

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARSH, MALCOLM F.	2. Court or Organization U.S. DISTRICT COURT, OREGON	3. Date of Report 04/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1000 S. W. THIRD AVENUE SUITE 1507 PORTLAND, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Grantor/Trustee of Intervivos Trust	Pioneer Trust Bank (See Line 82)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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MARSH, MALCOLM F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MORGAN Stanley Man Acc # 482 Munil Bonds (h)									
2. Ore St Dept Admin Svcs Lottery, Due 04/01/2025	B	Interest			Sold	05/07/13	K		
3. Tillamook Bay Ore Cmnty College, Due 6/15/2026	B	Interest			Sold	05/07/13	K		
4. Puerto Rico Cmnlwth Hwy Transn, Ser. AGM, due 7/1/2026	A	Interest			Sold	11/01/13	K		
5. Lake Oswego Ore Ser A, L/T, B/E, Due 6/1/2029	A	Interest			Sold	03/13/13	K		
6. Wash. Cnty Ore Clean Wtr Ser. B, B/E, Due 10/1/2029	B	Interest			Sold	03/05/13	L		
7. Florence Ore Rfdg, Due 12/1/2030	A	Interest			Sold	03/13/13	K		
8. Portland ORE WTR Sys Re OID Mat: 04/01/2037	C	Interest			Sold	08/13/13	L		
9. Portland Ore Urban Renewal, Due 6/15/2023	A	Interest	K	T					
10. Port Portland Int'l Airport, Due 7/1/2026	A	Interest	K	T					
11. Clackamas County Ore Sch. dist #46, Ser. A, Due 6/15/2029	B	Interest	K	T					
12. Oregon St. Facs Auth Rev Rfdg, Due 3/15/2030	B	Interest	L	T					
13. Portland Ore. urban Renewal & B/E, due 6/15/2031	B	Interest	K	T					
14. Medford Ore. Hosp Facs. AGM B/E, due 8/15/2035	B	Interest	K	T					
15. Salem Ore Hosp Fac., Ser. A, Due 8/15/2036	B	Interest	L	T					
16. OR Health & Science Univ Revenue Ser-A 07/01/2024	B	Interest	K	T	Buy	10/29/13	K		
17. Port of Prt OR Port Intl Arpt Passenger Face Rev-A Due 07/01/2027(h)	B	Interest			Sold	06/07/13	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 N = \$250,001 - \$500,000	L = \$50,001 - \$100,000 O = \$500,001 - \$1,000,000	M = \$100,001 - \$250,000 P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000 P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	V = Other	S = Assessment W = Estimated	T = Cash Market

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.									
19.									
20.									
21. –OTHER Investments & activities 482(h)									
22. Powershares S&P 500 Low Vola	A	Interest			Buy	02/06/13	K		
23. Powershares S&P 500 Low Vola	A	Interest			Sold	10/29/13	K		
24. Powershares QQQ TR	A	Interest	K	T	Sold (part)	05/09/13	K		
25. Wisdomtree Trust Emrg Mkt Eqt	B	Interest			Sold	02/03/13	K		
26. Wisdomtree US Divid Grow FD	B	Interest	L	T	Buy	06/07/13	L		
27. Powershares S & P Low Vola	B	Interest	K	T	Buy	03/05/13	K		
28. IShares Trust DJ Select	A	Interest	J	T	Buy	04/11/13	J		
29. IShares Select Dividend ETF	A	Interest	K	T	Buy	08/13/13	K		
30. IShares Trust DJ Select	A	Interest	J	T	Sold (part)	05/09/13	J		
31. IShares Select Dividend ETF	A	Interest			Sold	10/04/13	K		
32. Global X SuperDividend ETF	C	Interest	L	T	Buy	05/07/13	L		
33. Global X SuperDividend ETF	C	Interest	K	T	Sold (part)	08/13/13	K		
34. Clearbridge Energy MLP FD Inc	B	Interest	K	T	Buy	10/04/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Clearbridge Energy MLP OPP FD	B	Interest	K	T	Buy	10/04/13	K		
36. Powershares QQQ TR	B	Interest	K	T	Buy	08/13/13	K		
37. Fortune Brands, Inc., Due 11-15-2021	A	Interest			Sold	09/11/11	K		See Note** Sold 09/11/11
38. Wells Fargo Capital Enhanced, Due 3/15/2068	A	Interest			Sold	09/11/11	K		See Note **Sold 09/11/11
39. ***Western Asset Oregon Muni C	A	Interest			Sold	04/11/13	J		See Note
40. MORGAN Stanley Acc #395 (h) Corp Bonds (IRA)									
41. Vulcan Materials Co., Due 6/15/2018	B	Interest			Sold	01/11/13	K		
42. Genworth Financial, Due 2/15/21	A	Interest			Sold	02/05/13	K		
43. Goldman Sachs Group, Due 11/1/2060	B	Interest			Sold	03/05/13	K		
44. Unit Gugg Zacks Income Adv Strate Series 16	C	Interest			Sold	03/21/13	L		
45. Unit First Trust Tactical Income 5	B	Interest			Sold	03/21/13	K		
46. Manulife Financial Corp, Due 9/17/2020	A	Interest			Sold	04/11/13	J		
47. Units FTP Tactical Income Portfolio Series 11	B	Interest			Sold	05/07/13	K		
48. Unit AAM High 80 DIV 2013-2Q	B	Interest			Buy	05/14/13	L		
49. Unit AAM High 80 DIV 2013-2Q	B	Interest			Sold	12/06/13	L		
50. Unit FT Strategic Income CE 48	B	Interest			Buy	07/09/13	K		
51. Unit FT Strategic Income CE 48	B	Interest			Sold	12/06/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Unit AAM Strategic High 80 Dividend 2012-2Q	B	Interest			Sold	05/14/13	K		
53. Unit FTP Strategic Income Series 36	A	Interest			Sold	07/09/13	K		
54. Unit FTP Sr Loan & Ltd Duration Series 52	C	Interest			Sold	10/02/13	K		
55. Unit FTP Sr Loan & Ltd Duration Plus Series 54	C	Interest			Sold	10/02/13	K		
56. Unit AAM Steelpath/Alerian MLP & Income 12-2	B	Interest			Sold	12/06/13	K		
57. Unit First Trust Tactical Income 14	A	Interest			Sold	12/06/13	J		
58. Powershares S&P 500 Low Vola	C	Interest			Buy	01/11/13	K		
59. Powershares S&P 500 Low Vola	C	Interest			Sold	06/25/13	K		
60. Powershares S&P 500 Low Vola	B	Interest			Buy	02/05/13	K		
61. Powershares S&P 500 Low Vola	B	Interest			Sold	08/02/13	K		
62. Clearbridge Energy MLP RD Inc	B	Interest	K	T	Buy	03/05/13	K		
63. Powershares S&P Low Vola	A	Interest	J	T	Buy	04/11/13	J		
64. Global X Superdividend ETF	D	Interest			Buy	05/14/13	K		
65. Global X Superdividend ETF	D	Interest			Buy	06/25/13	K		
66. Global X Superdividend ETF	D	Interest			Sold	10/29/13	K		
67. Global X Superdividend US ETF	C	Interest	K	T	Buy	08/02/13	K		
68. Wisdomtree US Divid Grow FD	A	Interest	K	T	Buy	10/02/13	K		

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69. First TR Exchange Traded FD VI	A	Interest	K	T	Buy	10/02/13	K		
70. Clearbridge Energy MLP RD Inc.	C	Interest	K	T	Buy	10/02/13	K		
71. Blackrock Strat Inc Opport C	A	Interest	K	T	Buy	10/29/13	K		
72. Neuberger Berman LG SH Inst	A	Int./Div.	L	T	Buy	12/31/13	L		
73. Lord Abbett Sht Duration Inc F	B	Interest	K	T	Buy	12/31/13	K		
74. Mainstay Marketfield I	A	Interest	K	T	Buy	12/31/13	K		
75. Unit Gugg Zacks Income Adv 20	C	Interest			Buy	03/21/13	L		
76. Unit Gugg Zacks Income Adv 20	C	Int./Div.			Sold	12/03/13	L		
77. Unit FT Tactical Income 18	B	Interest			Buy	03/21/13	K		
78. Unit FT Tactical Income 18	B	Interest			Sold	12/06/13	K		
79.									
80.									
81. PERSONAL BANK ACCOUNTS and TRUST(h)									
82. Pioneer Trust Bank (See P. 1, Positions)	A	Interest	K	T					
83. Key Bank Private Bank	A	Interest	J	T					
84. U.S. Bank Checking Acct.	A	Interest	J	T					
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following investments were previously inadvertently left off past reports.

Re: 2012 Report

Line 2: Western Asset Oregon Muni C was still owned during the 2013 reporting period and was inadvertently left off the 2012 and 2013 report. Purchase date was 10/04/2010. Sale date in its entirety was 04/11/2013.

Re: 2013 Report

Line 44: IShares Trust DJ Select was inadvertently placed under the incorrect account # and has been inserted in the correct account # for this report.

Line 53: Fortune Brands, Inc., Due 11-15-2012, was sold on 09/01/2011 and was inadvertently left on the 2013 Report

Line 59: Wells Fargo Capital Enhanced, Due 03-15-2068, was sold on 09/01/2011 and was inadvertently left on the 2013 Report

Current Report

Line 82: Pioneer Trust Bank account has no reportable assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MALCOLM F. MARSH

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544