

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 05/03/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. POA: Accounts 1-7	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Partner at law firm of Kelley, Drye & Warren LLP

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	B	Interest	O	T					
2. Vanguard Voyager (IRA)	A	Dividend	K	T					
3. Schwab (IRA)	A	Interest	P1	T					
4.	D	Dividend							
5. --- Schwab Deposit Account	A	Interest	M	T					
6. --AOL Inc. (Common)		None	K	T	Buy (add'l)	04/23/13	M		
7.					Sold	4/24/13	M	B	
8.					Buy	4/26/13	L		
9.					Sold (part)	7/12/13	K		
10. -- Moduslink Global Solutions (Common)		None	J	T					
11. -- Amazon (Common)		None	M	T	Buy (add'l)	2/16/13	M		
12.					Sold (part)	3/12/13	M		
13.					Sold	3/19/13	M	D	
14.					Buy	4/2/13	M		
15.					Sold	4/18/13	M		
16.					Buy	7/15/13	N		
17.					Sold	7/19/13	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy	7/26/13	M		
19.					Sold	8/15/13	M		
20.					Buy	12/26/13	M		
21. -- Valero (Common)	A	Dividend			Buy	4/11/13	M		
22.					Sold	7/12/13	M	B	
23. -- Rambus (Common)		None	K	T					
24. --Apple (Common)	C	Dividend	N	T	Buy (add'l)	1/11/13	N		
25.					Sold	1/14/13	N		
26.					Buy	1/24/13	K		
27.					Buy (add'l)	2/1/13	M		
28.					Buy (add'l)	4/2/13	M		
29.					Buy (add'l)	4/11/13	M		
30.					Sold (part)	4/18/13	N		
31.					Sold	5/20/13	K	A	
32.					Buy	7/26/13	M		
33.					Sold	8/15/13	K		
34.					Buy	12/26/13	N		

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35. -- Yahoo (Common)		None			Buy	4/22/13	M		
36.					Sold	4/24/13	M	D	
37. --CST Brands Inc. (Common)	A	Dividend	J	T	Spinoff (from line 21)	4/11/13	J		
38. -- Facebook (Common)		None	O	T	Buy	3/17/13	M		
39.					Sold	3/19/13	M		
40.					Buy	3/20/13	M		
41.					Buy (add'l)	4/4/13	M		
42.					Sold	4/10/13	M		
43.					Buy	5/22/13	N		
44.					Sold	6/27/13	N		
45.					Buy	7/5/13	M		
46.					Buy (add'l)	7/31/13	K		
47.					Buy (add'l)	8/21/13	M		
48.					Buy (add'l)	8/30/13	M		
49.					Sold	9/30/13	N	G	
50.					Buy	10/8/13	N		
51.					Buy (add'l)	10/10/13	N		

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52.					Sold (part)	10/16/13	M	B	
53.					Buy (add'l)	10/22/13	L		
54.					Sold (part)	12/8/13	L	E	
55.					Sold	12/18/13	O	G	
56.					Buy	12/26/13	O		
57. --Intel (Common)		None			Sold	1/3/13	M	C	
58.					Buy	4/25/13	N		
59.					Sold	5/1/13	N	C	
60.					Buy	5/3/13	M		
61.					Sold	5/10/13	M	C	
62. -- Cisco (Common)		None			Buy	8/1/13	K		
63.					Sold	8/15/13	K	A	
64. -- Lowes (Common)		None			Buy	5/13/13	M		
65.					Sold	7/12/13	M	D	
66. -- Home Depot (Common)		None			Buy	7/2/13	L		
67.					Sold	8/2/13	L		
68.					Buy	8/20/13	M		

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69.					Sold	8/26/13	M	B	
70.					Buy	8/29/13	L		
71.					Sold	9/20/13	L	B	
72. -- Ebay (Common)		None			Buy	2/8/13	M		
73.					Sold	4/18/13	M		
74. -- Twitter(Common)		None			Buy	11/27/13	L		
75.					Sold	12/4/13	L	C	
76. -- Tesla (Common)		None			Buy	7/15/13	M		
77.					Sold	7/16/13	M		
78. JPMorgan Chase Accounts	A	Interest	K	T					
79. Schwab Account -- NY Muni Money Mkt	D	Int./Div.	N	T					
80. Merrill Lynch Cash Acc- formerly Bk of America cash reserve	B	Dividend	K	T					
81. Treasury Direct	A	Interest	M	T					
82. Time Warner Common Stock	A	Dividend	K	T	Buy	5/17/13	M		
83.					Sold (part)	8/1/13	M	C	
84.					Buy	8/21/13	L		
85.					Sold (part)	8/26/13	L	B	

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86.					Buy	11/22/13	M		
87.					Sold (part)	11/22/13	M	A	
88. Blount Common Stock		None	J	T					
89. Intel Common Stock	B	Dividend	L	T	Sold (part)	01/16/13	N		
90.					Sold (part)	01/17/13	K		
91.					Buy	4/10/13	N		
92.					Sold (part)	4/10/13	N	B	
93.					Sold (part)	4/24/13	M	D	
94.					Buy	4/25/13	N		
95.					Sold (part)	4/25/13	N	B	
96. Microsoft Common Stock (IRA)	A	Dividend			Sold	6/18/13	K	B	
97. AOL Inc. Common Stock		None	J	T	Buy	11/22/13	K		
98.					Sold (part)	11/22/13	K	A	
99. Time Warner Cable Common	A	Dividend	K	T					
100. Amazon Common Stock		None			Buy	01/16/13	O		
101.					Sold (part)	1/17/13	M	B	
102.					Sold (part)	1/18/13	M	D	

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103.					Sold (part)	2/15/13	N		
104.					Sold (part)	2/22/13	M		
105.					Buy	2/25/13	M		
106.					Sold (part)	3/4/13	N		
107.					Sold (part)	3/6/13	M	C	
108.					Buy	3/14/13	M		
109.					Sold	3/14/13	M		
110.					Buy	3/19/13	L		
111.					Buy	4/5/13	J		
112.					Sold (part)	4/8/13	L		
113.					Buy	4/26/13	N		
114.					Sold (part)	5/7/13	M		
115.					Sold	5/10/13	M	A	
116.					Buy	7/8/13	M		
117.					Buy	7/29/13	N		
118.					Buy	8/4/13	N		
119.					Sold (part)	8/15/13	M		

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120.					Buy	8/21/13	N		
121.					Sold (part)	8/29/13	M		
122.					Sold (part)	9/3/13	M		
123.					Sold	9/30/13	N		
124.					Buy	12/2/13	O		
125.					Sold (part)	12/3/13	M		
126.					Buy	12/5/13	N		
127.					Sold (part)	12/6/13	O		
128.					Sold (part)	12/9/13	M		
129.					Sold (part)	12/13/13	N		
130.					Sold	12/17/13	M		
131. Yahoo Common Stock		None			Buy	1/2/13	M		
132.					Sold	1/2/13	M	A	
133.					Buy	4/10/13	N		
134.					Sold (part)	4/10/13	M	B	
135.					Sold	4/11/13	M	B	
136. Apple Common Stock	C	Dividend			Sold (part)	1/10/13	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	1/14/13	N		
138.					Sold (part)	1/16/13	M		
139.					Sold	2/8/13	L		
140.					Buy	4/5/13	M		
141.					Sold	4/5/13	M	B	
142.					Buy	4/8/13	N		
143.					Sold	4/8/13	N	A	
144.					Buy	4/11/13	N		
145.					Sold (part)	4/18/13	M		
146.					Sold (part)	4/24/13	M		
147.					Sold (part)	4/25/13	L		
148.					Sold	5/17/13	M		
149.					Buy	7/29/13	M		
150.					Sold	7/30/13	M	C	
151.					Buy	7/31/13	M		
152.					Sold	7/31/13	M	A	
153.					Buy	8/22/13	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	8/26/13	N	C	
155.					Buy	8/29/13	M		
156.					Sold (part)	9/3/13	J	A	
157.					Buy	9/3/13	N		
158.					Sold (part)	9/4/13	M	C	
159.					Buy	9/10/13	N		
160.					Sold (part)	9/11/13	M		
161.					Sold (part)	9/13/13	M		
162.					Sold (part)	9/24/13	M		
163.					Sold	9/25/13	M		
164. Cisco Common Stock		None			Sold (part)	1/2/13	N		
165.					Sold	1/16/13	N		
166.					Buy	4/10/13	M		
167.					Sold	4/10/13	M	A	
168. Blackberry Ltd Common Stock		None			Buy	7/30/13	L		
169.					Sold	7/31/13	L	B	
170.					Buy	8/21/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	8/26/13	M	B	
172.					Buy	9/12/13	M		
173.					Sold	9/20/13	L		
174. Netflix Common Stock		None			Buy	10/22/13	N		
175.					Sold (part)	10/23/13	M	A	
176.					Sold	10/29/13	M		
177. Tesla Motors Common Stock		None			Buy	12/3/13	L		
178.					Sold	12/4/13	L		
179. Twitter Common Stock		None	K	T	Buy	11/27/13	M		
180.					Sold	12/4/13	M	C	
181.					Buy	12/6/13	L		
182.					Sold	12/9/13	L	C	
183.					Buy	12/16/13	N		
184.					Sold (part)	12/18/13	M		
185. Ebay Common Stock		None			Buy	4/10/13	N		
186.					Sold (part)	4/10/13	M	A	
187.					Sold (part)	4/11/13	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	4/18/13	L		
189.					Buy	12/12/13	M		
190.					Sold	12/13/13	M	A	
191. Facebook Common		None			Buy	1/16/13	M		
192.					Sold	1/17/13	M	A	
193.					Buy	2/5/13	O		
194.					Buy (add'l)	2/6/13	M		
195.					Buy (add'l)	2/7/13	L		
196.					Buy (add'l)	2/9/13	L		
197.					Buy (add'l)	2/10/13	K		
198.					Sold (part)	2/12/13	L		
199.					Buy (add'l)	2/19/13	M		
200.					Buy (add'l)	2/22/13	K		
201.					Sold (part)	3/6/13	N		
202.					Sold (part)	3/7/13	M		
203.					Sold (part)	3/11/13	M		
204.					Buy (add'l)	3/11/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	3/12/13	M		
206.					Buy (add'l)	3/13/13	M		
207.					Sold (part)	3/19/13	N		
208.					Buy (add'l)	3/24/13	K		
209.					Sold (part)	4/10/13	N		
210.					Buy (add'l)	4/22/13	M		
211.					Buy (add'l)	4/24/13	M		
212.					Sold	4/26/13	N	D	
213.					Buy	4/29/13	N		
214.					Sold	5/1/13	N	C	
215.					Buy	5/14/13	O		
216.					Sold (part)	5/17/13	M		
217.					Buy (add'l)	5/17/13	L		
218.					Buy (add'l)	6/6/13	K		
219.					Buy (add'l)	6/11/13	M		
220.					Sold (part)	6/19/13	M		
221.					Sold (part)	6/24/13	N		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	7/31/13	L		
223.					Buy (add'l)	8/1/13	N		
224.					Sold (part)	8/1/13	M		
225.					Buy (add'l)	8/2/13	M		
226.					Buy (add'l)	8/5/13	K		
227.					Sold (part)	8/6/13	N	D	
228.					Sold (part)	8/7/13	L	B	
229.					Buy (add'l)	8/7/13	L		
230.					Buy (add'l)	8/8/13	J		
231.					Sold (part)	8/8/13	N	D	
232.					Sold (part)	8/15/13	N		
233.					Sold (part)	8/26/13	M		
234.					Buy (add'l)	8/26/13	N		
235.					Buy (add'l)	8/28/13	M		
236.					Buy (add'l)	8/29/13	N		
237.					Sold (part)	8/29/13	N	D	
238.					Buy (add'l)	8/30/13	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	8/30/13	M	C	
240.					Sold (part)	9/3/13	O	D	
241.					Buy (add'l)	9/10/13	M		
242.					Sold (part)	9/11/13	M	D	
243.					Buy (add'l)	9/12/13	O		
244.					Buy (add'l)	9/17/13	M		
245.					Sold (part)	9/18/13	M	C	
246.					Buy (add'l)	9/19/13	M		
247.					Sold (part)	9/19/13	N	D	
248.					Sold (part)	9/20/13	M	D	
249.					Buy (add'l)	9/25/13	N		
250.					Sold (part)	9/25/13	N	E	
251.					Buy (add'l)	9/30/13	N		
252.					Sold	9/30/13	P1	E	
253.					Buy	10/8/13	N		
254.					Buy (add'l)	10/10/13	N		
255.					Buy (add'l)	10/11/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	10/14/13	M	B	
257.					Buy (add'l)	10/15/13	O		
258.					Sold (part)	10/15/13	O	E	
259.					Sold (part)	10/16/13	O	E	
260.					Buy (add'l)	10/18/13	P1		
261.					Buy (add'l)	10/19/13	L		
262.					Buy (add'l)	10/20/13	M		
263.					Buy (add'l)	10/21/13	N		
264.					Buy (add'l)	10/22/13	N		
265.					Buy (add'l)	10/23/13	N		
266.					Buy (add'l)	10/24/13	J		
267.					Buy (add'l)	10/25/13	M		
268.					Sold (part)	10/28/13	N	B	
269.					Sold (part)	10/31/13	O		
270.					Sold (part)	11/7/13	K		
271.					Sold (part)	11/21/13	N		
272.					Sold (part)	11/25/13	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	11/29/13	N		
274.					Sold (part)	12/2/13	N		
275.					Sold (part)	12/4/13	N		
276.					Sold (part)	12/6/13	M		
277.					Sold (part)	12/9/13	N		
278.					Sold (part)	12/17/13	N		
279.					Sold (part)	12/18/13	P1		
280.					Sold	12/19/13	N		
281. Home Depot Common	A	Dividend			Buy	7/15/13	M		
282.					Buy (add'l)	7/16/13	L		
283.					Buy (add'l)	7/25/13	L		
284.					Sold (part)	7/31/13	M	A	
285.					Buy	8/1/13	M		
286.					Sold	8/2/13	N	B	
287.					Buy	8/21/13	L		
288.					Buy	08/26/13	L		
289.					Sold (part)	8/26/13	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/03/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold	9/3/13	L		
291. Lowes Common	B	Dividend			Buy	1/31/13	K		
292.					Buy (add'l)	2/1/13	M		
293.					Sold (part)	2/7/13	K	A	
294.					Sold	2/8/13	N	C	
295.					Buy	2/8/13	M		
296.					Buy	2/11/13	M		
297.					Sold	2/11/13	M	A	
298.					Buy	3/5/13	M		
299.					Sold	3/5/13	M	A	
300.					Buy	3/8/13	M		
301.					Sold	3/11/13	M	B	
302.					Buy	4/11/13	N		
303.					Buy (add'l)	5/9/13	M		
304.					Sold (part)	5/10/13	M	D	
305.					Sold (part)	5/17/13	M	D	
306.					Buy (add'l)	5/20/13	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	5/30/13	N		
308.					Buy (add'l)	6/1/13	K		
309.					Sold (part)	6/4/13	M		
310.					Sold (part)	7/1/13	M		
311.					Sold (part)	7/2/13	M		
312.					Sold (part)	7/11/13	L	A	
313.					Sold (part)	7/12/13	M		
314.					Buy (add'l)	7/15/13	M		
315.					Sold (part)	7/15/13	M	B	
316.					Buy (add'l)	7/24/13	M		
317.					Buy (add'l)	7/26/13	L		
318.					Sold (part)	7/31/13	N	B	
319.					Buy (add'l)	8/2/13	L		
320.					Sold	8/5/13	M	A	
321.					Buy	8/6/13	M		
322.					Sold	8/8/13	M	C	
323.					Buy	8/26/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	8/27/13	L		
325.					Sold (part)	8/27/13	L		
326.					Sold	8/29/13	L		
327. Valero Common Stock	A	Dividend			Buy	1/31/13	M		
328.					Sold (part)	2/5/13	L	C	
329.					Sold	2/8/13	M	C	
330.					Buy	2/8/13	M		
331.					Sold	2/11/13	M	B	
332.					Buy	2/11/13	N		
333.					Buy (add'l)	2/20/13	O		
334.					Sold (part)	2/22/13	M		
335.					Sold (part)	2/25/13	M		
336.					Buy (add'l)	3/8/13	M		
337.					Sold	3/19/13	O		
338. POA Account # 1 : Chase Accounts	A	Interest	N	T					
339. POA Account# 2: Bank of America (CD)	A	Interest			Merged (with line 338)	10/15/13	L		
340. POA Account #3: Vanguard Wellington Admiral Fund	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. POA Account #4	C	Dividend	L	T					
342. -Eaton Vance Floating Rate Fund	B	Dividend	L	T					
343. -Oppenheimer Senior Floating Rate Fund	C	Dividend	L	T					
344. POA Account #5:	A	Int./Div.			Distributed	05/07/13	K		
345. -CitiBank Deposit Program									
346. -DWS High Income Fund									
347. POA Account #6:	B	Int./Div.	M	T					
348. -Morgan Stanley Private Bank									
349. -CitiBank Deposit Program									
350. -Intel									
351. -Gabelli Equity Tr. PFD									
352. -DWS High Income Fund									
353. -DWS Gov't Securities Fund									
354. POA Account #7:	C	Int./Div.	M	T					
355. -Morgan Stanley Private Bank									
356. -Columbia Acorn Select Fund									
357. -Columbia Select Smaller Cap Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -DWS Strategic Value Fund									
359. -Fidelity Advisor Diversified International Fund									
360. -JP Morgan Mid Cap Value Fund									
361. -Growth Fund of America									
362. -Invesco Van Kampen Senior. Loan Fund									
363. -Touchtone Mid Cap Growth Fund									
364. -Escrow Leiner Health Products exchanged for Lehman									
365. -Express Scripts Holding Co. Common Stock (X)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544