

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Morgenstern-Clarren, Pat E.	2. Court or Organization U.S. Bankruptcy Ct., N.D., Oh.	3. Date of Report 5/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 04/30/2017
7. Chambers or Office Address Howard Metzenbaum US Ct. House 201 Superior Avenue East Cleveland, Ohio 44114		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017	University Hospitals Health Agent for University Primary Care Practice
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch (IRA):									
2. -Putnam Premier 1 Tr.	A	Dividend	J	T					
3. -Entergy Corp Common Stock	A	Dividend	J	T					
4. -Bank of America, NA	A	Interest	M	T					
5. -Hewlett Packard Enterprise Co.	A	Dividend	K	T					
6. -Texas Instruments, Inc. Common Stock	B	Dividend	M	T					
7. -Apple Computer Inc. Common Stock	B	Dividend	N	T					
8. -KLA Tencor Corp. PV	A	Dividend	K	T					
9. -Colgate Palmolive Stock	A	Dividend	M	T					
10. -Agilent Technologies Inc.	A	Dividend	J	T					
11. -Intl Paper Co.	A	Dividend	J	T					
12. -Maxim Integrated Prods.	A	Dividend	K	T					
13. -Stratasys Ltd.		None	J	T					
14. -Keysight Technologies Inc.		None	J	T					
15. -HP Inc.	A	Dividend	K	T					
16. -CD Wells Fargo Bank NA	A	Interest	K	T					
17. -CD Comenity Bank	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Proshares S&P 500	B	Dividend	M	T	Buy (add'l)	01/12/17	J		
19. -DXC Technology Co		None	J	T	Buy	04/06/17	J		
20. Merrill Lynch Brokerage Account (H):									
21. -ML Bank Deposit Program		None	J	T					
22. -Entergy Corp. Common Stock	A	Dividend	J	T					
23. University Suburban Real Estate - Note Receivable	B	Interest	L	T					
24. Huntington National Bank - Various Accounts	A	Interest	K	T					
25. Huntington National Bank Money Market Account	A	Interest	M	T					
26. Vanguard (IRA) (H):									
27. -Vanguard Balanced Index Fund Adm	E	Dividend	O	T					
28. -Vanguard High Dividend Yld Idx Inv	E	Dividend	O	T					
29. Fidelity (401K)(H):									
30. -Vanguard Target Ret 2015		None	N	T					
31. Lincoln National: Universal Life (no control)	A	Interest	L	T					
32. Charles Schwab Institutional (IRA)(H):									
33. -Schwab Adv Cash Reserve	A	Interest	J	T					
34. -Avenue Credit Strategies Inst	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Avenue Credit Strategies Inv	A	Dividend	J	T					
36. -Cohen & Steers Preferred SEC and INCM A	A	Dividend	J	T					
37. -T Rowe Price Inst Floating Rate FD	A	Dividend	J	T					
38. -Templeton Global Bond Fund Adv CL	A	Dividend	J	T					
39. -Templeton Global Bond Fund CL A	A	Dividend	J	T					
40. -Absolute Credit Oppty FD		None	J	T					
41. -AMG Managers Lake Partners Lasso Alt N		None	J	T					
42. -AMG Managers Lake Partners Lasso Alt I		None	K	T					
43. -Center Coast MLP Focus FD A	A	Dividend	J	T					
44. -Mainstay Cushing MLP Premier FD CL A	A	Dividend	J	T	Buy (add'l)	04/21/17	J		
45. -DFA Emerging Mkts Core Eqty Port Instl	A	Dividend	K	T					
46. -DFA Intl Core Eqty Port Instl	A	Dividend	K	T					
47. -Salient EM Infrastructure Instl	A	Dividend	J	T					
48. -FPA Crescent Fund Inst CL Shares		None	K	T					
49. -Hatteras Alpha Hedged Strategies A		None	J	T					
50. -IVA Worldwide FD CL A		None	J	T					
51. -IVA Worldwide FD CL I		None	K	T					

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52. -Oppenheimer Steelpath MLP Alpha Y	A	Dividend	J	T					
53. -PIMCO All Asset All Authority CL D	A	Dividend	J	T					
54. -PIMCO All Asset All Authority CL Inst	A	Dividend	K	T					
55. -Victory Global Natural Resources FD Y		None	K	T					
56. -Schwab Fundamental Emer Mkt Large Co Index		None	J	T					
57. -Schwab Fundamental Intl Large Co Index		None	J	T					
58. -Wells Fargo Absolute Return Admin		None	K	T	Sold (part)	04/21/17	J		
59. -iShares Gold ETF		None	J	T					
60. -iShares Silver Trust ETF		None	J	T					
61. -Weyerhaeuser Co REIT	A	Dividend	J	T					
62. -Powershares Water Resc Portfolio ETF		None	J	T					
63. -Wisdomtree Emerging Markets ETF		None	J	T					
64. -Cohen & Steers Preferred SEC and INCM I	A	Dividend	J	T					
65. -Loomis Sayles Core Plus Bond Fund CL A	A	Dividend	J	T					
66. -Osterweis Strategic Income Fund	A	Dividend	J	T					
67. -Alps Red Rocks Listed Private Eqty FD CL A		None	J	T					
68. -PIMCO Incm CL D	A	Dividend	J	T					

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69. -PIMCO Incm INST CL	A	Dividend	J	T					
70. -Wisdomtree US QLT DIV GRW ETF IV	A	Dividend	K	T					
71. -AQR Style Premia Alt FD CL I		None	J	T					
72. -Loomis Sayles Core Plus Bond Fund C1 Y	A	Dividend	J	T					
73. -Schwab Intl Index Fund		None	J	T					
74. -AQR Style Premia Alt FD CL N		None	J	T					
75. -DFA Intl Real Estate Securities Port Instl		None	J	T					
76. -Vanguard Gibal Ex US Real Estate ETF IV		None	J	T					
77. -Salient EM Infrastructure A	A	Dividend	J	T					
78. -DFA World Core Eqty Inst	A	Dividend	K	T					
79. -Schwab Total Stock Mkt Index		None	J	T					
80. -Schwab US Broad Market ETF	A	Dividend	K	T					
81. Vanguard (H):									
82. -Vanguard Balanced Index Fund Adm	C	Dividend	M	T					
83. -Vanguard High Dividend Yld Idx Inv	C	Dividend	M	T					
84. Connexus Credit Union	A	Interest	M	T					
85. Banco Popular NA	A	Interest	M	T					

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86. Silvergate Bank	A	Interest	M	T					
87.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: As of May 1, 2017, Pat E. Morgenstern-Clarren is retired. This report is final.

Note 2: To Part VII, #18, the asset listed here as "Proshares S&P 500" had a forward 2:1 split on January 12, 2017.

Note 3: To Part VII, #19, the asset listed here as "DXC Technology Co" was paid for by Hewlett Packard on April 6, 2017.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Pat E. Morgenstern-Clarren

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544