

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lungstrum, John W.	2. Court or Organization USDC, District of Kansas	3. Date of Report 04/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director and officer	Westward Ho, Inc. (corporation which owns residential property for personal use)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Judges Association

04/19/2013 -
04/25/2013

Washington DC

Board of Directors Meeting

Travel Expenses

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Bank (Money Mkt)	A	Dividend	M	T	Deps. & W/ds				
2. Tucson AZ Wtr Dist. (Bond)	A	Interest	K	T					
3. College Station TX School District (Bond)	B	Interest	K	T					
4. Johnson Cty, KS Water Dist	A	Interest	K	T					
5. Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	L	T					
6. EV Floating Rate A	A	Interest	K	T					
7. Glassboro NJ (Bond)	B	Interest	K	T					
8. Clearbridge Energy (Stock)	B	Dividend	K	T					
9. EV Kansas Municipals (Mutual fund)	C	Interest	K	T					
10. Blackrock Res & Comm Strat TR (stock)	B	Dividend	J	T					
11. Gabelli Div & Incm TR (stock)	B	Dividend	K	T					
12. Tortoise MLP Fund Inc. (stock)	B	Dividend	K	T					
13. IRA	E	Interest	O	T					
14. -MSDW Liq. Asset (Money market fund)									
15. -Select Future Fund (Mutual Fund)									
16. -Goldman Sachs Group, Inc. (Bond)									
17. -May Dept. Stores Co. (Note)					Sold	08/15/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. -Lord Abbott Bond Deb C (Mutual Fund)									
19. -Invesco Commodities Strat A (Mutual Fund)									
20. -MSIF US Real Estate (Mutual Fund)					Buy	01/02/13	K		
21. -Oppenheimer Int'l Bond FD (Mutual Fund)									
22. -MS Charter Graham (Mutual Fund)									
23. -MS Charter Aspect L (Mutual Fund)									
24. -MS Spectrum Tech (Mutual Fund)									
25. -MS Emerging Mkts Domestic Debt (Mutual Fund)									
26. -Van KMPN Dynamic CR OPP Fund (Mutual Fund)									
27. -H&Q Healthcare Fd (Mutual Fund)									
28. -Blackrock US Opportunities (Mutual Fund)									
29. -Blackrock Equity Div (Mutual Fund)									
30. -Henderson Int'l Opportunities (Mutual Fund)					Sold (part)	10/08/13	J	A	
31. -Jennison Nat'l Resources A (Mutual Fund)									
32. -Lazard Emerging Markets Open (Mutual Fund)									
33. -Oppenheimer Gold & Spec Min A (Mutual Fund)									
34. -Thornburg Int'l Value A (Mutual Fund)									

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35. -Tortoise MLP (Mutual Fund)									
36. -Aston/Montag & Caldwell GRW N (Mutual Fund)									
37. -Aston/Montag & Caldwell GRW N (Mutual Fund)									
38. -Blackrock Equity Div A (Mutual Fund)									
39. -Invesco Premier PTF (Mutual Fund)					Sold (part)	01/15/13	J	A	
40. -Invesco Premier PTF (Mutual Fund)					Sold (part)	04/12/13	J	A	
41. -Artisan Midcap Value (Mutual Fund)									
42. -Royce Value Plus (Mutual Fund)									
43. -Invesco Floating Rate A (Mutual Fund)									
44. -JP Morgan Chase & Co (bond)									
45. -Tortoise North Am Energy Corp (stock)									
46. -Invesco Small Cap Y (mutual fund)					Buy	01/02/13	K		
47. -Invesco Small Cap Y (mutual fund)					Sold (part)	04/09/13	J	A	
48. -Invesco Small Cap Y (mutual fund)					Sold (part)	07/10/13	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

I have treated reinvestment programs as exempt because they merely represent a change in the form of the asset.

With regard to Line 1, I have not provided dates and value codes because the transactions are numerous, usually small and are the equivalent of bank deposits and withdrawals. Morgan Stanley Bank was formerly known as Morgan Stanley Liquid Asset Fund.

With regard to the IRA (line 13), I have only shown interest in Column B(2) because the computer program would permit listing only one type. However, dividends and capital gains were also realized by the IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John W. Lungstrum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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