

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Christel, David W.	2. Court or Organization Western District of Washington	3. Date of Report 07/23/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Part time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 105 W. Evergreen Blvd. Suite 200 Vancouver, WA 98660		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	David W. Christel Attorney at Law, PC
2. Trustee	David W. Christel Attorney at Law 401 K Plan
3. Trustee (will change in 2013)	[REDACTED], Trust
4. Manager/Member	Amen Corner Management, LLC
5. Manager/Member	Blue Tee Management, LLC
6. Manager/Member	Double Cut Management, LLC
7. Manager/Member	Double Eagle Management, LLC
8. Manager/Member	First Cut Management, LLC
9. Manager/Member	Front Nine Management, LLC
10. Manager/Member	Garrow Trident, LLC
11. Manager/Member	Kick Point, LLC
12. Manager/Member	North Side Group, LLC
13. Manager/Member	Pelican Bay Management, LLC
14. Manager/Member	Rocklin Northwest, LLC
15. Manager/Member	Silver Lake RV Park, LLC
16. Attorney in Fact	[REDACTED] Christel

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17. Treasurer	Fort Vancouver High School 2004 Grad Night
18. Partner	Christel & Isley, LLP

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	David W. Christel Attorney at Law, PC (Salary)	\$81,000.00
2. 2012	David W. Christel Attorney at Law, PC (Business Income)	\$178,919.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Battle Ground School District, Salary
2. 2012	David W Christel Attorney at Law, PC, Miscellaneous Income
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of the Cascades	Mortgage on Commercial Rental (4.5% of property), Sunnybrook, OR (Pt VII, Line 471)	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	3 M COMPANY (Y)								
2.	8% ELKS ON GE DUE 3/23/11 BY CFI RATE (Y)								
3.	ACCENTURE PLC IRELAND CL A	A	Dividend	J	T				
4.	ACE LTD	A	Dividend	J	T	Buy	08/28/12	K	
5.					Buy (add'l)	09/12/12	J		
6.					Sold (part)	09/25/12	K	A	
7.					Buy (add'l)	10/03/12	J		
8.	ACTIVE BEAR ETF		None		Buy	07/24/12	J		
9.					Sold	09/06/12	J		
10.	ALLERGAN INC	A	Dividend	J	T	Buy (add'l)	03/01/12	J	
11.					Buy (add'l)	04/12/12	J		
12.	ALLIANZ NFJ INTL VALUE P	A	Dividend	K	T	Buy	04/12/12	K	
13.					Buy (add'l)	12/20/12	J		
14.	AMAZON COM INC		None		Sold	02/16/12	J		
15.	AMERICA MOVIL SA DE CV ADR L (Y)								
16.	AMERICAN EXPRESS CO	A	Dividend		Buy	09/25/12	K		
17.					Sold	12/21/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AMERICAN GRO FD OF AMERICA F1		None			Redeemed (part)	01/09/12	J	A	
19.					Redeemed	11/21/12	K		
20. AMERICAN GW FD OF AMERICA F2	A	Dividend	K	T	Buy	11/21/12	K		
21. AMERICAN TOWER CP CLASS A		None			Sold	01/03/12	J		
22. AMERICAN TOWER REIT COM		None			Buy	01/03/12	J		
23.					Sold	01/05/12	J	C	
24. ANADARKO PETE	A	Dividend			Buy	08/08/12	J		
25.					Sold	09/21/12	J	A	
26. APPLE	A	Dividend	K	T	Sold (part)	02/16/12	J	A	
27.					Buy (add'l)	10/18/12	K		
28.					Buy (add'l)	12/31/12	J		
29. ASSURANT INC (Y)									
30. AT&T INC	A	Dividend	J	T	Buy	01/19/12	J		
31.					Sold	03/14/12	J	A	
32.					Buy	05/21/12	J		
33.					Sold	07/20/12	J	A	
34.					Buy	09/26/12	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. BANK OF AMERICA CORP	A	Dividend	J	T					
36. BLACKROCK EQUITY DIVIDEND A		None			Sold (part)	01/09/12	J	A	
37.					Sold	01/24/12	K		
38. BLACKROCK EQUITY DIVIDEND I	A	Dividend	K	T	Buy	01/24/12	K		
39. BLACKROCK HI YIELD BD PTF A	A	Dividend			Buy (add'l)	01/04/12	J		
40.					Sold	01/24/12	K		
41. BLACKROCK HI YIELD BD PTF INST	B	Dividend	K	T	Buy	01/24/12	K		
42.					Sold (part)	09/25/12	J	A	
43. BLACKSTONE GROUP LP	A	Dividend	J	T					
44. BOEING CO	A	Dividend			Buy (add'l)	01/05/12	J		
45.					Buy (add'l)	02/02/12	K		
46.					Sold (part)	04/20/12	J		
47.					Sold (part)	09/12/12	J		
48.					Buy (add'l)	10/31/12	J		
49.					Sold	12/21/12	J	A	
50. BORG WARNER		None			Sold	07/24/12	J		
51. BRISTOL MYERS SQUIBB CO	A	Dividend			Buy	01/25/12	J		

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52.					Sold	06/15/12	J	A	
53. CALAMOS CONVERTIBLE FUNDS A (Y)									
54. CALL ANADARKO PETROLEUM EXPIRES 09/22/2012 (X)		None			Sold	08/08/12	J	A	
55. CALL AT&T EXPIRES 04/21/2012		None	J	T	Buy	03/14/12	J		
56. CALL CBS CORP NEW CL B SHARES EXPIRES 09/22/2012 (X)		None			Sold	07/30/12	J	B	
57.					Buy	09/06/12	J		
58.					Sold	11/06/12	J	A	
59. CALL CHEVRON TEXACO CORP EXPIRES 12/22/2012 (X)		None			Sold	10/31/12	J		
60. CALL EBAY INC EXPIRES 07/21/2012 (X)		None			Sold	07/21/12	J	A	
61. CALL GENERAL ELECTRIC CO EXPIRES 02/18/2012		None	J	T	Buy	01/26/12	J		
62. CALL HONEYWELL INTL EXPIRES 12/22/2012 (X)		None			Sold	11/02/12	J	A	
63. CALL INTEL CORP EXPIRES 12/17/2011 (Y)									
64. CALL JP MORGAN CHASE&CO EXPIRES		None	J	T	Buy	03/08/12	J		
65. CALL MICROSOFT CORP EXPIRES 02/18/2012		None	J	T	Buy	01/26/12	J		
66. CALL SPDR GOLD TR GOLD SHS EXPIRES 01/21/2012 (Y)									
67. CALL TARGET CORP EXPIRES 03/17/2012		None	J	T	Buy	02/28/12	J		
68. CALL TEXAS INSTRUMENTS EXPIRES 11/17/2012 (X)		None			Sold	10/04/12	J	A	

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69. CALL UNITED TECHNOLOGIES EXPIRES 03/17/2012 (X)		None			Sold	01/23/12	J	A	
70. CALL WILLIAMS CO EXPIRES 12/22/2012 (X)		None			Sold	11/01/12	J		
71. CARDINAL HEALTH INC	A	Dividend			Sold	04/13/12	J		
72. CARNIVAL CP NEW PAIRED COM (Y)									
73. CATERPILLAR INC	A	Dividend	J	T	Buy (add'l)	06/19/12	J		
74.					Buy (add'l)	08/09/12	J		
75. CBS CORP NEW CL B SHARES	A	Dividend			Buy	03/08/12	J		
76.					Sold	06/15/12	J	A	
77.					Buy	07/30/12	K		
78.					Sold	09/06/12	K	B	
79.					Buy	11/06/12	K		
80.					Sold	12/06/12	K	A	
81. CELGENE CORP		None	J	T	Buy	09/14/12	J		
82. CENTRIAS ELECTICAS CO ADR	A	Dividend	J	T					
83. CHEVRON	A	Dividend	J	T	Buy (add'l)	01/19/12	J		
84.					Sold (part)	03/16/12	J	A	
85.					Buy (add'l)	10/31/12	K		

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86.					Sold (part)	12/21/12	K	A	
87. CISCO SYS INC	A	Dividend	J	T	Buy (add'l)	01/19/12	J		
88.					Sold (part)	03/14/12	J	A	
89.					Sold (part)	04/25/12	J	A	
90.					Buy (add'l)	08/10/12	J		
91. CITIGROUP CALLABLE LEVERAGED CMS DUE 2026-03	A	Interest			Redeemed	03/16/12	K		
92. CLOROX CO DE		None			Buy	10/04/12	J		
93.					Sold	10/19/12	J	A	
94. CNO FINL GROUP INC COM (Y)									
95. COACH INC	A	Dividend	J	T	Sold (part)	04/03/12	J	A	
96.					Buy (add'l)	06/19/12	J		
97.					Buy (add'l)	09/06/12	J		
98. COCA COLA CO	A	Dividend			Buy	02/02/12	J		
99.					Sold (part)	03/01/12	J	A	
100.					Buy (add'l)	08/28/12	K		
101.					Sold	10/19/12	K		
102. COLGATE PALMOLIVE CO	A	Dividend	J	T	Buy	01/19/12	J		

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103.					Buy (add'l)	02/07/12	J		
104.					Sold (part)	02/17/12	J	A	
105.					Buy (add'l)	03/19/12	J		
106.					Sold (part)	08/09/12	J	A	
107. CONOCOPHILIPS (Y)									
108. COSTCO WHOLESALE CORP	A	Dividend	J	T	Sold (part)	01/06/12	J		
109. COVIDIEN PLC NEW	A	Dividend	J	T					
110. CVS CAREMARK CORP	A	Dividend	J	T	Buy	02/07/12	J		
111.					Buy (add'l)	03/07/12	J		
112. DANAHER	A	Dividend	J	T					
113. DAVIS NEW YORK VENTURE Y		None			Sold (part)	01/09/12	J		
114.					Sold	12/07/12	J	A	
115. DELAWARE TX-FR USA INTERMED A	A	Dividend	J	T	Redeemed (part)	01/09/12	J		
116. DISCOVER FINANCIAL SERVICES	A	Dividend			Buy	06/20/12	J		
117.					Sold	07/20/12	J	A	
118. DOLLAR GEN CORP NEW COM (Y)									
119. DREYFUS INTL BOND I	A	Dividend	K	T	Buy	09/11/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. DU PONT EL DE NEMOURS & CO	A	Dividend			Buy	04/25/12	J		
121.					Sold	09/05/12	J		
122. DWS MANAGED MUNI BOND A DIV	A	Dividend	J	T					
123. EBAY		None			Buy	05/21/12	J		
124.					Sold	07/20/12	J	A	
125. EMC CORP MASS (Y)									
126. EMERSON ELECTRIC CO	A	Dividend			Buy	08/06/12	K		
127.					Sold	08/14/12	K	A	
128.					Buy	10/16/12	J		
129.					Sold	12/21/12	J	A	
130. ENERGY TRANSFER PARTNERS L P	A	Interest	J	T					
131. ENTERPRISE PROD PARTNERS L.P.	A	Interest	J	T					
132. EXXON MOBIL CORP	A	Dividend	J	T	Buy (add'l)	03/23/12	K		
133.					Sold (part)	08/08/12	K	A	
134.					Sold (part)	11/27/12	J	A	
135. FACEBOOK INC CL-A		None	J	T	Buy	05/17/12	J		
136. FIDELITY ADV SH-INT MUNI INC A	A	Dividend	J	T	Redeemed (part)	01/09/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Redeemed (part)	04/10/12	J		
138. FIDELITY ADV SH-INT MUNI INC I	A	Dividend	J	T	Buy	04/10/12	J		
139.					Buy (add'l)	05/16/12	J		
140. FIRST EAGLE GOLD A (Y)									
141. FIRST EAGLE GOLD I	A	Dividend	J	T	Redeemed (part)	01/09/12	J		
142.					Buy (add'l)	08/24/12	J		
143. FIRST TRUST HEALTH CARE ALPHA ETF	A	Dividend	K	T					
144. FIRST TRUST CONSUMER STAPLES ETF		None			Sold	02/07/12	J		
145. FIRST TRUST INDS/PRODS ETF (Y)									
146. FIRST TRUST MILD CAP CORE ETF		None			Sold (part)	05/03/12	J	C	
147.					Sold	06/13/12	K	A	
148. FIRST TRUST SMALL CAP CORE ETF		None			Buy (add'l)	01/10/12	J		
149.					Sold (part)	05/03/12	J	A	
150.					Sold	06/13/12	J		
151. FORD CAPITAL TRUST II PREFERENTIAL RATE DUE: 2032-01-15 (Y)									
152. FORD MOTOR CO (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. FRANKLIN HI YIELD TX FR A (Y)									
154. FRANKLIN HI YIELD TX FR ADV	A	Dividend	J	T					
155. FRANKLIN RESOURCES INC	A	Dividend			Sold	05/16/12	J	A	
156. GENERAL ELECTRIC ELKS BY CITIGROUP EXCHANGE DUE 2011-0 (Y)									
157. GENERAL ELECTRIC CO	A	Dividend	J	T	Sold (part)	01/26/12	K	C	
158.					Buy (add'l)	04/25/12	J		
159.					Buy (add'l)	06/19/12	J		
160.					Sold (part)	08/17/12	J	A	
161.					Buy (add'l)	09/06/12	K		
162.					Sold (part)	09/19/12	K	A	
163. GENERAL MILLS INC	A	Dividend			Buy	03/23/12	K		
164.					Sold	09/21/12	K	A	
165. GENERAL MOTORS CORP		None			Sold	01/13/12	J		
166. GILEAD SCIENCE		None	J	T	Buy	09/14/12	J		
167. GOLDMAN SACH GRP IN	A	Dividend	K	T					
168. GOLDMAN SACHS GRW OPPORT A (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. GOLDMAN SACHS GRW OPPORT I	A	Dividend	K	T	Sold (part)	01/09/12	J		
170.					Buy (add'l)	11/05/12	J		
171. GOOGLE INC-CL A		None	J	T	Buy	11/28/12	J		
172.					Buy (add'l)	12/11/12	J		
173. GUGG RUSSELL TOP 50 MEG CP ETF (X)	A	Dividend	J	T					
174. GUGGENHEIM RUSSELL TOP 50 ETF	B	Dividend	K	T	Buy	03/22/12	J		
175.					Buy (add'l)	04/12/12	J		
176.					Buy (add'l)	06/13/12	J		
177. GUGGENHEIM S&P 500 EQU WEIGHT (X)	A	Dividend			Sold (part)	05/16/12	J		
178.					Sold	09/26/12	J	A	
179. GUGGENHEIM SPIN OFF ETF (Y)									
180. H J HEINZ	A	Dividend	J	T	Sold (part)	08/29/12	J	A	
181. HANCOCK HORIZON BURKENROAD A	A	Dividend	J	T	Buy	09/12/12	J		
182. HEALTH CARE SEL SECT SPDR FUND	A	Dividend			Buy	08/31/12	J		
183.					Sold	10/05/12	J	A	
184. HENDERSON INTL OPPORTUNITIES A (Y)									
185. HEWLETT PACKARD (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186. HOME DEPOT INC		None			Buy	11/01/12	K		
187.					Sold	11/26/12	K	A	
188. HONEYWELL INTERNATIONAL INC	A	Dividend	J	T	Buy (add'l)	03/19/12	J		
189.					Sold (part)	10/19/12	J	A	
190.					Buy (add'l)	11/01/12	J		
191.					Buy (add'l)	11/02/12	K		
192.					Sold (part)	12/21/12	K	A	
193. INCYTE CORP (Y)									
194. INTEL CORP (Y)									
195. INTL BUSINESS MACHINES CORP	A	Dividend			Sold	10/18/12	J	A	
196. INVESCO CONVERT SECURITIES A		None			Sold (part)	01/09/12	J		
197.					Sold	02/02/12	K		
198. INVESCO CONVERT SECURITIES Y	A	Dividend	K	T	Buy	02/02/12	K		
199.					Sold (part)	05/16/12	J		
200. INVESCO EQUITY AND INCOME A (X)	A	Dividend	J	T					
201. INVESCO GLOBAL CORE EQUITY A	A	Dividend	J	T					
202. INVESCO HIGH YIELD MUNI A (X)	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
203. INVESCO INTL SMALL COMPANY		None	K	T	Buy	12/07/12	J		
204.					Buy (add'l)	12/18/12	J		
205. INVESCO MUNI INCOME Y (X)	A	Dividend	J	T					
206. INVESCO VK EQUITY AND INCOME A (Y)									
207. INVESCO VK GLOBAL FRANCHISE A (Y)									
208. INVESCO VK HIGH YIELD MUNI A	A	Dividend	K	T					
209. INVESCO VK MUNI INCOME	A	Dividend	J	T					
210. ISHARES BARCLAYS 20+YR TSY BOND	A	Dividend	K	T	Buy	11/09/12	K		
211. ISHARES FTSE NAREIT RESIDENT		None			Sold	02/22/12	K	C	
212. ISHARES IBOX \$ H/Y CORP BOND	B	Dividend	K	T					
213. ISHARES MSCI EMERGING MARKETS FUND		None			Buy	01/19/12	J		
214.					Sold	04/20/12	J	A	
215. ISHARES MSCI JAPAN INDEX FUND (Y)									
216. ISHARES PHILX SOX SEMICONDUCTOR CO (Y)									
217. ISHARES RUSSELL 1000 GR INDEX	A	Dividend	K	T	Buy (add'l)	01/10/12	J		
218.					Buy (add'l)	04/12/12	K		
219.					Sold (part)	08/17/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

220. ISHARES S&P MID CAP 400 GROWTH	A	Dividend			Sold (part)	02/10/12	J	B	
221.					Sold	07/24/12	K	C	
222. ISHARES S&P MIDCAP 400 INDEX	A	Dividend	K	T	Buy	11/07/12	J		
223.					Buy (add'l)	11/28/12	J		
224.					Buy (add'l)	12/18/12	J		
225. ISHARES TRUST (Y)									
226. JP MORGAN MID CAP VALUE A	A	Dividend			Sold (part)	01/09/12	J	A	
227.					Sold	02/08/12	J		
228. JP MORGAN MIDCAP VALUE S	A	Dividend	K	T	Buy	02/08/12	J		
229. JPMORGAN CHASE & CO	A	Dividend	J	T	Buy (add'l)	01/18/12	J		
230.					Buy (add'l)	01/19/12	J		
231.					Sold (part)	03/08/12	J	B	
232.					Buy (add'l)	07/30/12	K		
233.					Sold (part)	09/21/12	K	A	
234. KANSAS CITY LIFE INS (Y)									
235. KELLOGG CO		None			Sold	01/25/12	J		
236. KOHLS CORPORATION WISC		None			Buy	03/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

237.					Sold	04/20/12	J	A	
238. KRAFT FOODS INC CL A	A	Dividend			Buy	02/28/12	J		
239.					Sold	05/18/12	J		
240. LAZARD EMERGING MARKETS I (Y)									
241. LIMITED BRANDS INC	A	Dividend			Buy	11/26/12	J		
242.					Sold	12/21/12	J		
243. LINCARE HLDGS INC (Y)									
244. MAINSTAY LARGE CAP GRW A	A	Dividend	K	T	Sold (part)	01/09/12	J		
245. MANAGERS AMG FQ GLOBAL ALT A (Y)									
246. MARATHON OIL CO	A	Dividend			Sold	05/03/12	J		
247. MARATHON PETROLEUM CORP(Y)									
248. MARKET VECTORS GOLD MINERS		None			Buy	08/22/12	K		
249.					Sold	09/18/12	K	A	
250. MCDONALDS CORP	A	Dividend			Buy	05/21/12	J		
251.					Sold	07/20/12	J	A	
252. MEAD JOHNSON NUTRITION COMPANY	A	Dividend	J	T	Buy	03/07/12	J		
253.					Buy (add'l)	03/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Christel, David W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

254.					Buy (add'l)	03/21/12	J		
255. MEDTRONIC INC	A	Dividend	J	T	Buy	09/24/12	J		
256. MICROSOFT CORP	A	Dividend	K	T	Sold (part)	01/26/12	K	C	
257.					Buy (add'l)	06/04/12	J		
258.					Sold (part)	07/20/12	J	A	
259.					Buy (add'l)	08/12/12	J		
260.					Buy (add'l)	08/20/12	K		
261.					Sold (part)	11/27/12	J	A	
262. MITSUBISHI UFJ FINCL GRP ADS	A	Dividend	J	T					
263. MONSANTO CO/NEW	A	Dividend	J	T	Buy (add'l)	03/21/12	J		
264. MORGAN STANLEY MONEY MARKET ACCOUNT	A	Interest	K	T					
265. MOTOROLA MOBILITY HOLDING INC(Y)									
266. MOTOROLA SOLUTIONS INC (Y)									
267. MOTOROLA SOLUTIONS MS ON S&P BRENT CRUDE DUE 2012-01-31(Y)									
268. MS 8.15% CNTG COUPON NOTE LINKED TO S&p 500 RATE	A	Interest	K	T	Buy	04/25/12	J		
269. MS AUTOCALL ON CATERPILLAR DUE 2012-07-25	A	Dividend			Redeemed	04/25/12	J		
270. MS ON S&P BRENT CRUDE INDEX DUE 2012 (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Christel, David W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
271. MORGAN STANLEY ACTIVE ASSETS TAX FR TRUST	A	Interest	L	T					
272. MORGAN STANLEY BANK ACCOUNT #1	A	Interest	J	T					
273. MORGAN STANLEY BANK ACCOUNT NUMBER #2	A	Interest	K	T					
274. MORGAN STANLEY BANK ACCOUNT #3	A	Interest	J	T					
275. MORGAN STANLEY BANK ACCOUNT #4	A	Interest	K	T					
276. MORGAN STANLEY BANK ACCOUNT #5	A	Interest	J	T					
277. MORGAN STANLEY BANK ACCOUNT #6 (Y)									
278. MORGAN STANLEY BANK ACCOUNT #7	C	Interest	M	T					
279. MS EMERGING MKTS DOMESTIC DEBT	B	Dividend	K	T					
280. MS FOCUS GROWTH FUND A		None	J	T					
281. MS INTERNATIONAL VALUE EQ A	A	Dividend	K	T					
282. MS REAL ESTATE FUND A (Y)									
283. MS SPECIAL GROWTH FUND A (Y)									
284. MS US GOVERNMENT SEC TR B	A	Dividend	J	T					
285. MSIF INTL EQUITY H (X)	A	Dividend	J	T					
286. MSIF SMALL CO GROWTH PTF H (X)	A	Dividend	J	T					
287. MSIF US REAL ESTATE PTF H (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Christel, David W.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
288. NEWS CORP LTD (DEL) CLASS B (Y)									
289. NIKE INC B	A	Dividend			Sold	07/02/12	J	A	
290. NORDIC AMERICAN TNKR SHIPPING (Y)									
291. NUVEEN REAL ESTATE SEC FUND A	A	Dividend			Sold (part)	01/09/12	J	A	
292.					Sold	02/06/12	J		
293. NUVEEN REAL ESTATE SEC FUND I (X)	A	Dividend	J	T					
294. NUVEEN TRADEWINDS INTL VAL A	A	Dividend			Redeemed (part)	01/09/12	J		
295.					Redeemed	02/06/12	J		
296. NUVEEN TRADEWINDS INTL VAL I		None			Buy	02/06/12	J		
297.					Redeemed	04/12/12	J		
298. NUVEEN TRADEWINDS VAL OPPORT A		None			Sold (part)	01/09/12	J		
299.					Sold	02/06/12	K		
300. NUVEEN TRADEWINDS VAL OPPORT I		None			Buy	02/06/12	K		
301.					Sold	05/09/12	J		
302. OCCIDENTAL PETROLEUM COPR DE	A	Dividend	K	T	Buy	08/06/12	K		
303. OLD MUTUAL TS&W MID CAP VAL A (Y)									
304. ORACLE CORP	A	Dividend	J	T	Buy	03/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
305.					Sold (part)	07/20/12	J		
306.					Buy (add'l)	11/28/12	J		
307.					Buy (add'l)	12/11/12	J		
308.					Buy (add'l)	12/17/12	J		
309.					Sold (part)	12/21/12	J	A	
310. PEPSICO INC NC		None			Buy	05/21/12	J		
311.					Sold	05/29/12	J	A	
312. PETROLEO BRAS SA ADS (Y)									
313. PFIZER INC		None			Buy	09/05/12	J		
314.					Sold	10/15/12	J	A	
315. PHILIP MORRIS INTL INC	A	Dividend			Buy	06/18/12	K		
316.					Sold (part)	08/17/12	K	A	
317.					Buy (add'l)	08/28/12	K		
318.					Sold	10/18/12	K		
319. PIMCO ALL ASSET ALL AUTH P	C	Dividend	L	T	Buy (add'l)	01/10/12	J		
320.					Buy (add'l)	03/01/12	J		
321.					Buy (add'l)	05/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
322.					Buy (add'l)	08/10/12	J		
323.					Buy (add'l)	08/24/12	K		
324. PIMCO ALL ASSET ALL AUTHORITY A (Y)									
325. PIMCO TOTAL RETURN A (Y)									
326. PNC FINL SVCS GP	A	Dividend			Sold	11/13/12	J	B	
327. POWERSHARES DYN ENERGY ETF		None			Sold (part)	03/22/12	J	A	
328.					Sold	05/03/12	K	A	
329. POWERSHARES DYN OIL & GAS SVC (Y)									
330. POWERSHARES QQQ TR	A	Dividend	L	T	Buy (add'l)	02/03/12	J		
331.					Sold (part)	04/20/12	J	A	
332. PRAXAIR INC	A	Dividend	J	T	Buy (add'l)	01/18/12	J		
333. PROCTER & GAMBLE	A	Dividend			Sold	01/30/12	J	A	
334. PROSHARES CREDIT SUISSE (Y)									
335. PRUDENTIAL FINANCIAL INC		None			Buy	11/28/12	J		
336.					Sold	12/21/12	J	A	
337. PRUDENTIAL SHORT TERM CORP BD Z	B	Dividend	L	T	Buy (add'l)	01/10/12	J		
338.					Buy (add'l)	01/11/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
339.					Buy (add'l)	02/22/12	J		
340.					Buy (add'l)	09/26/12	J		
341. QUALCOMM INC	A	Dividend	J	T	Buy	01/25/12	J		
342.					Buy (add'l)	01/30/12	J		
343.					Buy (add'l)	03/01/12	J		
344.					Buy (add'l)	04/12/12	J		
345. RAYTHEON CO (NEW)	A	Dividend			Buy	09/20/12	J		
346.					Sold	12/27/12	J		
347. RENAISSANCE RE HOLDINGS LTD	A	Dividend	J	T					
348. ROYCE 100 FUND INVESTMENT		None			Buy	06/12/12	J		
349.					Sold	09/12/12	J	A	
350. ROYCE 100 FUND SERV	A	Dividend			Sold (part)	01/09/12	J	A	
351.					Sold	06/12/12	J		
352. RYDEX RUSSELL TOP 50 ETF		None	K	T	Buy	01/04/12	K		
353. RYDEX S&P EQUAL WEIGHT ETF A (Y)									
354. S&P NORTH AMERICAN TECH SOFT		None			Sold	01/04/12	K	B	
355. SBA COMMUNICATIONS CORP		None	J	T	Buy	04/25/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

356. SCORPIO TANKERS INC (X)		None	J	T					
357. SCHLUMBERGER LTD	A	Dividend	J	T	Buy	08/09/12	J		
358.					Buy (add'l)	08/10/12	J		
359.					Buy (add'l)	09/06/12	J		
360. SEMPRA ENERGY	A	Dividend	J	T					
361. SOUTHERN CO	A	Dividend			Buy	04/26/12	J		
362.					Sold	06/15/12	J	A	
363.					Buy	09/27/12	K		
364.					Sold	10/31/12	K	A	
365. SPDR GOLD TR GOLD SHS		None	L	T	Sold (part)	01/09/12	J	A	
366.					Buy (add'l)	01/10/12	J		
367.					Sold (part)	03/13/12	K		
368.					Buy (add'l)	08/10/12	J		
369.					Sold (part)	09/21/12	K		
370.					Buy (add'l)	09/27/12	K		
371. SPDR KBW REGIONAL BANKING ETF (Y)									
372. STARWOOD HTLS & RSTS WW INC (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
373. ST. JUDE MEDICAL INC		None			Buy	09/13/12	K		
374.					Sold	09/25/12	K	A	
375. TARGET CORPORATION	A	Dividend	J	T	Buy (add'l)	01/25/12	J		
376.					Sold (part)	02/28/12	J	A	
377.					Buy (add'l)	04/12/12	J		
378. TCW EMERGING MARKET INC N (Y)									
379. TCW GALILEO EMERG MARKETS INC I	B	Dividend	K	T					
380. TCW GALILEO TOTAL RETURN BOND I	B	Dividend	K	T	Buy	03/01/12	K		
381.					Buy (add'l)	05/03/12	J		
382. TEMPLETON GLOBAL BOND FUND A (Y)									
383. TEMPLETON GLOBAL BOND FUND ADV	B	Dividend	K	T	Sold (part)	01/09/12	J		
384.					Sold (part)	05/16/12	J	A	
385.					Buy (add'l)	08/10/12	J		
386. TEMPLETON GLOBAL BOND FUND C	A	Dividend	J	T					
387. TENARIS SA (Y)									
388. TEVA PHARMACEUTICALS ADR		None			Sold	02/10/12	J		
389. TEXAS INSTRUMENTS		None			Buy	10/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
390.					Sold	10/26/12	J	A	
391. TEXTRON INC (Y)									
392. THE TECHNOLOGY SEL SECT SPDR FD	A	Dividend	K	T	Buy (add'l)	01/10/12	J		
393. THORNBURG INTL VALUE I	A	Dividend	K	T	Sold (part)	01/09/12	J		
394. TUPPERWARE BRANDS CORP	A	Dividend			Buy (add'l)	01/05/12	J		
395.					Buy (add'l)	01/18/12	J		
396.					Sold	02/01/12	J	A	
397. UMPQUA HOLDINGS CORP	A	Dividend	J	T					
398. UNITED TECHNOLOGIES CORP	A	Dividend			Buy	01/23/12	K		
399.					Sold (part)	02/14/12	J	A	
400.					Buy (add'l)	03/19/12	K		
401.					Sold (part)	08/17/12	K		
402.					Buy (add'l)	10/31/12	K		
403.					Sold	12/21/12	J	A	
404. US BANCORP (Y)									
405. UNION PACIFIC CORP	A	Dividend	J	T					
406. UNITED TECHNOLOGIES (Y)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
407. UNITED HEALTH GP INC	A	Dividend			Sold	07/31/12	J	B	
408. V F CORPORATION	A	Dividend	J	T	Buy	09/13/12	J		
409.					Buy (add'l)	11/06/12	J		
410. VANGUARD DIVIDEND APPRECIATION (Y)									
411. VANGUARD EMRG MKTS ETF (Y)									
412. VANGUARD MSCI EMRG MKTS		None			Buy	01/18/12	K		
413.					Buy (add'l)	02/01/12	J		
414.					Sold	05/09/12	K		
415. VANGUARD REIT ETF		None			Sold	02/28/12	K	B	
416. VIRTUS INSIGHT EMERG MARKETS I	A	Dividend	L	T	Sold (part)	01/09/12	J		
417.					Buy (add'l)	01/10/12	J		
418.					Buy (add'l)	05/09/12	J		
419. VIRTUS INSIGHT EMERG MARKETS A (Y)									
420. VISA INC CL A	A	Dividend	K	T	Sold (part)	02/29/12	J	A	
421. VODAFONE GP PLC ADS NEW	A	Dividend			Buy	03/22/12	J		
422.					Buy (add'l)	03/23/12	J		
423.					Buy (add'l)	04/02/12	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

424.					Sold (part)	07/20/12	J		
425.					Sold	11/06/12	J		
426. WALT DISNEY CO HOLDING CO	A	Dividend	J	T	Buy	01/04/12	J		
427.					Buy (add'l)	01/05/12	J		
428.					Buy (add'l)	01/18/12	J		
429.					Buy (add'l)	01/25/12	J		
430.					Sold (part)	03/07/12	J	A	
431.					Buy (add'l)	03/19/12	J		
432.					Sold (part)	05/18/12	J	A	
433.					Sold (part)	10/31/12	J	A	
434. WASHINGTON FEDERAL INC	A	Dividend	J	T					
435. WATSON PHARMACEUTICAL INC		None			Sold	01/17/12	J	A	
436. WELLPOINT INC	A	Dividend			Buy	04/25/12	J		
437.					Sold	09/12/12	J		
438. WELLS FARGO & CO NEW	A	Dividend	J	T	Buy	04/26/12	J		
439.					Sold (part)	07/20/12	J		
440.					Buy (add'l)	10/04/12	J		

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441. WEYERHAEUSER CO	A	Dividend			Buy	10/16/12	J		
442.					Sold	11/19/12	J		
443. WILLIAMS CO INC	A	Dividend	K	T	Buy (add'l)	01/05/12	J		
444.					Buy (add'l)	02/21/12	J		
445.					Sold (part)	04/20/12	J	A	
446.					Buy (add'l)	09/06/12	J		
447.					Buy (add'l)	11/01/12	K		
448. WILLIAMS SONOMA	A	Dividend	J	T	Buy	10/04/12	J		
449.					Buy (add'l)	11/06/12	J		
450. WISDOM TREE EQUITY INCOME FUND	C	Dividend	L	T	Buy (add'l)	05/03/12	K		
451.					Buy (add'l)	06/13/12	K		
452.					Buy (add'l)	06/18/12	J		
453.					Buy (add'l)	09/26/12	J		
454.					Sold (part)	12/18/12	J	A	
455. WPX ENERGY INC (X)		None			Sold	01/05/12	J	A	
456. YUM BRANDS INC	A	Dividend	J	T	Buy (add'l)	02/03/12	J		
457.					Sold (part)	04/03/12	J	B	

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458.					Sold (part)	04/04/12	J	A	
459.					Buy (add'l)	08/03/12	J		
460.					Sold (part)	10/19/12	J		
461. COLUMBIA COMMUNITY CREDIT UNION ACCOUNTS	A	Interest	M	T					
462. RENTAL PROPERTY, VANCOUVER, WA (AMEN CORNER MGMT, LLC)	B	Rent	L	W					
463. RENTAL PROPERTY, VANCOUVER, WA (BLUE TEE MGMT, LLC)	A	Rent	J	W					
464. RENTAL PROPERTY, SUNNYBROOK, OR (DOUBLE CUT MGMT, LLC)	B	Rent	N	W					
465. RENTAL PROPERTY VANCOUVER, WA (DOUBLE EAGLE MGMT, LLC)	C	Rent	M	W					
466. RENTAL PROPERTY, VANCOUVER, WA (FIRST CUT MGMT, LLC)	C	Rent	L	W					
467. RENTAL PROPERTY, VANCOUVER, WA (FRONT NINE MGMT, LLC)	C	Rent	J	W					
468. RENTAL PROPERTY, VANCOUVER, WA (GARROW TRIDENT, LLC)	E	Rent	M	W					
469. RENTAL PROPERTY, VANCOUVER, WA (KICK POINT, LLC)	E	Rent	M	W					
470. RENTAL PROPERTY, VANCOUVER, WA (NORTH SIDE, LLC)	C	Rent	J	W					
471. RENTAL PROPERTY, SUNNYBROOK, OR (PELICAN BAY MGMT, LLC)	D	Rent	M	W					
472. RENTAL PROPERTY, ROCKLIN, CA (ROCKLIN NW, LLC)	E	Rent	L	W					
473. RENTAL PROPERTY, VANCOUVER, WA (SILVER LAKE RV, LLC)	C	Rent	L	W					
474. [REDACTED] TRUST - ABBOTT LABORATORIES	C	Dividend			Sold (part)	06/21/12	K	E	

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475.					Sold	08/23/12	M	G	
476. [REDACTED] TRUST - ACTIVISION BLIZZARD (Y)									
477. [REDACTED] TRUST - ADVENT SOFTWARE INC (Y)									
478. [REDACTED] TRUST - ALBEMARLE CORPORATION (Y)									
479. [REDACTED] TRUST - ALCATEL LUCENT ADS (Y)									
480. [REDACTED] TRUST - ALEXANDER & BALDWIN INC (Y)									
481. [REDACTED] TRUST - ALLEGHANY CP DELAWARE (Y)									
482. [REDACTED] TRUST - ALLEGHENY TECH INC (Y)									
483. [REDACTED] TRUST - ALLIANZ NFJ INTL VALUE	A	Dividend			Buy	04/12/12	K		
484.					Sold	06/21/12	K		
485. [REDACTED] TRUST - ALLSTATE CORP (Y)									
486. [REDACTED] TRUST - ALUMINA LTD (Y)									
487. [REDACTED] TRUST - AMEREN CORP (HLDG CO) (Y)									
488. [REDACTED] TRUST - AMERICAN GR FD OF AMERICA	A	Dividend			Sold	06/21/12	K	A	
489. [REDACTED] TRUST - ANALOG DEVICES INC (Y)									
490. [REDACTED] TRUST - ANALOGOLD ASHANTI LIMITED (Y)									
491. [REDACTED] TRUST - ANNALY CAPITAL MGMT INC (Y)									

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492. [REDACTED] TRUST - AOL (Y)									
493. [REDACTED] TRUST - AON CORP (Y)									
494. [REDACTED] TRUST - APPLE (Y)									
495. [REDACTED] TRUST - ASTRAZENZA PLC ADS (Y)									
496. [REDACTED] TRUST - ATWOOD OCEANICS INC (Y)									
497. [REDACTED] TRUST - AXIS CAPITAL HOLDINGS LTD (Y)									
498. [REDACTED] TRUST - BABCOCK & WILCOX COMPANY (Y)									
499. [REDACTED] TRUST - BARRICK GOLD CORP (Y)									
500. [REDACTED] TRUST - BLACKROCK EQUITY DIVIDEND A		None			Sold	01/24/12	L		
501. [REDACTED] TRUST - BLACKROCK EQUITY DIVIDEND I	A	Dividend			Buy	01/24/12	L		
502.					Sold	06/21/12	L	C	
503. [REDACTED] TRUST - BLACKROCK HI YIELD BOND PTF A	A	Dividend			Sold	01/24/12	K		
504. [REDACTED] TRUST - BLACKROCK HI YIELD BOND PTF INST	B	Dividend	K	T	Buy	01/24/12	K		
505.					Buy (add'l)	06/21/12	J		
506.					Buy (add'l)	10/31/12	J		
507. [REDACTED] TRUST - BORG WARNER (Y)									
508. [REDACTED] TRUST - BRANDYWINE REALTY TR SBI NEW (Y)									

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509. [REDACTED] TRUST - BROADRIDGE FIN SOLU LLC (Y)									
510. [REDACTED] TRUST - CABELA'S INC (Y)									
511. [REDACTED] TRUST - CABLEVISION SYSTEMS CORP (Y)									
512. [REDACTED] TRUST - CALAMOS CONVERTIBLE FUND (Y)									
513. [REDACTED] TRUST - CAI14		None			Buy	08/29/12	L		
514. [REDACTED]					Sold	09/25/12	L		
515. [REDACTED] TRUST - CAMECO CORP (Y)									
516. [REDACTED] TRUST - CARMAX INC (Y)									
517. [REDACTED] TRUST - CHIMERA INVESTMENT CORP (Y)									
518. [REDACTED] TRUST - CHUBB CORP (Y)									
519. [REDACTED] TRUST - CLEAN HARBORS (Y)									
520. [REDACTED] TRUST - CLOROX CO DE (Y)									
521. [REDACTED] TRUST - COCA COLA CO	D	Dividend	M	T					
522. [REDACTED] TRUST - COGNIZANT TECH SOLUTIONS (Y)									
523. [REDACTED] TRUST - CONS EDISON INC (HLDG CO) (Y)									
524. [REDACTED] TRUST - CONSTELLATION BRANDS INC (Y)									
525. [REDACTED] TRUST - CORRECTIONS CORP OF AMERICA (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
526. [REDACTED] TRUST - COVIDIEN PLC NEW (Y)									
527. [REDACTED] TRUST - DAI NIPPPN PRGT LTD JAPAN (Y)									
528. [REDACTED] TRUST - DAIWA HOUSE IND LTD (Y)									
529. [REDACTED] TRUST - DOW CHEMICAL CO (Y)									
530. [REDACTED] TRUST - DREYFUS INTL BOND I	A	Dividend	K	T	Buy	09/11/12	K		
531. [REDACTED]					Buy (add'l)	10/31/12	J		
532. [REDACTED] TRUST - DU PONT EL DE NEMOURS & CO (Y)									
533. [REDACTED] TRUST - EMBRAER EM PRESA BRAS DD ADS (Y)									
534. [REDACTED] TRUST - ENDURANCE SPCLTY HLDGS LTS (Y)									
535. [REDACTED] TRUST - ENERGIZER (Y)									
536. [REDACTED] TRUST - EXPRESS SCRIPTS INC (Y)									
537. [REDACTED] TRUST - EXXON MOBIL CORP	D	Dividend	M	T					
538. [REDACTED] TRUST - FIDEL ADV FLOATING RT HI INCOME I	A	Dividend	J	T	Buy	10/31/12	J		
539. [REDACTED] TRUST - FIRST EAGLE GOLD A (Y)									
540. [REDACTED] TRUST - FIRST EAGLE GOLD I		None			Sold	06/21/12	J		
541. [REDACTED] TRUST - FIRST TRUST HEALTH CARE ALPHA ETF	A	Dividend	K	T	Buy	06/21/12	K		
542. [REDACTED] TRUST - FMC TECHNOLOGIES (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
543. [REDACTED] TRUST - FORCE PROTECTION INC (Y)									
544. [REDACTED] TRUST - FORD MOTOR CO (Y)									
545. [REDACTED] TRUST - FUJIFILM HOLDINGS CORP ADR (Y)									
546. [REDACTED] TRUST - GAZPROM O A O SPON ADR (Y)									
547. [REDACTED] TRUST - GENERAL ELECTRIC CO	C	Dividend	L	T					
548. [REDACTED] TRUST - GENUINE PARTS CO (Y)									
549. [REDACTED] TRUST - GENZYME CORP (Y)									
550. [REDACTED] TRUST - GREAT PLAINS ENERGY INC (Y)									
551. [REDACTED] TRUST - GLAXOSMITHKLINE PLC ADS (Y)									
552. [REDACTED] TRUST - GOLD FIELDS LTD SP ADR (Y)									
553. [REDACTED] TRUST - GOLDMAN SACHS GRW OPPORT A (Y)									
554. [REDACTED] TRUST - GOLDMAN SACHS GRW OPPORT I		None			Sold	06/21/12	K		
555. [REDACTED] TRUST - GOOGLE (Y)									
556. [REDACTED] TRUST - GUGGENHEIM RUSSELL TOP 50 MEG CAP ETF	A	Dividend	L	T	Buy	06/21/12	L		
557. [REDACTED] TRUST - GUGGENHEIM S&P 500 EQUAL WEIGHT	A	Dividend			Buy	06/21/12	J		
558.					Sold	09/26/12	J	A	
559. [REDACTED] TRUST - H J HEINZ CO (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
560. [REDACTED] TRUST - HASBRO INC (Y)									
561. [REDACTED] TRUST - HATTERAS FINL CORP (Y)									
562. [REDACTED] TRUST - HCP INCORPORATED (Y)									
563. [REDACTED] TRUST - HEALTH CARE REIT INC (Y)									
564. [REDACTED] TRUST - HENDERSON INTL OPPORTUNITIES A (Y)									
565. [REDACTED] TRUST - HUDSON CITY BANCORP INC (Y)									
566. [REDACTED] TRUST - IMPALA PLATINUM HLDGS LTD ADR (Y)									
567. [REDACTED] TRUST - INTL BUSINESS MACHINES CORP (Y)									
568. [REDACTED] TRUST - INVESCO CONVERT SECURITIES A		None			Sold	02/02/12	K		
569. [REDACTED] TRUST - CONVERT SECURITIES Y	A	Dividend	K	T	Buy	02/02/12	K		
570.					Sold (part)	05/16/12	J		
571.					Buy (add'l)	12/18/12	J		
572. [REDACTED] TRUST - INVESCO INTL SMALL COMPANY Y		None	K	T	Buy	12/18/12	K		
573. [REDACTED] TRUST - ISHARES BARCLAYS TIPS BOND FUND	A	Dividend	J	T	Buy	10/31/12	J		
574. [REDACTED] TRUST - ISHARES BARCLAYS 20+ YEAR TREASURY BOND	A	Dividend	K	T	Buy	11/09/12	K		
575.					Sold (part)	12/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
576. [REDACTED] TRUST - ISHARES I BOXXS H/Y CORP BOND (Y)									
577. [REDACTED] TRUST - ISHARES IBOXX INVEST GR CORE FUND	A	Dividend	J	T	Buy	10/31/12	J		
578. [REDACTED] TRUST - ISHARES RUSSELL 1000 GR INDEX	A	Dividend	K	T	Buy	06/21/12	K		
579. [REDACTED] TRUST - ISHARES S&P MID CAP 400 GROWTH		None			Buy	06/21/12	J		
580.					Sold	07/24/12	J		
581. [REDACTED] TRUST - ISHARES S&P MIDCAP 400 INDEX	A	Dividend	K	T	Buy	11/07/12	J		
582.					Buy (add'l)	11/28/12	J		
583.					Buy (add'l)	12/18/12	J		
584. [REDACTED] TRUST - IVANHOE MINES LTD (Y)									
585. [REDACTED] TRUST - JOHNSON CONTROLS INC (Y)									
586. [REDACTED] TRUST - JOHNSON & JOHNSON	D	Dividend	M	T					
587. [REDACTED] TRUST - JP MORGAN MID CAP VALUE A		None			Sold	02/08/12	K		
588. [REDACTED] TRUST - JP MORGAN MID CAP VALUE S		None			Buy	02/08/12	K		
589.					Sold	06/21/12	K	C	
590. [REDACTED] TRUST - KAO CORP (Y)									
591. [REDACTED] TRUST - KINDER MORGAN MGMT (Y)									
592. [REDACTED] TRUST - KINROSS GOLD CORP (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
593. [REDACTED] TRUST - KNOLL INC (Y)									
594. [REDACTED] TRUST - KRAFT FOODS (Y)									
595. [REDACTED] TRUST - LAS VEGAS SANDS CORP (Y)									
596. [REDACTED] TRUST - LAZARD EMERGING MARKETS OPEN (Y)									
597. [REDACTED] TRUST - MAGNA INTL A COM (Y)									
598. [REDACTED] TRUST - MAINSTAY LARGE CAP GRW A		None			Sold	06/21/12	K		
599. [REDACTED] TRUST - MANAGERS AMG FQ GLOBAL ALT A (Y)									
600. [REDACTED] TRUST - MARTIN MARIETTA MATERIALS (Y)									
601. [REDACTED] TRUST - MATTEL INC (Y)									
602. [REDACTED] TRUST - MBIA INC (Y)									
603. [REDACTED] TRUST - MCDONALDS CORP (Y)									
604. [REDACTED] TRUST - MCGRAW HILL (Y)									
605. [REDACTED] TRUST - MERCK & CO	C	Dividend	L	T					
606. [REDACTED] TRUST - MCKESSON CORP (Y)									
607. [REDACTED] TRUST - MFA FINANCIAL INC (Y)									
608. [REDACTED] TRUST - MICREL INC (Y)									
609. [REDACTED] TRUST - MICROCHIP TECHNOLOGY (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
610. [REDACTED] TRUST - MILLICOM INTL CELLULAR SA NEW (Y)									
611. [REDACTED] TRUST - MONSTER WORLDWIDE (Y)									
612. [REDACTED] TRUST - MONTPELIER RE HOLDINGS (Y)									
613. [REDACTED] TRUST - MORGAN STANLEY BANK ACCOUNT #1 (X)	A	Interest	K	T					
614. [REDACTED] TRUST - MORGAN STANLEY BANK ACCOUNT #2 (X)	A	Interest	L	T					
615. [REDACTED] TRUST - MORGAN STANLEY BANK #3 (X)	A	Interest	J	T					
616. [REDACTED] TRUST - NATIONAL FUEL GAS CO (Y)									
617. [REDACTED] TRUST - NEW YORK COMMUNITY BANCORP INC (Y)									
618. [REDACTED] TRUST - NEWCREST MINING LTD (Y)									
619. [REDACTED] TRUST - NEWMARKET CORP (Y)									
620. [REDACTED] TRUST - NIPPON TELEGRAPH&TELEPHONE ADS (Y)									
621. [REDACTED] TRUST - NISOURCE INC (Y)									
622. [REDACTED] TRUST - NOVO NORDISK A/S ADR (Y)									
623. [REDACTED] TRUST - NUVEEN REAL ESTATE SEC FUND A	A	Dividend			Sold	02/06/12	K		
624. [REDACTED] TRUST - NUVEEN REAL ESTATE SEC FUND I	A	Dividend			Buy	02/06/12	K		
625.					Sold	06/21/12	K	C	
626. [REDACTED] TRUST - NUVEEN TRADEWINDS INTL VAL A		None			Sold	02/06/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
627. [REDACTED] TRUST - NUVEEN TRADEWINDS INTL VAL I	A	Dividend			Buy	02/06/12	K		
628.					Sold	04/12/12	K		
629. [REDACTED] TRUST - NUVEEN TRADEWINDS VAL OPPORT A		None			Sold	02/06/12	K		
630. [REDACTED] TRUST - NUVEEN TRADEWINDS VAL OPPORT I		None			Buy	02/06/12	K		
631.					Sold	05/09/12	K		
632. [REDACTED] TRUST - OCCIDENTAL PETROLEUM (Y)									
633. [REDACTED] TRUST - OLD DOMINION FREIGHTLINE (Y)									
634. [REDACTED] TRUST - OLD REPUBLIC INTL CP (Y)									
635. [REDACTED] TRUST - OMNICOM GROUP (Y)									
636. [REDACTED] TRUST - ORACLE (Y)									
637. [REDACTED] TRUST - PEABODY ENERGY (Y)									
638. [REDACTED] TRUST - PHILIP MORRIS INTL INC (Y)									
639. [REDACTED] TRUST - PIMCO ALL ASSET ALL AUTHORITY P	C	Dividend	L	T	Buy (add'l)	05/09/12	J		
640.					Buy (add'l)	08/10/12	J		
641.					Buy (add'l)	08/24/12	K		
642.					Buy (add'l)	10/31/12	J		
643. [REDACTED] TRUST - PIMCO ALL ASSET ALL AUTHORITY A (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
644. [REDACTED] TRUST - PIMCO LOW DURATION FUND A (Y)									
645. [REDACTED] TRUST - PIMCO LOW DURATION P	A	Dividend	J	T	Sold (part)	06/21/12	K	A	
646.					Buy (add'l)	10/31/12	J		
647. [REDACTED] TRUST - PIMCO TOTAL RETURN P	A	Dividend	J	T	Buy	05/16/12	K		
648.					Sold	06/21/12	K	A	
649.					Buy	10/31/12	J		
650. [REDACTED] TRUST - POWERSHARES QQQ TR	A	Dividend	K	T	Buy	06/21/12	K		
651. [REDACTED] TRUST - POWERSHARES S&P 500 BUY WRITE	A	Dividend	J	T	Buy	11/29/12	J		
652. [REDACTED] TRUST - PRICESMART (Y)									
653. [REDACTED] TRUST - PROCTER & GAMBLE	D	Dividend	M	T	Sold (part)	06/21/12	L	E	
654.					Sold (part)	10/26/12	M	G	
655. [REDACTED] TRUST - PROGRESS ENERGY INC (Y)									
656. [REDACTED] TRUST - PRUDENTIAL SHT TRM CORP BOND Z	A	Dividend	L	T	Buy	06/21/12	K		
657.					Buy (add'l)	09/26/12	K		
658.					Buy (add'l)	10/31/12	J		
659. PETERSON TRUST - ROCKWELL [REDACTED] INC (Y)									
660. [REDACTED] TRUST - ROHM CO LTD UNSPONS ADR (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
661. [REDACTED] TRUST - ROYAL DUTCH SHELL PLC (Y)									
662. [REDACTED] TRUST - ROYCE 100 FUND INVESTMENT		None			Buy	06/12/12	K		
663. [REDACTED]					Sold	06/21/12	K		
664. [REDACTED] TRUST - ROYCE 100 FUND SERV	A	Dividend			Sold	06/12/12	K		
665. [REDACTED] TRUST - SANOFI AVENTIS ADS (Y)									
666. [REDACTED] TRUST - SEMPRA ENERGY (Y)									
667. [REDACTED] TRUST - SEVEN & 1 HOLDING CO. (Y)									
668. [REDACTED] TRUST - SCANA CORP NEW (Y)									
669. [REDACTED] TRUST - SEADRILL (Y)									
670. [REDACTED] TRUST - SENTINEL GOVERNMENT SEC A	A	Dividend	J	T	Buy	10/31/12	J		
671. [REDACTED] TRUST - SIEMENS AKTIENGESELLSCHAFT (Y)									
672. [REDACTED] TRUST - SK TELECOM CO LTD (Y)									
673. [REDACTED] TRUST - SKYBRIDE MUL-ADSVR SER G ADV		None	M	T	Buy	09/01/12	L		
674. [REDACTED]					Buy (add'l)	12/31/12	J		
675. [REDACTED] TRUST - SOTHEBY'S CL A(Y)									
676. [REDACTED] TRUST - SPDR GOLD TR GOLD SHS		None	K	T	Buy (add'l)	06/21/12	J		
677. [REDACTED] TRUST - STATOIL ASA SPONSORED ADR (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
678. [REDACTED] TRUST - STURM RUGER & CO (Y)									
679. [REDACTED] TRUST - ST JUDE MEDICAL INC (Y)									
680. [REDACTED] TRUST - SUMITOMO TR&BK CO SPON ADR (Y)									
681. [REDACTED] TRUST - SUNCOR ENERGY INC NEW COM (Y)									
682. [REDACTED] TRUST - SWISSCOM (Y)									
683. [REDACTED] TRUST - TATA MOTORS LTD (Y)									
684. [REDACTED] TRUST - TCW EMERGING MARKET INC I	B	Dividend	K	T	Buy	06/21/12	J		
685.					Buy (add'l)	10/31/12	J		
686. [REDACTED] TRUST - TCW EMERGING MARKET INC N (Y)									
687. [REDACTED] TRUST - TCW GALILEO EMERG MARKETS INC I	A	Dividend	K	T					
688. [REDACTED] TRUST - TCW TOTAL RETURN BOND I	B	Dividend	K	T	Buy	06/21/12	K		
689.					Buy (add'l)	10/31/12	J		
690. [REDACTED] TRUST - TEL & DATA SYSTEMS SPECIAL (Y)									
691. [REDACTED] TRUST - TEMPLETON GLOBAL BOND FUND A (Y)									
692. [REDACTED] TRUST - TEMPLETON GLOBAL BOND FUND ADV	B	Dividend	K	T	Sold (part)	05/16/12	J		
693.					Buy (add'l)	08/10/12	J		
694.					Buy (add'l)	10/31/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
695. [REDACTED] TRUST - TENET HEALTHCARE (Y)									
696. [REDACTED] TRUST - THE DIRECTV GROUP (Y)									
697. [REDACTED] TRUST - THE TECHNOLOGY SEL SPIDER FUND	A	Dividend	K	T	Buy	06/21/12	K		
698. [REDACTED] TRUST - THORNBURG INTL VALUE I	A	Dividend			Sold	06/21/12	K		
699. [REDACTED] TRUST - TIME WARNER CABLE INC CL A (Y)									
700. [REDACTED] TRUST - TREDEGAR CORPORATION (Y)									
701. [REDACTED] TRUST - TOYOTA MOTOR CP ADR NEW (Y)									
702. [REDACTED] TRUST - TREDEGAR CORPORATION (Y)									
703. [REDACTED] TRUST - UBS AG NEW (Y)									
704. [REDACTED] TRUST - UDR INC COM (Y)									
705. [REDACTED] TRUST - ULTRA PETROLEUM INC (Y)									
706. [REDACTED] TRUST - UNION PACIFIC CORP (Y)									
707. [REDACTED] TRUST - UNITED CONTINENTAL HLDGS INC (Y)									
708. [REDACTED] TRUST - UNITED PARCEL SERVICE INC CL - B (Y)									
709. [REDACTED] TRUST - VALUE CLICK INC (Y)									
710. [REDACTED] TRUST - VANGUARD EMERG MARKETS ETF (Y)									
711. [REDACTED] TRUST - VANGUARD MSCI EMERGING MARKETS		None			Buy	01/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
712.					Sold	05/09/12	J		
713. [REDACTED] TRUST - VIRTUS INSIGHT EMERG MARKETS I	A	Dividend	K	T	Buy (add'l)	05/09/12	J		
714. [REDACTED] TRUST - VIRTUS INSIGHT EMERG MARKETS A (Y)									
715. [REDACTED] TRUST - VODAFONE GP PLC ADS NEW (Y)									
716. [REDACTED] TRUST - WACOAL CP ADR (Y)									
717. [REDACTED] TRUST - WALT DISNEY CO HOLDING CO (Y)									
718. [REDACTED] TRUST - WEATHERFORD INC INTL (Y)									
719. [REDACTED] TRUST - WELLS FARGO SH TM HI YIELD BOND AD	A	Dividend	J	T	Buy	10/31/12	J		
720. [REDACTED] TRUST - WISDOMTREE EQUITY INCOME FUND	B	Dividend	L	T	Buy	06/21/12	L		
721.					Buy (add'l)	09/26/12	K		
722.					Sold (part)	12/18/12	J	A	
723. [REDACTED] TRUST - WOLTERS KLUWER NV SPON ADR (Y)									
724. [REDACTED] TRUST - XCEL ENERGY (Y)									
725. [REDACTED] TRUST - XEROX CORP (Y)									
726.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part VII, lines 474 through 725 - These assets are held as Trustee of the [REDACTED] Trust dated June 6, 1989. The Trustee position is identified under Part I, line 3.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David W. Christel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544