

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FALLON, ELDON E.	2. Court or Organization U.S. District Court, Louisiana	3. Date of Report 05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U. S. DISTRICT COURT 500 POYDRAS STREET - ROOM C456 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Ex-Officio Board Member/Past President	Louisiana Bar Foundation
2. Trustee	Testamentary Trust
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Thompson-West (book royalty)	\$2,139.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Louisiana State University Law School	3/21/13-3/22/13	Baton Rouge, Louisiana	Teaching educational seminar (speaker)	Housing and food
2. Duke University Law School	5/02/13-5/3/13	Washington, DC	Teaching educational seminar (speaker)	Housing, food and travel
3. Rand Center for Catastrophic Risk	6/27/13 - 6/28/13	Washington, DC	Advisory Board Meeting	Housing, food and travel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PARCEL #2, NOLA	E	Rent	L	W					
2. PARCEL #5, NOLA	F	Rent	O	W					
3. *PARCEL#6, MS (Pearl River County)	C		P1	W					
4. DUKE COMMON STOCK	A	Dividend	J	T					
5. FIDELITY FUND COMMON STOCK	A	Dividend	K	T					
6. EMPIRE DISTRICT ELECTRIC COMMON STOCK	A	Dividend	J	T					
7. ANADORKO COMMON STOCK	A	Dividend	K	T					
8. VIAD COMMON STOCK	A	Dividend	J	T					
9. FINOVA COMMON STOCK	A	Dividend	J	T					
10. REGIONS BANK [formerly AM SOUTH (IRA)]	D	Dividend	N	T					
11. SCHWAB MONEY MARKET ACCOUNT	D	Interest	N	T					
12. SAVING/FIDELITY HOMESTEAD ASSN'	A	Interest	J	T					
13. *TESTAMENTARY TRUST		None	L	T					
14. VANGUARD INDEX TRUST SMALL CAP STOCK PORTFOLIO (NAESX)MUTUAL	A	Dividend			Sold	06/10/13	K	D	
15. LEGG MASON VALUE MUTUAL FUND	A	Dividend	K	T					
16. *GNMA'S POOL (BONDS)	B	Interest	K	T	Buy (add'l)	06/13/13	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P4 = More than \$50,000,000	S = Assessment T = Cash Market	
(See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. FNMA MTG POOL	A	Interest	J	T					
18. VANGUARD TOTAL STOCK MKT INDEX FUND	A	Dividend	M	T					
19. MONEYGRAM INTERNATIONAL, INC. [Spin off of VIAD]	A	Dividend	J	T					
20. *SCHWABB MONEY MARKET FUND (See VIII for explanation)	C	Interest	L	T					
21. SECTOR SPDR TR SBI INT-ENERGY	A	Dividend	L	T	Buy (add'l)	03/13/13	K		
22. OIL SVC HOLDERS TR DEPOSTRY RCPT	A	Dividend	K	T					
23. PIMCO FDS PAC INVT MGM COMMD RL STR D	A	Dividend	K	T					
24. BLACKROCK INCOME TR COM	A	Dividend	K	T					
25. FHLMC POOL	A	Dividend	J	T					
26. STEETTRACKS GOLD TR GOLD SHS	A	Dividend	L	T					
27. VANGUARD WORLD FDS MATERIALS ETF	A	Dividend	L	T					
28. PIMCO CORPORATE OPP FD COM	A	Dividend	L	T					
29. PIMCO TOTAL RTN 2	A	Dividend	K	T					
30. U.S. GLOBAL	A	Dividend			Sold	03/13/13	J		
31. FIDELITY SELECT COMPUTERS	A	Dividend	K	T					
32. FEDERATED FUND	A	Dividend	L	T					
33. GAMBELLI GROWTH FUND	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. T. ROWE PRICE INTERNAT'L	A	Dividend	K	T					
35. VANGUARD GNMA	B	Dividend	K	T					
36. VANGUARD TOTAL STOCK INDEX	B	Dividend	M	T					
37. FIDELITY HOMESTEAD (CDs)	C	Interest	L	T					
38. *HomeBank (formerly GUARANTY HOMESTEAD) (CDs)	B	Interest	M	T					
39. CAPITOL ONE (formerly DRYADES SAVINGS) (CDs)	A	Interest	K	T					
40. WISDOMTREE TRUST INTL DIV E FINL	A	Interest	K	T					
41. *BLACKROCK LTD DURATION COM SHS	A	Interest	K	T					
42. VANGUARD BD INDEX FD SHORT TRM BOND	A	Interest	L	T					
43. ISHARES TR GS CORP BD FD	A	Interest	L	T					
44. MARKET VECTORS ETF TR AGRIBUS ETF	A	Interest	K	T					
45. SPDR SERIES TRUST BRCLYS SHRT ETF	A	Interest	K	T					
46. ALPS EFG TR ALERIAN MLP	A	Interest	K	T					
47. *BLACKROCK LTD DURATION COM SHS	A	Interest	K	T					
48. SELECT SECTOR SPDR TR SBI INT-UTILS	A	Interest	L	T	Buy (add'l)	03/13/13	K		
49. JOHN HANCOCK BK&THRIFT SH BEN INT	A	Interest	K	T	Buy	03/13/13	K		
50. SELECT SECTOR SPDR TR SBI HEALTHCARE	A	Interest	K	T	Buy	03/13/13	K		

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51. SELECT SECTOR SPDR TR SBI CONS STPLS	A	Interest	L	T	Buy	03/13/13	L		
52. SELECT SECTOR SPDR TR TECHNOLOGY	A	Interest	J	T	Buy	03/13/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS.

#3. Farm and pasture land. Raise cattle and sell cows.

#13. Assets of Testamentary Trust.

(1) Trustee, with no direct personal interest, for Account of Testamentary Trust on deposit with Bank One, New Orleans, Louisiana. The interest income is paid pursuant to the terms of the Trust in monthly installments to decedent's [REDACTED] who is income beneficiary for life or remarriage. Turstee's two major [REDACTED] are the principal beneficiaries of the Trust.

(2) Lot Lakeside Estates, Geneva County, Alabama, appraised value \$600

#16. GNMA'S POOL BONDS is principle reduction on a government mortgage pool.

#20. SCHWABB MONEY MARKET FUND is funds in an IRA cash reserve with money in and out, similiar to a bank account. This fund is treated like a CD, and some of the funds are used to purchase other stocks, i.e., the value at beginning of the year was greater than the value at the end as the funds were reduced by purchase of more shares in fund. removed in next report.

#38 GUARANTY HOMESTEAD is now HomeBank - had name change in 2013.

#41 AND #47 BLACKROCK LTD DURATION COM SHS are same stock, will become number 41 on next year's report (2014).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ELDON E. FALLON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544