

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Magnuson, Paul A.	2. Court or Organization U.S. District Court, Minnesota	3. Date of Report 07/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 734 Burger Federal Courts Building 316 North Robert Street Saint Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	International Judicial Academy, Washington, DC
2.	Advisor	International Council for Court Administrators - Advisory Council
3.	Director	MN Prayer Breakfast, Minneapolis, MN
4.	Director	United States Russia Foundation
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	United States Russia Foundation (USRF)	01/13/2013 - 01/16/2013	Los Angeles, CA	Directors Meeting	Transportation, room, meals
2.	United States Russia Foundation (USRF)	06/02/2013 - 06/05/2013	Moscow, Russia	Directors Meeting	Transportation, room, meals
3.	United States Russia Foundation (USRF)	09/08/2013 - 09/13/2013	Vladivostok, Russia	Directors Meeting	Transportation, room, meals
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Hartford Growth Opp. Fund-A IRA (X)		None	M	T	Merged (with line 4)	01/01/13	J		
2. H.B. Fuller Common Stock	A	Dividend	J	T					
3. Hartford Cap Fund A Balanced Income Fund		None	K	T					
4. Hartford Cap Fund L		None				01/01/13	J		Fund merged with line 1
5. Ord-Tech Oilton Remote Detection Technologies, Inc.		None			Closed	01/01/13	J		Company Defunct
6. Metropolitan Life Insurance		None	J	T					
7. Morgan Stanley Bank N.A.	A	Int./Div.	J	T					
8. Columbia Acorn Z	A	Dividend	K	T					
9. Columbia Intern Bond Z	A	Dividend			Sold	09/05/13	J	A	
10. Fidelity Adv Strat Inc I	B	Dividend	L	T					
11. Franklin Rising Dividends Adv	A	Dividend	K	T					
12. Gabelli Small Cap Growth		None	J	T					
13. American GW FD of America F2	A	Dividend	K	T					
14. Managers Bond Fund	A	Dividend	J	T					
15. Franklin Temp Mutual Shares Z	A	Dividend	K	T					
16. Franklin Mutual Glb Disc Z	A	Dividend	K	T					
17. American New World F2	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Oppenheimer Intl Bond Y	A	Dividend	K	T					
19. Oppenheimer Developing Mkts Y	A	Dividend	J	T					
20. American Small Cap World F2		None	J	T					
21. Templeton Emerg Mkts Sm Cp Adv	A	Dividend	J	T					
22. Templeton Global Bd Fd Adv	B	Dividend	K	T					
23. Thornburg Inv Inc Builder I	B	Dividend	L	T					
24. Thornburg Intl Value I	A	Dividend	K	T					
25. American WA Mutual F2	A	Dividend	K	T					
26. Morgan Stanley Bank N.A.	A	Int./Div.	K	T					
27. Minnesota Hsg Fin Agcy Rev Ser C	A	Int./Div.	K	T					
28. Buffalo Minn Wastewtr Ser A	B	Int./Div.	K	T					
29. Washington Cnty Minn	A	Int./Div.	K	T					
30. Olmsted Cnty Minn Cap Ser B	B	Int./Div.			Sold	01/12/13	K	B	
31. St Paul Minn Genl Oblig Str SerD	B	Int./Div.	K	T					
32. Brooklyn Center Minn ISD No 2	B	Int./Div.	K	T					
33. General Electric Capital Corp	A	Int./Div.			Redeemed	01/02/13	J	A	
34. General Electric Cap Corp	A	Int./Div.			Redeemed	01/02/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Xcel Energy Inc	A	Int./Div.			Redeemed	05/31/13	J	A	
36. AXA Equitable/Equitable Accumulator		None	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul A. Magnuson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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