

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lynch, Sandra L.	2. Court or Organization U.S. Court of Appeals, 1st Cir	3. Date of Report 05/31/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 1 Courthouse Way, Suite 8710 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Mass Muni MM	A	Dividend	L	T					
2. Bank of America	A	Interest	K	T					
3. FIDELITY TRADITIONAL IRA(H)	D	Dividend	M	T					
4. - Fidelity Disciplined Equity									
5. - Fidelity Cash Reserves									
6. Fidelity Investments Core Cash	A	Interest	K	T				B	
7. IRA ROLLOVER ACCOUNT(H)	F	Dividend	P1	T					
8. - Fidelity Low Priced Stock					Sold (part)	03/18/13	J	A	
9.					Sold (part)	10/15/13	J	B	
10.					Buy (add'l)	12/06/13	J		
11.					Buy (add'l)	12/19/13	K		
12.					Buy (add'l)	12/20/13	J		
13. - Fidelity Dividend Growth					Buy (add'l)	02/05/13	J		
14.					Buy (add'l)	04/24/13	J		
15.					Sold	12/19/13	K	E	
16. - Fidelity Capital and Income									
17. - Fidelity Invest Grade					Buy (add'l)	02/05/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	03/18/13	J		
19.					Sold (part)	04/24/13	J	A	
20.					Buy (add'l)	05/23/13	J		
21.					Buy (add'l)	05/24/13	J		
22.					Buy (add'l)	09/13/13	J		
23.					Buy (add'l)	12/06/13	J		
24. - Fidelity Blue Chip Growth					Sold (part)	03/18/13	J	B	
25.					Buy (add'l)	04/24/13	K		
26.					Sold (part)	09/13/13	J	C	
27.					Buy (add'l)	12/19/13	J		
28. - Fidelity Advisor Strategic Inc CL I									
29. - Fidelity Inflation Protected Bond					Buy (add'l)	09/13/13	J		
30.					Sold	12/06/13	J	D	
31. - Fidelity Ultra-Short Bond					Buy (add'l)	02/05/13	J		
32.					Buy (add'l)	05/23/13	J		
33. - Fidelity Total Bond					Buy (add'l)	03/18/13	J		
34.					Sold (part)	04/24/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	05/23/13	J		
36.					Buy (add'l)	09/13/13	J		
37. - Spartan 500 Index FID Advantage					Sold (part)	03/18/13	J	A	
38.					Sold	04/24/13	L	E	
39. - Fidelity Advisor New Insights C1 I					Sold (part)	02/05/13	J	C	
40.					Buy (add'l)	04/24/13	J		
41. - Fidelity Growth Company					Buy (add'l)	04/24/13	J		
42.					Sold (part)	09/13/13	J	B	
43.					Sold (part)	12/06/13	J	C	
44. - Fidelity Advisor High Income CL I					Sold (part)	02/05/13	J		
45. - Fidelity Short Term Bond					Buy (add'l)	02/05/13	J		
46.					Buy (add'l)	05/23/13	J		
47. -Strategic ADV US Oppty II					Buy (add'l)	04/24/13	K		
48.					Buy (add'l)	04/25/13	J		
49.					Sold (part)	05/23/13	J	A	
50.					Sold (part)	12/06/13	J	A	
51.					Buy (add'l)	12/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Strategic ADV Int'l II					Buy (add'l)	03/18/13	K		
53.					Buy (add'l)	04/24/13	J		
54.					Buy (add'l)	07/24/13	J		
55.					Buy (add'l)	10/15/13	J		
56.					Buy (add'l)	12/06/13	J		
57. -Fidelity Equity Income					Sold (part)	03/18/13	J	A	
58.					Sold (part)	09/13/13	J	A	
59. -Fidelity Fund					Sold (part)	03/18/13	J	A	
60.					Sold	04/24/13	K	D	
61. -Fidelity Value					Sold (part)	03/18/13	J	A	
62.					Buy (add'l)	09/13/13	J		
63.					Sold (part)	12/06/13	K	D	
64. -Fidelity Emerging Markets					Sold (part)	07/24/13	J		
65.					Sold (part)	09/13/13	J		
66. -Fidelity Select Gold					Sold (part)	03/18/13	J		
67.					Sold (part)	04/24/13	J		
68.					Sold	05/23/13	J		

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69. -Fidelity Stock Selector All Cap Fd					Sold (part)	03/18/13	J	A	
70.					Sold (part)	04/24/13	J	B	
71.					Buy (add'l)	12/19/13	K		
72. -Fidelity Focused High Income Fund									
73. -FIMM MMKT Port Inst Cl					Sold (part)	02/05/13	J		
74.					Sold (part)	02/20/13	J		
75.					Sold (part)	03/18/13	J		
76.					Sold (part)	05/23/13	J		
77.					Sold (part)	05/29/13	J		
78.					Sold (part)	08/13/13	J		
79.					Sold (part)	10/15/13	J		
80.					Sold (part)	11/26/13	J		
81. -Fidelity Stock Selector Small Cap									
82. -Fidelity Mega Cap Stock Fd					Sold (part)	03/18/13	J	A	
83.					Buy (add'l)	04/24/13	K		
84.					Sold (part)	09/13/13	J	A	
85.					Buy (add'l)	12/06/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. -Fidelity Real Estate Income					Sold (part)	03/18/13	J	A	
87. -Fidelity Municipal Income					Buy	12/06/13	K		
88.					Buy (add'l)	12/09/13	J		
89. Esplanade Capital Ptnrs I LLC (See note section VIII)	E	Int./Div.	N	U					
90. Condominium, Carrabassett Valley, ME	D	Rent	M	W					
91. PAS BROKERAGE ACCOUNT(H)									
92. -Fidelity Municipal Income	B	Dividend	K	T					
93. -Fidelity Limited Term Muni Income	A	Dividend	J	T					
94. -Fidelity Municipal Money Market	A	Dividend	J	T					
95. -DWS Strategic High Yield Tax Free Cl S	A	Dividend	K	T					
96. -DWS Managed Muni Bond Cl S	A	Dividend	J	T					
97. -Fidelity Advisor Int'l Discovery CL I	A	Dividend	J	T					
98. -Fidelity Real Estate Investment	A	Dividend	J	T					
99. -Fidelity Capital & Income	A	Dividend	J	T					
100. -Champlain Small Co. Fund Adv CL Conf	A	Dividend	J	T					
101. -Allianz NFJ Small Cap Val Admin Class	A	Dividend	J	T					
102. -Artisan Intl Value Fund Inv CL	A	Dividend	J	T					

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103. -Baron Growth	A	Dividend	J	T					
104. -William Blair Int'l Growth Class N	A	Dividend	J	T					
105. -Fam Value	A	Dividend	J	T					
106. -JPMorgan Mid-Cap Value Fn Select CL	A	Dividend	J	T					
107. -Hartford Growth Opportunity Class A	A	Dividend	J	T	Sold (part)	08/12/13	J	B	
108. -Hotchkins & Wiley Mid Cap Value CL A	A	Dividend	J	T					
109. -Mainstay Lg Cap Grwth Fund Class A	A	Dividend	J	T					
110. -Pimco Commodity Real Ret Strat Admin	A	Dividend			Sold (part)	01/04/13	J		
111.					Sold	04/02/13	J	A	
112. -T Rowe Price High Yield Advisor Cl	A	Dividend			Sold	01/04/13	J	A	
113. -T Rowe Price Equity Income Advisor CL	A	Dividend	J	T					
114. -SSGA Emerging Markets	A	Dividend	J	T					
115. -Templeton Global Bond Class A	A	Dividend	J	T	Buy (add'l)	04/03/13	J		
116.					Buy (add'l)	08/13/13	J		
117. -Fidelity Mass Muni Income	A	Dividend	K	T	Buy (add'l)	06/04/13	J		
118.					Sold (part)	08/12/13	J		
119.					Buy (add'l)	11/19/13	J		

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120.					Buy (add'l)	12/20/13	J		
121. -Allianceber Muni Inc II Mass Cl A	A	Dividend	J	T					
122. -Credit Suisse Commd Rtn Strgy Com Shr		None			Buy (add'l)	01/07/13	J		
123.					Sold	04/02/13	J		
124. -Parametric Emerging Mkts Inv Cl (name change)	A	Dividend	J	T	Buy (add'l)	04/03/13	J		
125. -JPMorgan US Equity FD Sel Cl	A	Dividend	J	T					
126. -MFS Int'l Div Fd A	A	Dividend	J	T					
127. -Willima Blair Growth Cl N		None			Sold	08/12/13	J	A	
128. -Allianz NFJ Dividend Value Fd Cl D	A	Dividend	J	T					
129. -Causeway Int'l Value Investor	A	Dividend	J	T					
130. -MFS Int'l Val Fd Cl A	A	Dividend	J	T					
131. -MFS Muni Mass Cl A	A	Dividend	J	T					
132. -MFS Research Int'l Cl A	A	Dividend	J	T					
133. -Primecap Odyssey Growth	A	Dividend	J	T					
134. -T. Rowe Price Tax Free Inc Adv	A	Dividend	J	T					
135. -T. Rowe Price Tax Free Short Inter	A	Dividend	J	T					
136. -SPDR S&P 500 ETF	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Franklin MA Tax Free Income Fund Class A	A	Dividend			Buy (add'l)	01/07/13	J		
138.					Sold (part)	06/06/13	J		
139.					Sold	08/12/13	J		
140. -iShares TR Russell 1000 Value Index Fd	A	Dividend	K	T					
141. -iShares TR Russell 1000 Growth Index Fd	A	Dividend	K	T					
142. -Loomis Sayles Global Bond Instl	A	Dividend			Sold	08/12/13	J		
143. -T Rowe Price International Stock	A	Dividend	J	T					
144. -Aberdeen Emerging Mkts Fd Instl Sv Cl	A	Dividend	J	T	Buy	08/13/13	J		
145. -Franklin Internatl Sm Cap Grwth Advisor	A	Dividend	J	T	Buy	04/03/13	J		
146. -Nuveen Massachusetts Municipal Class I	A	Dividend	J	T	Buy	08/13/13	J		
147. -Wells Fargo Short Term Muni Inc-Instit	A	Dividend	J	T	Buy	01/07/13	J		
148.					Buy (add'l)	03/28/13	J		
149. Sector Spdr Tr Shs Ben Int Financial	A	Dividend	J	T	Buy	08/15/13	J		
150.					Buy (add'l)	12/24/13	J		
151. -T Rowe Price Emerging Mkts Stock					Buy	04/03/13	J		
152.					Sold	08/12/13	J		
153. IRA ROLLOVER ACCT NO 2(H)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Fidelity Cash Reserves									
155. IRA- 3(H)		None	J	T					
156. -Schwab US Treas Money Fund									
157. SI INVEST ACCOUNT(H)									
158. -Schwab US Treas Money Fund	A	Interest	P1	T					
159. -American Express	A	Dividend	K	T	Buy	05/08/13	K		
160. -Apple Inc Com	B	Dividend	L	T	Buy	04/24/13	K		
161.					Buy (add'l)	05/31/13	K		
162. -Avago Technologies Ltd Shs	A	Dividend	K	T	Buy	07/30/13	K		
163.					Buy (add'l)	09/10/13	J		
164. -Bed Bath & Beyond		None	K	T	Buy	04/24/13	K		
165. -Bhp Billiton Ltd Sponsored Adr	A	Dividend	K	T	Buy	04/24/13	K		
166. -Capital One Finl Corp Com	A	Dividend	K	T	Buy	04/24/13	K		
167.					Buy (add'l)	05/08/13	J		
168. -Caterpillar Inc Del	B	Dividend	L	T	Buy	05/31/13	L		
169. -Chevron Corp New Com	A	Dividend	K	T	Buy	04/24/13	K		
170. -Cognizant Tech Solutions Cl A			L	T	Buy	04/25/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	05/31/13	J		
172.					Buy (add'l)	06/26/13	J		
173. -Emerson Electric	A	Dividend	K	T	Buy	04/24/13	K		
174. -Exxon Mobil Corp	A	Dividend	K	T	Buy	04/24/13	K		
175. -Goldman Sachs Group Com	A	Dividend	L	T	Buy	04/24/13	K		
176.					Buy (add'l)	05/08/13	J		
177.					Buy (add'l)	06/26/13	K		
178. -Iberiabank Corp Com	A	Dividend	K	T	Buy	05/01/13	J		
179. -Invesco Ltd Shs	A	Dividend	K	T	Buy	10/28/13	K		
180. -J P Morgan Chase & Co	A	Dividend	K	T	Buy	04/24/13	K		
181.					Buy (add'l)	05/28/13	J		
182.					Buy (add'l)	06/26/13	J		
183. -Mondelez Intl Inc Cl A	A	Dividend	K	T	Buy	04/24/13	K		
184. -National Oilwell Varco Com	A	Dividend	K	T	Buy	04/24/13	K		
185. -Oracle Corp	A	Dividend	K	T	Buy	05/28/13	K		
186. -Qualcomm Inc Com	A	Dividend	K	T	Buy	04/24/13	K		
187.					Buy (add'l)	06/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Roche Hldg Ltd Sponsored Adr		None	K	T	Buy	04/24/13	K		
189. -Salesforce Com Inc Com		None	K	T	Buy	04/24/13	K		
190.					Buy (add'l)	06/26/13	J		
191. -Schlumberger	A	Dividend	K	T	Buy	04/24/13	K		
192. -Siemens A G Sponsored Adr		None	L	T	Buy	12/20/13	L		
193. -Target Corp	A	Dividend	K	T	Buy	06/28/13	K		
194.					Buy (add'l)	09/10/13	J		
195. -Thoratec Labs Corp Com New		None	K	T	Buy	04/24/13	K		
196.					Buy (add'l)	05/08/13	J		
197. -Vanguard Ftse Emerging Markets Etf	A	Dividend	K	T	Buy	04/24/13	K		
198. -Vodafone Group Plc(New)	A	Dividend	K	T	Buy	04/24/13	K		
199. Republic Bank	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - All investments are publicly traded common stocks or mutual funds unless otherwise noted.

Part VII - Esplanade Capital Ptnrs I LLC is a non-publicly traded LLC. The net income from the investment is reported as a "Int./Div."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sandra L. Lynch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544