,001 - \$500,000	Estimated			
Chase Bank-Aggregate	\$1,000 or less	Interest	\$250,001 - \$500,000	Cash Market			
Bank of America-Aggregate	\$1,000 or less	Interest	\$15,001 - \$50,000	Cash Market			
San Diego County Credit Union-Aggregate	\$1,000 or less	Interest	\$100,001 - \$250,000	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Valic							
Valic-Fixed Account Plus	None	None	\$15,000 or less	Cash Market			
Valic-Midcap Strat	None	None	\$15,001 - \$50,000	Cash Market			
Valic-Small Cap Special Value-Evergreen/Putnam	None	None	\$15,001 - \$50,000	Cash Market			
AIG Valic-Mid Cap Fund (Wellington)	None	None	\$15,001 - \$50,000	Cash Market			
Valic International Small Cap Equity/AIG	None	Rent	\$15,000 or less	Cash Market			
California State Employee 401K-Savings Plus Mid Cap Fund	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

Additional Information or Explanation