

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lynch, Brian D.	2. Court or Organization U.S. Bankruptcy Court, W.D. WA at Tacoma	3. Date of Report 01/20/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Bankruptcy Court 1717 Pacific Avenue Suite 2100 Tacoma, WA 98402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Lynch, Brian D.

Date of Report

01/20/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Saint James Cathedral - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 29-November 1, 2013	Atlanta, GA	Annual Conference	Airfare, Three nights hotel and some meals
2. Northwest Bankruptcy Institute	April 11-13, 2013	Vancouver, WA	Seminar	Registration, two nights hotel and some meals
3. National Conference of Bankruptcy Judges	August 3-6, 2013	New York City, NY	Chapter 13 Trustees' Annual Conference	Airfare, Transport to and from airport, some meals
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Lynch, Brian D.

Date of Report

01/20/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Lynch, Brian D.

Date of Report

01/20/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2. Chase Bank #	A	Interest	M	T					
3. Washington Federal (IRA)(CDs) #	A	Interest	N	T					
4. Wells Fargo Bank #	A	Interest	L	T					
5. -I Shares S&P Sm Blend (IJR) #	A	Int./Div.	L	T					
6. -I Shares S&P MidCap Value (IJJ) #	A	Int./Div.	L	T					
7. -I Shares S&P Small Cap Value Index (IJS) #	A	Int./Div.	K	T					
8. -Am Funds Europacific Growth Fund (AEPGX) #	A	Int./Div.	J	T					
9. -Vanguard 500 Index Fund (VFINX) #	B	Int./Div.	M	T					
10. -Am Funds AMCAP Fund Class A (AMCPX) #	A	Int./Div.	J	T					
11. -PowerShares QQQ Trust (QQQ) #	B	Int./Div.	L	T					
12. -Am Funds Growth Fund of America Class A (AGTHX) #	A	Int./Div.	J	T					
13. -SPDR S&P 500 ETF(SPY) #	B	Int./Div.	L	T					
14. -I Shares Msci Emerging Mkt Fund (EEM) #	A	Int./Div.	K	T					
15. -I Shares Barclays Aggregate Bond Fd (AGG) #	B	Interest	K	T					
16. -I Shares S&P US Preferred Fd (PFF) #	C	Int./Div.	K	T					
17. -Vanguard Reit (VNQ) #	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Lynch, Brian D.

Date of Report

01/20/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Dreyfus Growth and Income Fund (DGRIX) # (Y)									
19. Fidelity Investments VIP Contrafund Fund # (Y)									
20. Mutual of America Bond Fund # (Y)									
21. -Schwab Govt Money Fund (SWGXX) #	A	Interest	K	T					
22. Wells Fargo Stable Value Class M # (Y)									
23. Advisors Disciplined Tr UT 1005	A	Interest	J	T	Buy	03/20/13	J		
24. Mutual of America Equity Index Fund # (Y)									
25. iShares Msci EAFE Index (EFA) #	B	Int./Div.	K	T					
26. Schwab Money Market (MMF) #	A	Interest	K	T					
27. Advisors Disc Tr UT 825 #	A	Int./Div.			Sold	03/19/13	K	A	
28. Advisors Disc Tr UT 1019	A	Dividend	J	T	Buy	03/20/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Lynch, Brian D.

Date of Report

01/20/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

The (Y) designation in Part VII refers to the fact that [REDACTED]. The investments designated with a (Y) are [REDACTED].

The Amended Report is filed in response to the Inquiry from the Committee. In hindsight, I understand that in March 2013 my financial advisor sold my Advisors Disciplined Trust UT #825 (these are all unit investment trusts), and with the proceeds purchased two other unit investment trusts, Advisors Disciplined Trust # 1019 and Advisors Disciplined Trust # 1005. #825 was sold for just over \$30,000, and the two trusts were purchased were for just over and just under \$15,000 respectively.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Lynch, Brian D.

Date of Report

01/20/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian D. Lynch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544